

NAVAL POSTGRADUATE SCHOOL

MONTEREY, CALIFORNIA

CONSORTIUM FOR ROBOTICS AND UNMANNED SYSTEMS EDUCATION AND RESEARCH (CRUSER) FY18 ANNUAL REPORT

Prepared by

Lyla Englehorn, Faculty Associate - Research

December 2018

Approved for public release: distribution unlimited

Prepared for: Dr. Brian Bingham, CRUSER Director and Dr. Raymond R. Buettner Jr., NPS FX Director THIS PAGE INTENTIONALLY LEFT BLANK

| | | ~~~~ | | | Form American d |
|---|---|----------------------------------|-------------------------------------|-------------------------------|---|
| REF | PORT DO | CUMEN | TATION P | PAGE | <i>Form Approved</i> OMB No. 0704-0188 |
| Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURE YOUR FORM TO THE ABOVE ADDRESS. | | | | | |
| | TE (DD-MM-YYYY) | 2. REPORT TY Technical Report | PE | | 3. DATES COVERED (<i>From-To</i>) 1 Oct 2017 – 30 Sept 2018 |
| 4. TITLE AND | | Technical Report | | | 5a. CONTRACT NUMBER |
| | | nanned Systems Ed | ducation and Research | (CRUSER) FY18 | Sa contrater nember |
| Annual Report | | 2 | | | 5b. GRANT NUMBER |
| | | | | | 5c. PROGRAM ELEMENT NUMBER |
| 6. AUTHOR(S) Lyla Englehorn, | | | | | 5d. PROJECT NUMBER |
| Lyn Ligionom, | | | | | 5e. TASK NUMBER |
| | | | | | 5f. WORK UNIT NUMBER |
| Naval Postgradu | 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) AND ADDRESS(ES) Naval Postgraduate School (NPS) 1 University Circle Monterey CA 93943 | | | D ADDRESS(ES) | 8. PERFORMING ORGANIZATION REPORT NUMBER |
| | | | E(S) AND ADDRESS | (ES) | 10. SPONSOR/MONITOR'S |
| 1 . | cretary of the Navy - | – PPOI | | | ACRONYM(S) |
| 100 Navy Pentagon Room 5E171 Washington, DC 20350 | | | USECNAV/ONR | | |
| Office of Naval | | | | | 11. SPONSOR/MONITOR'S REPORT NUMBER(S) |
| One Liberty Center, 875 North Randolph Street, Suite 1425 Arlington, VA 22203-1995 | | | | | |
| 12. DISTRIBUTION / AVAILABILITY STATEMENT | | | | | |
| Approved for pu | blic release: distribu | tion unlimited | | | |
| 13. SUPPLEMI | ENTARY NOTES | | | | |
| This report is not the work of one author, but a compilation of event reports and funded research summaries for FY18 | | | | | |
| 14. ABSTRACT The Naval Postgraduate School (NPS) Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) provides a collaborative environment and community of interest for the advancement of unmanned systems (UxS) education and research endeavors across the Navy (USN), Marine Corps (USMC) and Department of Defense (DoD). CRUSER is a Secretary of the Navy (SECNAV) initiative to build an inclusive community of interest on the application of unmanned systems (UxS) in military and naval operations. This FY18 annual report summarizes CRUSER activities in its seventh year of operations, and highlights future plans. | | | | | |
| 15. SUBJECT TERMS Autonomy, autonomous systems, robotics, RAS, unmanned systems, UxS, UAV, USV, UGV, UUV | | | | | |
| 16. SECURITY CLASSIFICATION OF: 17. LIMITATION 18. NUMBER 19a. NAME OF | | | | | |
| a. REPORT UNCLASS | b. ABSTRACT | n OF: c. THIS PAGE UNCLASS | 17. LIMITATION OF ABSTRACT UU | 18. NUMBER OF PAGES 159 | 19a. NAME OF RESPONSIBLE PERSON Lyla Englehorn |
| UNCLASS | UNCLASS | UNCLASS | | | 19b.TELEPHONE |
| | | | | | NUMBER (include area code) 831-656-2615 |
| L | 8 | 1 | 8 | 1 | |

Standard Form 298 (Rev. 8-98) Prescribed by ANSI Std. Z39.18

THIS PAGE INTENTIONALLY LEFT BLANK

NAVAL POSTGRADUATE SCHOOL Monterey, California 93943-5000

Ronald A. Route

Steven R. Lerman

President

Provost

The report entitled "Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) FY18 Annual Report" was prepared for the Office of the Secretary of the Navy (SECNAV), 1000 Navy Pentagon, Room 4D652, Washington, DC 20350.

Further distribution of all or part of this report is authorized.

This report was prepared by:

Lyla Englehorn, MPP CRUSER Associate Director

Reviewed by:

Released by:

Brian Bingham., PhD CRUSER Director Jeffrey D. Paduan, PhD Dean of Research

THIS PAGE INTENTIONALLY LEFT BLANK

UNCLASSIFIED//Approved for public release: distribution unlimited

CONSORTIUM FOR ROBOTICS AND UNMANNED SYSTEMS EDUCATION AND RESEARCH (CRUSER):

FY18 Annual Report



Prepared by Lyla Englehorn, CRUSER Associate Director for Dr. Brian Bingham, CRUSER Director

NAVAL POSTGRADUATE SCHOOL

Released December 2018

THIS PAGE INTENTIONALLY LEFT BLANK

TABLE OF CONTENTS

| EXE | CUTIV | E SUN | IMARYVI |
|-----|-----------|----------|---|
| I. | BAC | KGRO | 0UND1 |
| | A. | VISI | ON2 |
| | B. | MAN | NAGEMENT |
| II. | PRIC | ORITIE | ES5 |
| | А. | RES | EARCH AND EXPERIMENTATION5 |
| | | 1. 2. | ROS 2: Cyber Security and Network Robustness for Robotics8 Manned Unmanned Teaming (MUM-T) for Marine Fire Teams |
| | | 3. 4. | Short-Living Nodes and Links for Littoral Mesh Networking13 Aerial Swarm Behavior Development in Support of USMC Training |
| | | 5. | Adaptive Submodularity for Mixed-Initiative UxV Network Control Systems |
| | | 6. 7. | Swarm Future Platforms |
| | | 8. | The Decision to Rely on Automation Under Stress: The Debut Experimentation Effort for the Human Cognition and Automation Lab |
| | | 9. | Cooperative Autonomous ScanEagle |
| | | 10. | Development of Autonomous, Optimized Capabilities for MC331 |
| | | 11. | Simultaneous visual and IR UAV imaging of littoral systems for AI driven change detection |
| | | 12. | Network Enabled Digital Swarm Image Synthesis (NEDSIS) Phase 2 |
| | | 13. | Data Farming Explorations of the Tactics and Benefits of Unmanned Systems and Unmanned-Manned Teaming |
| | | 14. | Acoustic Vector Sensing from Novel Autonomous Systems Using Light-Weight, Low-Power Data Acquisition Systems41 |
| | | 15. | Study of Cybersecurity Requirements for the Military Robot Operating System (ROS-M) using ROS 2.0 on Unmanned Aerial Networks |
| | | 16. | Mission Planning in Support of SAR Operations Involving Heterogeneous UxSs46 |
| | B. | FIEI | LD EXPERIMENTATION |
| | | 1. | JIFX |
| | | 2. | MTX |

| | C. | EDUCATIONAL ACTIVITIES | 53 |
|------|-------|---|-------|
| | | 1. NPS Course Offerings and Class Projects | 53 |
| | | 2. Continuing Education Panel Series | |
| | | 3. CRUSER Seminars | |
| | | 4. NPS Student Theses and Travel | |
| | D. | CONCEPT GENERATION | 72 |
| | | 1. Warfare Innovation Continuum (WIC) Workshop 2018 | 72 |
| | | 2. Technology Continuum (TechCon) 2018 | 76 |
| | | 3. Rapid Prototyping in the RoboDojo | 77 |
| | Е. | OUTREACH AND RELATIONSHIPS | 79 |
| | | 1. Community of Interest | 79 |
| | | 2. NPS CRUSER Monthly Meetings | 80 |
| | | 3. Briefings and Presentations | 82 |
| | | 4. USN Reserve Relationships | |
| III. | CON | NCLUSION | 85 |
| | А. | PROPOSED FY19 ACTIVITIES | 85 |
| | B. | LONG TERM PLANS | 86 |
| APPI | ENDIX | X A: PRESENTATIONS, PUBLICATIONS AND TECHNICAL REPOR | TS BY |
| | NPS | CRUSER MEMBERS, FY11 TO PRESENT | 87 |
| APPI | ENDIX | K B: CUMULATIVE THESES AND STUDENT PROJECTS SUPPORTE | ED103 |
| APPI | ENDIX | K C: COMMUNITY | .120 |
| | | X D: CRUSER FY18 CALL FOR PROPOSALS | |
| | | K E: CRUSER LEADERSHIP TEAM | |
| | | IGURES | |
| | | ABLES | |
| LIST | OF AG | CRONYMS AND ABBREVIATIONS | .145 |
| | | LEDGMENTS | |

EXECUTIVE SUMMARY

From Technical to Ethical...

From Concept Generation to Experimentation...

Since 2011 the Naval Postgraduate School (NPS) Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) has sought to create and nourish a collaborative environment and community of interest for the advancement of unmanned systems education and research endeavors across the Navy (USN), Marine Corps (USMC) and Department of Defense (DoD). Originally authorized by an Under Secretary of the Navy (USECNAV) memorandum dated 1 February 2011, CRUSER is an initiative designed to build an inclusive community of interest around the application of unmanned systems in naval operations. CRUSER seeks to catalyze these efforts, both internal and external to NPS, by facilitating active means of collaboration, providing a mechanism for information exchange among researchers and educators with collaborative interests, fostering innovation through directed programs of operational experimentation, and supporting the development of an array of educational ventures. These activities are considered to be in direct support of the Secretary of the Navy's (SECNAV) priorities regarding unmanned systems. On 16 March 2017, the Acting SECNAV issued a follow-on memorandum directing the continuation of the program at NPS with research funding support from the Office of Naval Research through FY23.

CRUSER captures a broad array of issues related to emerging robotic and autonomy related technologies, and encompassing the successful research, education, and experimentation efforts in unmanned systems currently ongoing at NPS and across the naval enterprise. Controls, sensors, design, architectures, human capital resource requirements, concept generation, risk analysis, cybersecurity, and field experimentation are just a few interest points. In February 2013, the CRUSER community of interest reached the 1,000-member mark, and continued to grow. As a demonstration of CRUSER's relevance and reputation, as of September 2018 the

CRUSER community of interest includes just over 3,000 members from government, academia and industry.

In 2018 CRUSER has continued to implement the core program activities while also integrating timely new efforts that have direct impact on naval officers through education, research, concept generation and experimentation. The core activities, detailed in this report, include providing seed support for NPS research in unmanned systems, offering a DoD-wide field experimentation program, integrating with the NPS education mission, supporting concept generation and providing a DoD-wide forum for collaboration. In 2018 CRUSER organized a unique series of DoD-wide panel discussions of military, academic and industrial representatives speaking directly to naval leadership about emerging opportunities and challenges in robotics and autonomous systems. In response to the call to increase DoD engagement with industry, CRUSER has supporting initial work on the new Sea Land Air Military Research (SLAMR) facility through a series of design events organized around how industry and the DoD might collaborate on a unique experimental facility.

This Annual Report provides a summary of the many activities executed during CRUSER's eighth year of operation and serves as a consolidated archival record for the sponsors, the CRUSER team and the entire Community of Interest.

I. BACKGROUND

From Technical to Ethical From Concept Generation to Experimentation

The Naval Postgraduate School (NPS) Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) provides a collaborative environment and community of interest for the advancement of unmanned systems education and research endeavors across the Navy (USN), Marine Corps (USMC) and Department of Defense (DoD). CRUSER is a Secretary of the Navy (SECNAV) initiative to build an inclusive community of interest on the application of unmanned systems in military and naval operations. Funding for research activities are provided by the Office of Naval Research, other activities are funded by a variety of sources with the Deputy Assistant Secretary of the Navy for Unmanned Systems (DASN Unmanned) being responsible for coordinating funding.

CRUSER encompasses the successful research, education, and experimentation efforts in unmanned systems currently ongoing at NPS and across the naval enterprise. Controls, sensors, design, architectures, human capital resource requirements, concept generation, risk analysis, cybersecurity, and field experimentation are just a few interest points.

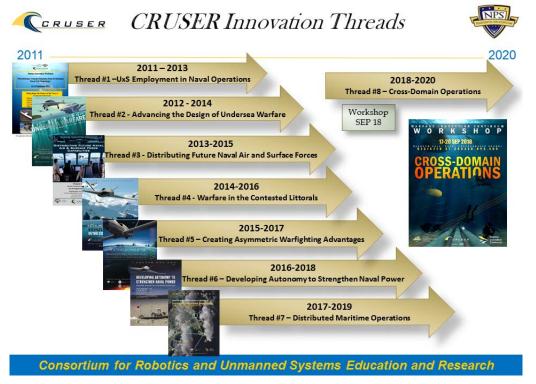


Figure 1. CRUSER program innovation threads as of September 2018

Major concept generation activities (FY11 through FY18) are plotted along major program innovation threads (*see Figure 1*) starting with concept generation workshops, developed in technical symposia, and demonstrated in field experimentation to test selected technologies. These activities each have separate reports, and are available upon request. However, research and education will continue to include a broader landscape than just mission areas

A. VISION

At the direction of the SECNAV, NPS leverages its long-standing experience and expertise in research and education related to robotics and unmanned systems in support of the naval mission. The CRUSER program grew out of the SECNAV's unmanned systems prioritization, and concurrent alignment of unmanned systems research and experimentation at NPS. CRUSER serves as a vehicle by which to align currently disparate research efforts and integrate academic courses across domain and discipline boundaries.

CRUSER is a facilitator for the Navy's common research interests in current and future unmanned systems and robotics. The Consortium, working in partnership with other organizations, will continue to inject a focus on robotics and unmanned systems into existing joint and naval field experiments, exercises, and war games; as well as host specific events, both experimental and educational. The Consortium currently hosts classified and unclassified websites and has established networking and collaborative environments for the community of interest.

Furthermore, with the operational needs of the Navy and the Marine Corps at its core, CRUSER will continue to be an inclusive, active partner for the effective education of future military leaders and decision makers. Refining existing courses of education and designing new academic programs will be an important benefit of CRUSER, making the Consortium a unique and indispensable resource for the Navy while highlighting the educational mission of NPS.

Specific CRUSER goals continue to be:

- Shape generations of naval officers through education, research, concept generation and experimentation in maritime application of robotics, automation, and unmanned systems.
- Provide a source for unmanned systems employment concepts for operations and technical research;
- Provide an experimentation program to explore unmanned system employment concepts;
- Provide a venue for Navy-wide education in unmanned systems;
- Provide a DoD-wide forum for collaborative education, research, and experimentation in unmanned systems.

CRUSER takes a broad systems and holistic approach to address issues related to naval unmanned systems research and employment, from technical to ethical, and concept generation to experimentation. A variety of research areas inform and augment traditional technical research in unmanned systems, and aid in their integration into fleet operations.

B. MANAGEMENT

CRUSER is organized as a regular NPS research project except with a more extensive charter than most reimbursable projects. It has both an oversight organization and coordination team. The Director, with the support of a lean research and administrative staff, leads CRUSER and executes the collaborative vision for the Consortium. The Director encourages, engages, and enhances on-campus efforts among all four graduate schools and existing centers and institutes. Faculty and students from all curricula with an interest in the development of unmanned systems are encouraged to contribute and participate.

CRUSER continues to build upon existing infrastructure involving research in robotics and unmanned systems, and is included in the full compliment of programs in the NPS Field Experimentation (FX) portfolio. These programs include the Joint Interagency Field Experimentation (JIFX)¹ program, the Advanced Robotics Systems Engineering Lab (ARSENL), the Multi-Thread Experiment (MTX),² and the development of the Sea/Land/Air Military Research (SLAMR) facility. In addition, CRUSER collaborates with and supports other related campus research centers such as the Center for Autonomous Vehicle Research (CAVR)³ and the Center for Network Innovation and Experimentation (CENETIX).⁴ These and other programs continue to be major partners in CRUSER research endeavors. The strong interdisciplinary approach of the Consortium is supported by active interest in the Operations Research, Mechanical and Aerospace Engineering, Information and Computer Sciences, Systems Engineering, Electrical and Computer Engineering, Space Systems, Physics, Applied Mathematics, Oceanography, Meteorology, Defense Analysis, and Business Administration Departments at the Naval Postgraduate School. Externally, CRUSER supports the full NPS institutional effort to build and maintain collaborative communities to create a dynamic learning environment that engages fleet operators, government experts, industry leaders and academic researchers around the naval unmanned systems challenges. Additionally, CRUSER leverages relationships with external organizations to include the Office of Naval Research (ONR), the U.S. Naval Research Laboratory (NRL), various Office of the Chief of Naval Operations (OPNAV) entities, Naval Air Systems Command (NAVAIR), Naval Sea Systems Command (NAVSEA), and many warfare centers and systems commands throughout the naval enterprise.

¹Joint Interagency Field Experimentation (JIFX) website: <u>https://my.nps.edu/web/fx</u>

² Matt Schehl (2018) "NPS Research Team Explores the Boundaries of Unmanned Systems Through MTX" *NPS Public Affairs Office*, posted 11 June 2018 at <u>https://my.nps.edu/-/nps-research-team-explores-the-boundaries-of-unmanned-systems-through-mtx</u>

³ Center for Autonomous Vehicle Research (CAVR) website: <u>https://my.nps.edu/web/cavr</u>

⁴ Center for Network Innovation and Experimentation (CENETIX) website: <u>https://my.nps.edu/web/cenetix</u>

The Director guides the activities of CRUSER to ensure that they are continually aligned with the unmanned systems priorities of the Navy and Marine Corps. The Director reports to the NPS Dean of Research, and continues to serve as a conduit between associated faculty and students at the Naval Postgraduate School and partnering institutions and agencies.

The Director is supported by the CRUSER Advisory Group (CAG). In FY18 the NPS CAG included:

- Dean of Research Dr. Jeff Paduan
- Operations Research Professor of Practice CAPT Jeff Kline USN (ret)
- Undersea Research Chair RADM Jerry Ellis USN (ret),
- Mine Warfare Chair RADM Rick Williams USN (ret),
- Surface Warfare Chair CAPT Chuck Good USN
- Air Warfare Chair CAPT Ed "Tick" McCabe USN
- Senior Marine Officer Col Todd Lyons USMC
- Senior Army Officer COL Lamar Adams USA
- Senior Air Force Officer COL Tim Sands USAF
- Senior Navy Officer CAPT Brian Morgan USN
- Senior Intel Officer CAPT Christopher Bone USN

This committee ensures that the Fleet and its operations remain a primary consideration in CRUSER activities to include the selection of activities supported by CRUSER.

II. PRIORITIES

To support the four primary tenets of CRUSER – concept generation, education, research, experimentation, and outreach – various activities and research initiatives will occur, ranging from unmanned systems innovation symposia and technical symposia to experimentation and research projects. CRUSER executed just under \$4M in the FY18 cycle, and anticipates funding at the same level for FY19. Activities for each year are briefed to the Advisory Board and require approval from the sponsor.

Primary objectives in FY18 were to continue to provide:

- funding support for seed research projects
- DoD-wide experimentation programs,
- an education venue,
- a source of concept generation,
- and a DoD-wide forum for collaboration.

The remaining sections of this report will address each of these objectives.

A. RESEARCH AND EXPERIMENTATION

At the direction of the SECNAV, NPS continued to leverage long-standing experience and expertise in the research and education of robotics and unmanned systems to support the Navy's mission. CRUSER continued to serve as a vehicle by which to align currently disparate research efforts across the NPS campus as well as among academic partners and the greater community of interest. Funding is granted to projects led by NPS faculty members across over 15 academic departments to explore many diverse aspects of unmanned systems (*see Figure 2*).

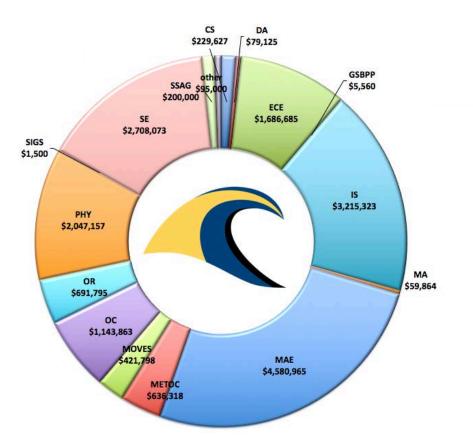


Figure 2. CRUSER seed funding by NPS department, FY12-19.

In late July 2017, CRUSER made its sixth call for proposals to seed research topics. The stated funding period was 1 October 2017 through 30 September 2018, and the funding levels were set at \$75,000 to \$150,000 per topic.

At the beginning of September 2017 CRUSER received 47 proposals totaling just over \$6 million. All were reviewed for CRUSER seed funding. The CRUSER advisory committee selected 16 projects, and granted \$2.17 million in total to support robotics and autonomy related research in FY18 (*see Table 1*). Research summaries for each supported project are included in this section of the report. These summaries report on the status of the individual project as of 30 September 2018 and include the technical point of contact for further inquiry.

| | Principal Investigators(s) | Project Title |
|---|--|---|
| 1 | Dr. Brian Bingham Dr. Preetha Thulasiraman | ROS 2: Cyber Security and Network Robustness for Robotics |

 Table 1. FY18 CRUSER funded projects (alphabetical by initial lead researcher last name)

| 2 | Dr. Dan Boger and Scott Miller | Manned Unmanned Teaming (MUM-T) for Marine Fire Teams |
|----|---|--|
| 3 | Prof. Alex Bordetsky | Short-Living Nodes and Links for Littoral Mesh Networking |
| 4 | Dr. Dwayne Davis Dr. Kevin Jones CDR Kathleen Giles USN | Aerial Swarm Behavior Development in Support of USMC Training |
| 5 | Dr. Doug Horner | Adaptive Submodularity for Mixed-Initiative UxV Network Control Systems |
| 6 | Dr. Kevin Jones Dr. Dwayne Davis | Swarm Future Platforms |
| 7 | Prof. Gamani Karunasiri Dr. Fabio Alves | Bio-inspired MEMS acoustic sensor for robotic autonomous systems applications |
| 8 | Dr. Mollie McGuire | The Decision to Rely on Automation Under Stress: The Debut Experimentation Effort for the Human Cognition and Automation Lab |
| 9 | Aurelio Monarrez Dr. Sean Kragelund | Cooperative Autonomous ScanEagle |
| 10 | Dr. Jim Newman | Development of Autonomous, Optimized Capabilities for MC3 |
| 11 | Dr. Mara Orescanin | Simultaneous visual and IR UAV imaging of littoral systems for AI driven change detection |
| 12 | Dr. Phillip Pace and Dr. Ric Romero | Network Enabled Digital Swarm Image Synthesis (NEDSIS) Phase 2 |
| 13 | Dr. Susan Sanchez and Dr. Tom Lucas | Data Farming Explorations of the Tactics and Benefits of Unmanned Systems and Unmanned-Manned Teaming |
| 14 | Dr. Kevin Smith and Dr. Vlad Dobrokhodov | Acoustic Vector Sensing from Novel Autonomous Systems Using Light- Weight, Low-Power Data Acquisition Systems |

| 15 | Dr. Preetha Thulasiriaman | Study of Cybersecurity Requirements for the Military Robot Operating System (ROS-M) using ROS 2.0 on Unmanned Aerial Networks |
|----|------------------------------|---|
| 16 | Dr. Oleg Yakimenko | Mission Planning in Support of SAR Operations Involving Heterogeneous UxSs |

1. ROS 2: Cyber Security and Network Robustness for Robotics

The current robotics operations system (ROS 1) is a ubiquitous tool for rapidly developing new technology, but for Naval applications the lack of guaranteed security and susceptibility to intermittent communications (e.g., acoustic communications) prevents the rapid transition of many of these emerging technologies to fleet, increasing both transition time and cost. The development of ROS 2 will include cyber security and network communication robustness as a foundational part of the design, rather than as an addition to an existing software framework. Collaborating with the Open Source Robotics Foundation (OSRF) at this early stage of development of ROS 2 (*see Figure 3*) will ensure that Naval interests are represented in the design and allow NPS students and researchers to provide guidance into the creation of this exciting new tool.



Figure 3. Key use cases for ROS 2: embedded systems, DoD products, and multi-robot systems.

This new effort supported direct interaction between NPS and OSRF. During this year we were able to establish the collaboration and have NPS thesis students work directly with software developers at OSRF in Mountain View. Because of the lag associated with financially supporting this external collaboration, much of the work still lies ahead. We anticipate working with OSRF to establish two testbeds for examining cyber vulnerabilities: one within a network

simulation environment and one with robotic test hardware (small wheeled robots) here at NPS. These testbeds will support future thesis research in this important area of study.

The research team members included Dr. Brian Bingham, Associate Professor, Mechanical and Aerospace Engineering; and Dr. Preetha Thulasiraman, Associate Professor, Electrical and Computer Engineering. This work also supported the thesis research for LCDR Jose Fernandez, graduating in June 2019.

POC: Dr. Brian Bingham (<u>bbingham@nps.edu</u>)

2. Manned Unmanned Teaming (MUM-T) for Marine Fire Teams

Since 2014, NPS Information Science Department researchers have assisted the Marine Corps Warfighting Laboratory (MCWL) with their UTACC project. MCWL could not fund the research in 2018, so CRUSER stepped in. Eight USMC students produced four theses, two of which earned NPS Outstanding Thesis designation. The team explored potential follow up research, funded under the FY19 Naval Research Program. In the thesis, titled "Assessing UTACC Cognitive Load",⁵ the authors analyzed the strengths and weaknesses of human and machine cognition (*see Table 2*). Done properly, teaming humans and machines can improve the cognitive performance of the team. Teaming humans and machines together is not without risks, however. Humans must be able to trust their machine teammates if the machine agent is to successfully contribute to the team's cognitive performance. Currently, there are significant barriers to achieving this trust objective, such as the machine's inability to explain how it arrived at an answer or recommendation the way a human can and the machine's lack of mutual concern and shared sense of vulnerability, which also makes it inherently less trustworthy.

⁵ LCOL Alan Clarke USMC, 2018

| | without unmanned systems. | |
|--------------------------------------|-----------------------------------|-------------------------------------|
| | Task | |
| Machine Agent Cognitive Impact | Cognitive Factors | Human Agent Cognitive Impact |
| | | Cognitive abilities suitable |
| Requires proper prior training of | | depending on prior experience & |
| Machine Agent | Knowledge-based | training |
| Impacts cognitive load/ cognitive | | Requires judgment/contributes to |
| capabilities not well suited | Stochastic | cognitive load |
| Requires more advanced algorithms/ | | |
| more powerful computing capabilities | High cognitive complexity | Contributes to cognitive load |
| Does not lead to cognitive fatigue | Persistent and enduring task | Leads to cognitive fatigue |
| No impact to cognitive load | High temporal pressure | Contributes to cognitive load |
| No impact to cognitive load | Significant negative consequences | Contributes to cognitive load |
| Machine can fuse information and | | Can only process information |
| present to human for consumption | Numerous sources of information | perceived with organic sensors |
| | Task Environment Cognitive | |
| | Factors | |
| Requires more advanced algorithms/ | | Requires agent to allocate dedicate |
| more powerful computing capabilities | Highly Dynamic | cognitive resources task |
| No impact to cognitive load | High risk of injury or death | Contributes to cognitive load |
| | Consistent moderate noise with | |
| No impact to cognitive load | random occasions of loud noise | Contribute to cognitive load |
| | Environmental concerns such as | |
| Cognitive capabilities reduced if | temperature, lighting, dust, and | |

 Table 2. Considerations a leader would make to manage that cognitive load, whether operating with or without unmanned systems.

Another potential risk to teaming humans and machines lies in the interface design. Poorly designed, non-intuitive interfaces risk overloading the human agent, resulting in cognitive overload through mere team coordination alone. The authors subsequently analyzed how cognitive performance can impact task performance through poor Situational Assessment (SA) and decision-making. Next, the authors synthesized the literature, analysis of human and machine cognitive abilities and the analysis of team coordination and risks, to propose two new tools that assist users in identifying and analyzing the relevant task and environmental cognitive factors to determine the team composition that optimizes the cognitive performance of a task. The second thesis, "UTACC Human Machine Communication and Awareness",⁶ concluded that the need to change the ways by which the USMC fights has been identified by key leadership through their public statements and organizational documents such as the Marine Corps Operating Concept.⁷ The requirement is described in the Marine Operating Concept when it argues for us to "streamline our ability to evaluate and acquire advanced technologies to ensure

humidity not controlled

Concurrent cognitive tasks

Contributes to cognitive load

Cognitive resources must be

allocated among cognitive tasks

outside of operating limits

on requirements

May impact cognitive load depending

⁶ Major John Fout USMC, 2018

⁷ USMC, 2016

we gain advantages from innovations faster than our competitors and adversaries".⁸ This change will not be easily implemented due to the bureaucratic nature of the military. It is important to note though, the easiest way to implement change is through minimizing the disturbance to the organization. This is achieved by utilizing the doctrine that is already in place and accepted: MCTL 2.0.

Research team members included Dr Dan Boger, Scot Miller, Christian Fitzpatrick, Dr Don Brutzman, LCOL Alan Clarke USMC, Major Dan Knudsen USMC, Major John Fout USMC, Major John Ploski USMC, Capt Steve Krajewski USMC, Capt Kent Comstock USMC, Capt Lorenso Trevino USMC, and Major Steve Harvey USMC. This research was affiliated with the Marine Corps Warfighting Lab Florida Institute for Human Machine Cognition, and the SECNAV Naval Innovation Advisory Council.

The groundwork for UTACC implementation is in place and needs only be modified. The proposed changes to the Marine Corps Task List 2.0 utilizes the foundation for evaluation and accounts for man-machine integration. This allows for the easiest method in which to incorporate machines for use in future conflict. Thesis three, "Analysis of Emerging and Current Subsystem Technologies in Support of UTACC Capabilities",⁹ analyzed emerging sub systems and recommended several for immediate consideration, in order of precedence, for potential investment:

a. Combine Planck Aerosystems, WiBotic, Sentient and Edgybees Into a System-of-Systems

Several subsystems that can be combined into one system-of-systems to provide a robust, persistent vehicle-mounted, mobile local security option. This combination would result in a quadcopter capable of providing persistent 360-degree surveillance for a vehicle-mounted combat patrol. Adding WiBotic's PowerPad wireless charging technology provides the capability of wireless charging once the quadcopter returns to the host vehicle, negating the requirement to exit the vehicle and change batteries. Multiple systems can be used to continue to provide surveillance while other systems are recharging. The addition of Sentient's Kestrel Land MTI and Edgybees digital map overlay adds computer vision and augmented reality features to the video feed received from the quadcopter running Planck Aerosystems' ShearwaterTM software. Sentient's Kestrel Land MTI allows the operator to immediately identify moving objects on the video feed while Edgybees' digital map overlay increases the situation awareness with the augmented reality features of street names, route names, and waypoints added to the same video feed. Finally, Edgybees' digital map overlay allows the operator to add a pin drop to the map for locations that need further investigation if the patrol is unable to stop to investigate.

⁸ USMC, 2016c, p. 5

⁹ Major Steve Harvey USMC, 2018

b. Integrate Alta Devices' AnyLightTM Panels into the RQ-20 Puma and RQ-11 Raven

The researchers recommend integrating the Alta Devices AnyLightTM lightweight, flexible solar power battery recharging panels with the RQ-20 Puma and RQ-11 Raven. Doing so may increase the flight duration of either UAV by up to 257%, providing longer mission durations and increased mission flexibility.

c. Utilization of Department 13's MESMER® System for Forward Operating Bases and Patrol Bases

Department 13's MESMER® system provides a robust capability for Forward Operating Base (FOB) CUAS security. With its omni-directional antennas capable of mitigating multiple UAVs simultaneously, MESMER® offers protection against swarms of commercial drones. Being able to whitelist known friendly UAVs allows MESMER® to mitigate blacklisted UASs using a variety of mitigation methods. Given the stationary nature of FOBs, coupled with the difficult-to-find nature of small UAVs and emerging adversary tactics, techniques, and procedures, MESMER® offers much needed force protection measures while adhering to the defense-indepth tenet of force protection. The final thesis, "UTACC Robot Quick Wins",¹⁰ recommended Switchblade (*see Figure 4*) and AR4 Light Ray as the two most capable platforms for targeting of adversaries.



Figure 4. This is an example of one of the unmanned systems that Comstock and Krajewski recommended MCWL try. Other researchers got to see Switchblade used in support of MCWL platoon operations at the Muscatatuck Training Center in Indiana in November 2018.

The Switchblade has the capability to locate and engage targets while operating BLOS. The AR4 Light Ray's small size and ability to intelligently detect human targets at long ranges help it

¹⁰ Capt Kent Comstock USMC, 2018

stand out as a top performer in the targeting mission. The authors identified the Skyranger R80 and the Hivemind NOVA as the two most capable platforms for local security. The Hivemind NOVA's small size and intelligent capabilities make it well positioned to conduct local security missions. The Skyranger R80's unique abilities to operate from a vehicle and interchange with other UAVs while in flight also make it well positioned to conduct local security missions. Research was well received by MCWL. We continue this year by building a virtual environment for manned-unmanned teaming and related C2 concepts.

POC: Dr. Dan Boger (dboger@nps.edu)

3. Short-Living Nodes and Links for Littoral Mesh Networking

The purpose of this study has been to investigate whether current technology is able to support a clandestine directional MANET that would enable dismounted teams to minimize risk of detection by enemy forces while conducting operations in an electromagnetically contested littoral environment. Correspondingly, the primary focus for research team was to conduct feasibility and constraint analysis related to prospects of implementing novel miniature steerable directional antenna systems, which could be integrated with portable and UGV deployed ATAK mesh networks in support of special operations forces. The research started with a thorough review of emerging directional antenna systems, protocols, and applications that could be used together to minimize the probability of detection of clandestine ground forces using a MANET. It transitioned to prototyping of man-portable and UGV/USV portable short-living link units and a series of limited field experiments with them. The prototyping included an original design including several 3D printed prototype gimbal-based antenna units to enable proof-of-concept student experimentation.



Figure 5. 3D Printed man-wearable miniature steerable directional antenna prototype.

Research questions designed for this study looked to maximize the use of existing protocols and technology and to provide future researchers with a summary of prior work and with recommendations concerning beneficial features of the protocols, applications and antennas considered during the course of the research. Question I: What protocols can support a MANET during clandestine operations? This question was investigated by examining the feasibility of existing protocols to manage electromagnetic transmission through the use of the OSI model, supported by software applications that allow an operator to control signal broadcasts and to obtain information and specify routes in a way that minimizes the threat to dismounted forces. Then, the features of the protocols that were most advantageous were discussed and hypothetically combined into one clandestine MANET protocol, which was examined in the context of an operational scenario. Question II: Can existing antenna technology support dismounted force's use of directional MANET during clandestine operations? To answer the second question, we developed a novel proof-of-concept prototype by using 3D printing and conducting limited field trials (see Figure 5). Question III: Can miniature steerable directional antennas extend the range of aerial links from a UUV surface node? What is their potential utility in USV/UGV relay nodes?

To answer these questions we designed and tested a proof-of-concept prototype (*see Figure 6*) of a miniature steerable directional antenna used onboard a small UGV to expand the mesh network to a multi-domain clandestine mesh networking environment.

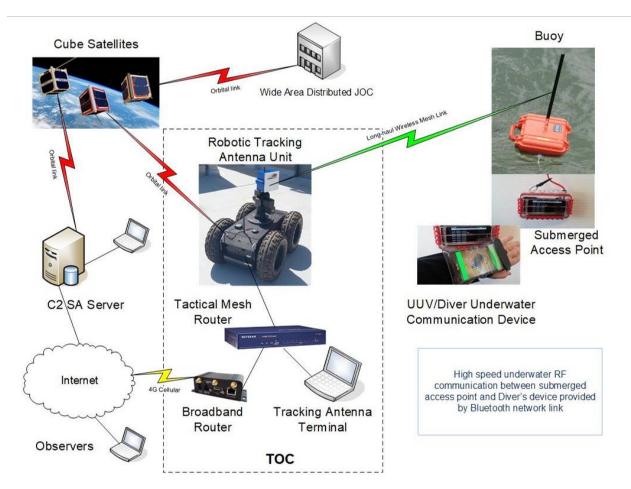


Figure 6. UGV based steerable directional antenna unit enables short-living multi-domain mesh network.

After being unable to find a suitable portable directional antenna system, we developed a prototype to test the feasibility of such a system. Our prototype antenna system was inexpensive, small, light, and able to fulfill our basic requirements, but we felt that it would not likely be the best fit for an operational application. Even with some reinforcing of the gimbal's components and wiring, the system would likely overheat from constant stabilization or fail as a result of the complex timing and calibrations. The gimbal design could provide a short-term, quick and inexpensive means to test MANET protocols that require the use of directional antennas until a more field-capable system is designed. For operational use, instead of employing a mechanically steered antenna, an electronically steered antenna could improve the reliability, availability and maintainability over a gimbal or pan-and-tilt system. Reliability would be improved because an electronically steered antenna is likely to perform without the failures seen in mechanical systems (e.g., overheating, stabilization vibrations). An electronically steered antenna would also be an improvement in that it could respond more quickly to direction changes and could receive information without prior coordination or assistance. Maintainability would be improved because with no moving parts, less maintenance would be required. Therefore, more beneficial than ruggedizing the gimbal components, we suggest that additional work should be placed on controlling an electronically steered antenna's side and back lobes.

The primary goal for UGV based directional link unit prototype part of the experimentation was to explore feasibility and constraints of extending a UUV/diver self-forming mesh to surface and ground nodes. Central to the experiment was to explore the significance of introducing steerable directional antennas to enable mesh links from a surface buoy (and potentially USV platforms) to mobile Ground Station land nodes.

In order to accomplish the task, a working prototype of Maritime-Land-Orbit networking was developed. The overall networking diagram is shown at Figure 5. A limited objective field experiment with UGV-based short-living steerable directional links was conducted in August, 2018 in conjunction with an NPS JIFX event. It proved the feasibility and good potential of our approach. In the experiment, the main objective was to capture a SAAB radar image from the U.S. east coast and immediately transfer it to a UUV/diver underwater communication device via an orbital network cluster. The high speed RF 2.4 GHz underwater network comprised a UUV/diver underwater communication device and a submerged access point. The access point and communication device were linked via Bluetooth. The tactical operations center (TOC) utilized a tracking antenna unit to maintain directional link to the remote buoy at a range of several miles. The tracking antenna unit prototype was developed by CENETIX based on an RMP400 Segway robotic platform. The TOC provided an orbital link to allow a remote operator to download images to the submerged device. In the experiment, an image taken on the U.S. east coast by the SAAB radar was transferred via a simulated orbital link to the command and control (C2) SA Server. In order to maximize the range to the surface buoy from the satellite ground station, we used a UGV-based directional steerable relay to the buoy site. It proved to be efficient, stretching the ground-to-buoy mesh link to 5-7 miles neighbor-to-neighbor distance onthe-move. A specially developed software listener running on the SA Server captured the image and forwarded it to the Tracking Antenna Unit in the field of operation. The Tracking Antenna Unit routed it to the buoy via local mesh network link. Due to the good quality of steerable the short-living link an underwater communication device also developed by CENETIX, successfully received the radar image.

MANET protocols are widely tested by military and academic institutions. It is difficult to provide a comprehensive review of the protocols, as naming conventions and consolidation of the protocols are not consistent. Though we did not cover every possible protocol that could be used to reduce detection of transmitted signals and we did not discuss the many variations of each, we demonstrated the availability of certain relevant features. While all of our recommended protocols have been tested to varying degrees, none have been implemented together. We feel that their combined strengths would result in a protocol that could reduce network detectability and increase the survivability of small ground force units; however, the combined delay of transmission will likely result in performance inferior to that originally achieved by the individual protocols as a result of the increased calculations and data packet size. Conclusion Overall, our studies of short-living man-wearable and UGV portable steerable directional links demonstrated a good potential of developing clandestine mesh networking solutions based on such an approach. As applied in conjunction with short-living node usage, which was the focus of CENETIX studies for CRUSER in 2017, it provided a promising solution for clandestine mesh networking in electromagnetically contested environments. The level of SA

sharing across the ATAK type network reached the level of exchanging radar type images, ATAK COP alerts and asset tracking.

This Naval Postgraduate School, Center for Network Innovation and Experimentation (CENETIX) research team included Dr. Alex Bordetsky,PI, Professor IS, CENETIX Director; Mr. Eugene Bourakov, Senior Researcher, CENETIX and Information Sciences; COL Steve Mullins (ret), Information Sciences PhD Candidate, HICSS Doctorate Fellow; Information Sciences NWOT curriculum students LT Ryan Clapper USN, LCDR Beverley Crawford USN, LT Inna Stukova USN, and MAJ Justin Murphy USMC.

POC: Dr. Alex Bordetsky (abordets@nps.edu)

4. Aerial Swarm Behavior Development in Support of USMC Training

In response to increasing threats from adversary unmanned aerial vehicles (UAV), the Marine Corps Air Ground Combat Center (MCAGCC) has begun incorporating both friendly and adversary UAVs into Integrated Training Exercises (ITX) and other events. Exercise employment of these systems is manpower intensive (i.e., one vehicle, one operator), and therefore limited. Over the course of this project, the NPS Advanced Robotic Systems Engineering Laboratory (ARSENL) was able to leverage its previous success in the development of UAV swarm capabilities to implement adversarial behaviors for use in a real-world training environment and incorporating these capabilities into ITXs conducted at 29 Palms, CA.

This work was conducted along four specific lines of effort: 1) incorporation of existing ARSENL platforms and capabilities into ITX, 2) development of more easily operated fixed-wing swarm platforms with air-to-ground capabilities, 3) development of quadrotor swarm platforms with air-to-ground capabilities, and 4) development of fixed-wing and quadrotor swarm behaviors that realistically emulate observed and potential adversarial capabilities.

Existing ARSENL Zephyr II fixed-wing UAVs were utilized to support two ITXs and custody of 15 platforms was transferred to MCAGCC to facilitate ongoing utilization. ITX utilization included simulation of adversary aerial surveillance and air-to-ground attack (without ordnance drop). MCAGCC feedback and observed logistical difficulties in operating Zephyr platforms in an "expeditionary" environment necessitated increased focus on the development of a more easily operated fixed- wing platform.

The COTS Penguin airframe has been used as a research platform at NPS for several years. The aircraft is very easy for beginners and novices to fly and can be launched by hand, making it an ideal platform for MCAGCC use. Development efforts to adapt the Penguin to MCAGCC requirements included the incorporation of the ARSENL autonomy package, addition of a carriage and release mechanism for external stores, and several airframe modifications to improve performance and reduce weight.



Figure 7. Autonomously controlled "ordnance" release from the Penguin UAV.

The Penguin air-to-ground capability was of particular MCAGCC interest. Modification consisted of a simple wing-mounted 3D-printed part capable of holding two Nerf Pocket Aero Flyers (approximates 40mm ordnance) with a single 4g servo to release one or both (*see Figure* 7). Overall configuration allows for the carriage of four expendable stores. On-UAV ARSENL software was updated to allow for autonomous or command deployment.



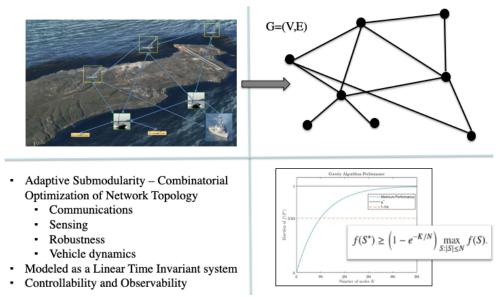
Figure 8. CAD Depiction of the ACS-7 Mosquito Hawk quadrotor with two releasable stores.

Initial flights of NPS-developed ACS-7 Mosquito Hawk quadrotor prototypes (*see Figure 8*) were conducted during ITX18-2 for flight characterization and parameter tuning. A 3D-printed carriage and release mechanism similar to the one developed for the Penguin was incorporated into the Mosquito Hawk design. In addition to flight testing, the Mosquito Hawks were used to conduct on-call ground attacks (not fully autonomous) in support of USMC training. Update of autopilot firmware to incorporate ARSENL messaging and failsafe requirements and incorporation of ARSENL swarm capabilities was conducted over the remainder of the year with the platform being declared "swarm capable" in September (final pre-deployment tests are scheduled for November 2018).

At the request of MCAGCC, swarm behavior-development in support of this project focused primarily on the development of ground-attack attack behaviors that realistically emulate what Marines have observed or are likely to encounter in theater. Existing ARSENL formation flight, distributed autonomy, and consensus-based decision making capabilities were leveraged in the development of three distinct coordinated swarm behaviors. The "wave attack" behavior requires all swarm vehicles to fly in formation along a planned ingress path to simultaneously attack a single target. The "delayed attack" behavior requires swarm vehicles to determine an attack sequence and fly individually planned paths to attack a single target with a specified temporal spacing between each attack. Finally, the "overwatch attack" behavior requires the swarm to elect a single vehicle to take up a surveillance position over the intended target with the rest of the swarm conducting a wave attack (after the surveillance vehicle is in position). Ground-attack behaviors were successfully incorporated into ITX events with the Zephyr II, and final testing of the behaviors on the Penguin and Mosquito Hawk platforms to include actual release of simulated ordnance was conducted in August and September respectively.

The research team members included Dr. Duane Davis, Dr. Kevin Jones (Co-PI), CDR Katy Giles USN, and Marianna Jones. This research is affiliated with DARPA, SPAWAR Systems Center Atlantic (SSC-LANT), Marine Corps Air Ground Combat Center (MCAGCC), and the Marine Corps Tactical Training Exercise Control Group (TTECG).

POC: Dr. Duane Davis (<u>dtdavi1@nps.edu</u>)



5. Adaptive Submodularity for Mixed-Initiative UxV Network Control Systems

Figure 9. Adaptive submodularity for UxV network control system.

The goal of the research was to develop a methodology to control a Network Control System (NCS) composed of heterogeneous nodes (*see Figure 9*). The NCS consisted of unmanned and manned assets, whereby each node has vehicle dynamics, communications and sensing capabilities and constraints. Given potentially competing objectives between multiple virtual leaders and tasks, a framework was developed for controlling the network nodes as a single system. Metrics were also developed for ensuring adequate performance in terms of controllability, observability, and robustness of a Linear Time Invariant (LTI) system. The metrics were incorporated into an optimization function that was shown to be submodular. This property allows us to use the greedy algorithm to solve a combinatorial optimization in polynomial time. This enables the generation of near-optimal formations that are used to dynamically re-position agents relative to the uncontrolled manned platforms represented as virtual leaders.



Figure 10. Final day group picture of MTX 2017 participants at San Clemente Island (SCI).

In November of 2017, the Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) at the Naval Postgraduate School (NPS) conducted a Multi- Thread Experiment (MTX) on San Clemente Island (SCI), CA (*see Figure 10*). The MTX provided a realistic multi-domain scenario to test and increase the autonomy of collaborative unmanned systems. The NCS at the MTX consists of aerial, surface, and undersea assets. These unmanned vehicles and a Navy Destroyer (DDG) operate in support of a Naval Special Warfare (NSW) unit conducting a mission to land on SCI and act on a target. The ScanEagle Unmanned Aerial Vehicle (UAV) provided Intelligence, Surveillance and Reconnaissance (ISR) support with the capability of transmitting live video footage through the network. The SeaFox, a speed-boat sized Unmanned Surface Vehicle (USV) provided transportation and limited ISR capabilities with surface search RADAR. The REMUS 100 Unmanned Underwater Vehicle (UV) was used to map the seafloor with SONAR during Intelligence Preparation of the Battlefield (IPB) before the NSW unit lands on SCI. The NCS was modeled as a graph of nodes and links. The unmanned

vehicles, NSW unit, target, and support ship (DDG) comprise the nodes of this graph. These nodes were connected by links which represent the sensing and communication relations between these nodes. A high-level controller, rather than design a control that specifies exact rudder angles or shaft speeds was developed for the UxV NCS. This controller acts as a secondary controller on top of the primary controller onboard the individual agents. This controller positions mobile nodes to maintain the ability to communicate and sense the target and any other threats. Results of the research: 1). Validated the use of adaptive submodularity as an appropriate near real-time, near-optimal approach for a high-level UxV NCS. 2) Developed a novel LTI control architecture based on a multiple virtual leaders for distributed network control. 3) Compared and contrasted graph robustness measures for including robustness as an important system optimization parameter.

This research was affiliated with the NPS Center for Autonomous Vehicle Research (CAVR), and the project team included Dr. Douglas Horner, Dr. Sean Kragelund, ENS Noah Wachlin USN, and ENS Ben Keegan USN. A video summary of the project is available on the NPS video portal and on the CRUSER YouTube channel.¹¹

POC: Dr. Douglas Horner (<u>dphorner@nps.edu</u>)

6. Swarm Future Platforms

The NPS ARSENL research team has been at the leading edge of Swarm research internationally, in particular for swarm-versus-swarm and counter-swarm tactics, but the current fleet of Gen2 aircraft are dwindling due to attrition and property transfer to the Academies and other collaboration partners. Further, the existing hardware is approaching technological obsolescence. This project was intended to bring to completion the Gen3 direct replacement design to the existing Gen2 fleet, and build out small flocks of several new airframes, both fixed-wing and multi-rotor, to satisfy current and projected future research goals.

The prototype Gen3 airframe (*see Figure 11*) completed a successful functional check-flight (FCF) in August of 2018. A few minor modifications are being made, primarily to further improve the few human handling issues that became apparent after repeated field deployments, and a small fleet will be built out this fall.

¹¹ NPS video portal link: <u>http://web.nps.edu/Video/Portal/Video.aspx?enc=fQYhjE1oV0Z5nE1Y5oX6u9IQTPIvhLNS</u>

CRUSER YouTube channel link: <u>https://youtu.be/o2mTAzyZdPo?list=PLUeG2W-NLlozTeWNuAFWb0qIdcs9JJznZ</u>



Figure 11. Gen3 Zephyr airframe.

A new, conventionally-tailed fixed-wing aircraft, the Penguin, was designed and assembled and completed a successful FCF in March of 2018. The Penguin design is a reasonable facsimile to the deployed AV Raven airframe - hand-launchable, and with similar flight characteristics and endurance, but the Penguin carries the same swarm avionics as the rest of the ARSENL fleet. The Penguin is also capable of releasing stores from under the wings, allowing it to perform remote, precision delivery or simulated attack experiments. Due to the autonomous hand-launch capability and low-speed landings, the Penguin is suitable for flights in areas without a runway, launching from rugged terrain or a building top, and recovering on unimproved roads, parking areas or grass fields. Flight characteristics for the Penguin make it a better option for pilots with less fixed-wing experience, due to the slower speed and natural stability of the airframe. We are slowly building out a fleet of Penguins, for future ARSENL work, and potential use by other CRUSER research groups.



Figure 12. ASC-7 Mosquito Hawk UAS.

Lastly, a new multi-rotor airframe was added to the fleet, the ASC-7 Mosquito Hawk (*see Figure 12*). The Mosquito Hawk was designed to take advantage of recent technological advances in the race-drone industry, utilizing a super rugged CNC-cut Carbon fiber frame as well as high efficiency motors and electronics from the race-drone market. The Mosquito Hawk is quite small, with a 290mm motor-to-motor span, seven-inch propellers, and a flight weight of under

600g. Under autopilot control, it can fly as fast as any of the ARSENL fixed-wing airframes (nominal cruise speed is about 35knots), and switching to first person viewpoint (FPV) pilot control, speeds of over 60 knots are possible, or even faster with different battery options. The Mosquito Hawk carries the same swarm avionics as the rest of the ARSENL fleet, as well as a similar store-release mechanism as that utilized on the Penguin. The Mosquito Hawk completed a successful FCF in September 2018. Work still needs to be done to perfect the camera payload integration and investigate the flight endurance. Endurance is expected to be over 20 minutes with the camera payload installed and stores attached, and close to 30 minutes with the camera payload and stores removed. The Mosquito Hawk is by far the easiest swarm asset to operate, with launch-to-landing autonomous flight, and assisted flight modes to aid novice pilots.

POC: Dr. Kevin Jones (kdjones@nps.edu)

7. Bio-inspired MEMS acoustic sensor for robotic autonomous systems applications

When compared with the electromagnetic counterparts, acoustic sensors have many advantages in detecting drones that include non-line-of-sight, passive, low-cost, and low power, weight, and size. Acoustic sensors are the primary sensors employed in most unattended ground sensor systems because they can provide detection, direction finding, classification, tracking, and accurate cueing of other high-resolution sensors. The ability to equip RAS with acoustic sensors that can effectively provide awareness, identification and localization of the acoustic sources on the soundscape could allow immediate countermeasures towards threats or cooperative operation with partner platforms. These capabilities, obtained by miniature sensors with minimal impact on the internal signal processing and computational resources and power budget could signify a tremendous source of operational asymmetry. In order to develop miniature acoustic sensors capable of detecting locating and potentially identifying RAS we sought inspiration in Nature. There are insects such as the parasitic fly Ormia Ochracea that have developed unique approach to direction finding. The female of this species seeks out chirping crickets to lay their eggs on, and do so with an accuracy of less than two degrees. The biomimetic version of the fly's ear drums can be manufactured using microelectromechanical (MEMS) technology and potentially employed in the localization of autonomous vehicles/systems.

The objective of this research project was to continue the development of bio-inspired MEMS directional acoustic sensors to operate in FRIEND robotic autonomous systems (RAS) or other unmanned platforms (UP), for localization and identification of acoustic signatures of other FRIEND RAS or UP (for cooperative tasks) or FOE RAS or UP (for awareness and countermeasures).

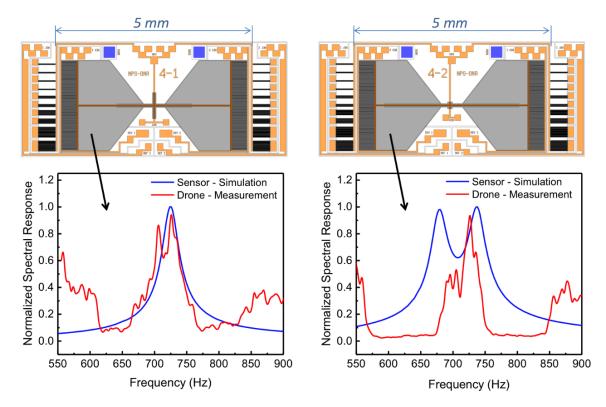


Figure 13. Simulated response of the designed sensors compared with acoustic signature of drones between 550 and 900 Hz. The matched response of the sensor increases the signal-to noise ratio, which will allow for efficient detection.

With current FY18 CURSER funding, we have been working on collecting acoustic signatures of UASs, specifically, small flying UASs and analyzing them in order to design sensors optimized for those acoustic sources (*see Figure 13*). Several drones' acoustic signatures were recorded using research grade reference microphones and appropriate instrumentation. This task was performed in open field (JFIX exercises) and in the NPS anechoic chamber. Several flight regimes and loads were used to record data from 10 different small flying UASs. The spectral responses were analyzed and found very interesting features. Each drone presents some unique spectral lines due to configuration, load, flight regime, etc. However, some acoustic spectral features were perennial for all drones, all flight regimes and all loads.

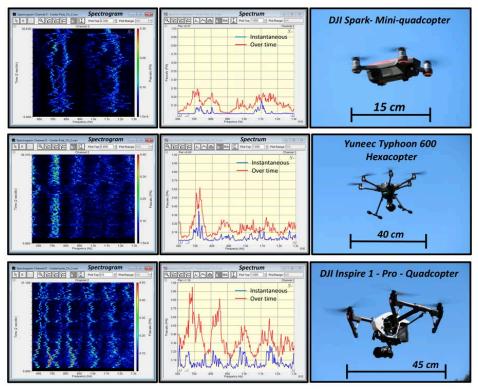


Figure 14. Acoustic signature of small flying UASs. Left column shows the spectrograms, central column shows the spectra and the right column shows the picture of the drone, highlighting the size and number of rotors. Notice (*central column*) that all drones exhibit a peak at around 700 Hz.

Based on the common spectral features observed in the measurements, two MEMS sensors were designed to resonate around 700 Hz (*see Figure 14*) and be insensitive everywhere else. Special features were added such as long bridges, straight capacitive comb fingers, narrow gaps between fingers and an imbedded capacitive network to allow adjusting the reference to the electronic readout. Three configurations were designed. Two single resonance around 700 Hz and one double resonance, also, around 700 Hz to account on any variation due to flight regime or load. The sensors were sent to MEMSCAP foundry service for fabrication and will be ready for testing in late October 2018, which we plan to do in the JIFX 19-1 and 19-2 campaigns.

Progress was also made in the electronic readout where several configurations were studied to optimize sensitivity and directionality. Synchronous demodulation seems to be the technique that enhances sensitivity. A dedicated circuit will be designed and characterized as the research progresses.

Finally, a parallel effort is also underway to develop in house capability to fabricate sensor prototypes using the NPS microfabrication facilities. The reason for that is to reduce the restrictions imposed by the design rules of commercial foundries, which limits the performance of the sensors. The first NPS-produced MEMS directional acoustic sensors are expected to be ready for testing before December of this year (FY18).

The research team included Gamani Karunasiri, Fabio Alves, Renato Rabelo, and LT Todd Coursey USN

POC: Prof. Gamani Karunasiri (<u>gkarunas@nps.edu</u>) and Dr. Favio Alves (<u>fdalves@nps.edu</u>)

8. The Decision to Rely on Automation Under Stress: The Debut Experimentation Effort for the Human Cognition and Automation Lab

This funded project had two main objectives. The first was initial experimentation in a multiexperiment study investigating the effect of stress on reliance decisions in human-autonomy teaming. Experiment 1 was the included experiment in this proposal, and is the first of a threeexperiment study focused on how the decision to rely on autonomy is affected by various factors such as stress and reliability. The second objective was to establish an interdisciplinary humanautonomy teaming lab in the NPS Information Sciences Department.

The experimentation and subsequent lab development went hand in hand, as the proposal funded the equipment necessary to conduct the experiment, and the equipment and space allocated for experimentation will become the human-autonomy teaming lab. The human-autonomy teaming lab has already supported two thesis students' research activities. The first student was a mechanical engineering student, and conducted a study on trust in human-autonomy teams, differentiating between competency-based and integrity-based trust. The second student was an operations research student conducting a study sponsored in part by the Coast Guard assessing the ability to work with machinery that may be difficult due to balance issues one faces on a moving vessel. In addition to the line of studies planned for the lab, and detailed in the proposal, planned studies that the lab will support in human-autonomy will include a four year collaboration effort examining decision support systems in maritime operations. The establishment of the human-autonomy lab is an ongoing effort, and has already proved to be an asset in less than a year.

Experiment 1 is ready to start recruiting participants. All IRB requirements have been completed, and the study has been approved. This experiment has been accepted, and will be presented at the Hawaii International Conferences on System Sciences in the (HICSS) in the *Collaboration with Automation: Machines as Teammates* minitrack in January, 2019. A brief overview of the experiment is detailed below.

Experiment: Stress and human-autonomy teaming

The effect of stress in human-autonomy teaming is an area of research that has largely been overlooked. Previous studies examining the effect of stress in this area have only looked at the effects of time pressure¹² and distracting noise.¹³ While both time pressure and noise do induce

¹² e.g., Rice & Keller, 2009

some stress, they both introduce confounds that make any claims about the effect of stress dubious as best. Time pressure is confounded with quite literally, shortened time; and noise stress is confounded with distraction. Therefore, better stress manipulations are needed to adequately assess how stress affects impact human-autonomy teaming. Additionally, measurements of cortisol and heart-rate variability (HRV), common methods for measuring stress¹⁴ are needed to (a) ensure stress manipulations were successful, and (b) examine what level of stress was achieved in the study. Stress can have differing effects depending on the level of stress and type of task,¹⁵ and therefore for generalization purposes it is important to examine the level of stress achieved in by the manipulations.

From a global perspective, the effect of stress on human-autonomy teaming needs more research. However, the specific focus of the proposed study is to examine the effect of stress on the decision to rely on autonomy. Stress limits executive resources that are needed for higher order executive functions, such as working memory and attention allocation,¹⁶ resources that are needed to make rational and informed decisions.

Deciding whether or not to rely on autonomy is fundamental in human-autonomy teams. Misuse and disuse of autonomy can be the result of too much or too little reliance.¹⁷ Because the decision to rely on autonomy can greatly affect the success of a human-autonomy team, and because these decisions require executive resources that can be depleted due to stress, the proposed study aims to explore the effect of stress on the decision to rely on autonomy under varying levels of autonomy reliability. Reliability is taken into account in the current study because it is one of the largest factors that influences the decision to rely on autonomy.¹⁸

<u>Overview.</u> While the experimental scope of the first proposal is focused on Experiment 1, it is important to view how Experiment 1 fits in with the larger experimental campaign. The overall study is a three experiment study with the aim to investigate the effect of stress on the decision to rely on autonomy under varying levels of autonomy reliability. All experiments will manipulate stress and reliability as independent variables. In brief:

- a) Experiment 1 will be a 2 (stress) x 2 (reliability) repeated measures design with stress manipulated between subjects and reliability manipulate within subjects.
- b) Experiment 2 will be a 2 (stress) x 2 (reliability) x 2 (reported system confidence) repeated measures design with stress manipulation between subjects and reliability and reported systems confidence manipulated within subjects
- c) Experiment 3 will be either a replication of Experiment 1 or Experiment 2 depending on whether reported system confidence has an effect in the previous experiment.

¹³ e.g., Peters, 1994; Sauer et al., 2011

¹⁴ e.g., Michels et al., 2013; O'Donnell et al., 2015; Shields, Sazma, & Yonelinas, 2016

¹⁵ Dickerson & Kemeny, 2004; Shields et al., 2016

¹⁶ Kogler et al., 2015; Shields et al., 2016

¹⁷ Parasuraman & Riley, 1997

¹⁸ Lee & See, 2004

Experiment 3 will extend the findings of Experiment 1 and 2 by a replication in design, but with a humanoid robot instead of a desktop computer.

Stress. In all studies, stress will be manipulated between subjects, so that the participants will be randomly assigned to either a high- or low-stress condition. According to a meta-analysis on the effectiveness of acute stress laboratory manipulations, the largest stress response is induced by uncontrollable, social-evaluative stressors.¹⁹ The most common of these is the Trier Social Stress Task (TSST).²⁰ The TSST will be used in all experiments to induce stress, and involves two phases: (a) an anticipatory phase, and (b) a test phase. In brief, the participants: (a) are told that they will have to deliver a speech to a panel, and are given five minutes to prepare their speech (anticipatory phase); and (b) are then required to deliver their speech to a stoic panel for five minutes, and then told to perform mental math for five minutes in front of the same panel. This stress technique induces stress through social-evaluative threat, and uncontrollability. A previous study by the PI for this research indicated that there may be a differences in tolerance to stress manipulation between the population of study participants at NPS, mostly mid-career military officers, and the general population. Understanding this difference also contributes to the research goals of the envisioned human-autonomy teaming lab. The pilot study for Experiment 1 will include reviewing potential modifications of the TSST to ensure effective stress manipulation for the NPS population.

There are many processes that activate in response to stress as the body tries to counteract and recover from the deviation of homeostasis brought about by a physical or psychological event (i.e., stress).²¹ One of the responses to stress is activation of the hypothalamic-pituitary-adrenal (HPA) axis that produces cortisol.²² Cortisol can be measured through saliva collection, and is often used to as an objective measure of stress.²³ While cortisol is the best measure of stress, its onset is approximately 20 minutes after the stressor onset,²⁴ and therefore it does not capture moment to moment variations in stress. However, HRV is another measure commonly used to assess stress²⁵ and is capable of tracking moment-to-moment changes. Therefore, cortisol and HRV will be collected throughout experimentation as reliable measures of stress.

<u>Decision to rely on autonomy</u>. The main dependent variable in the study is the decision to rely on autonomy. Participants will be repeatedly exposed to a task where they have to choose a response, after they make their response will then receive a suggestion from autonomy. Reliance on autonomy in times where the autonomy advice differs from their own is the behavioral measure of interest. However, this study seeks to look beyond simple reliance to the decision

¹⁹ Dickerson & Kemeny, 2004

²⁰ Kirschbaum, Pirke, & Hellhammer, 1993

²¹ Kogler et al., 2015

²² Kogler et al., 2015c

²³ Smyth et al., 2013

²⁴ Smyth et al.

²⁵ e.g., Michels et al., 2013; O'Donnell et al., 2015

process. Does stress cause the decision maker to make decisions using a heuristic-based decision approach due to lack of cognitive resources? And if so, does this heuristic-based approach lead to increased autonomy bias overall or does it depend on the reliability of the autonomy? While there is support for stress leading to more heuristic-based decision making²⁶ more research is needed on stress and decision making in general. This makes it difficult to directly apply this research to decision regarding autonomy reliance. Additionally, while reliability is a major factor in deciding whether or not to rely on autonomy, stress may disrupt the ability to integrate new information about the autonomy's reliability. There is evidence that stress disrupts learning and the ability to incorporate new information in decision making.²⁷ Therefore, it remains an interesting question whether learned reliability under stress influences the decision to rely on autonomy or if the reliability estimate at the beginning is kept constant.

Inferences into decision making processes can be achieved by looking at reaction times (time it takes to make a decision), eye-tracking, and pupil dilation.²⁸ These measures will be used to understand the decision making processes in autonomy reliance under stress.

REFERENCES:

- Dickerson, S. S., & Kemeny, M. E. (2004). Acute stressors and cortisol responses: a theoretical integration and synthesis of laboratory research. *Psychological bulletin*, *130*(3), 355.
- Guazzini, A., Yoneki, E., & Gronchi, G. (2015). Cognitive dissonance and social influence effects on preference judgments: An eye tracking based system for their automatic assessment. *International Journal of Human-Computer Studies*, 73, 12-18.
- Kirschbaum, C., Pirke, K. M., & Hellhammer, D. H. (1993). The 'Trier Social Stress Test'–a tool for investigating psychobiological stress responses in a laboratory setting. *Neuropsychobiology*, 28(1-2), 76-81.
- Kogler, L., Müller, V. I., Chang, A., Eickhoff, S. B., Fox, P. T., Gur, R. C., & Derntl, B. (2015). Psychosocial versus physiological stress—Meta-analyses on deactivations and activations of the neural correlates of stress reactions. *Neuroimage*, 119, 235-251.
- Lee, J. D., & See, K. A. (2004). Trust in automation: Designing for appropriate reliance. *Human factors*, *46*(1), 50-80.
- Margittai, Z., Nave, G., Strombach, T., van Wingerden, M., Schwabe, L., & Kalenscher, T. (2016). Exogenous cortisol causes a shift from deliberative to intuitive thinking. *Psychoneuroendocrinology*, *64*, 131-135.
- Michels, N., Sioen, I., Clays, E., De Buyzere, M., Ahrens, W., Huybrechts, I., ... & De Henauw, S. (2013). Children's heart rate variability as stress indicator: association with reported stress and cortisol. *Biological psychology*, 94(2), 433-440.
- O'Donnell, E., Landolt, K., Hazi, A., Dragano, N., & Wright, B. J. (2015). An experimental study of the job demand–control model with measures of heart rate variability and salivary alpha-amylase: Evidence of increased stress responses to increased break autonomy. *Psychoneuroendocrinology*, *51*, 24-34.

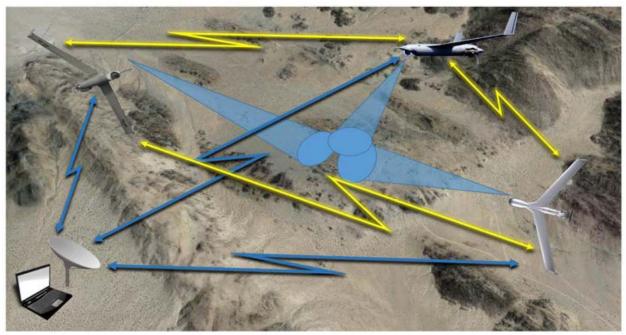
²⁶ e.g., Margittai et al., 2016

²⁷ Porcelli & Delgado, 2017

²⁸ e.g., Guazzini et al., 2015

- Parasuraman, R., & Riley, V. (1997). Humans and automation: Use, misuse, disuse, abuse. *Human factors*, *39*(2), 230-253.
- Peters, R. D., Boehm-Davis, D. A., & Fertig, J. B. (1994, October). Decision-making performance and decision aid usage under controllable and uncontrollable stress. In Proceedings of the Human Factors and Ergonomics Society Annual Meeting (Vol. 38, No. 15, pp. 979-979). Sage CA: Los Angeles, CA: SAGE Publications.
- Porcelli, A. J., & Delgado, M. R. (2017). Stress and decision making: effects on valuation, learning, and risk-taking. *Current opinion in behavioral sciences*, *14*, 33-39.
- Rice, S., & Keller, D. (2009). Automation reliance under time pressure. International Journal of *Cognitive Technology*, *14*(1), 36.
- Sauer, J., Kao, C. S., Wastell, D., & Nickel, P. (2011). Explicit control of adaptive automation under different levels of environmental stress. *Ergonomics*, 54(8), 755-766.
- Shields, G. S., Sazma, M. A., & Yonelinas, A. P. (2016). The effects of acute stress on core executive functions: a meta-analysis and comparison with cortisol. Neuroscience & *Biobehavioral Reviews*, *68*, 651-668.
- Smyth, N., Hucklebridge, F., Thorn, L., Evans, P., & Clow, A. (2013). Salivary cortisol as a biomarker in social science research. *Social and Personality Psychology Compass*, 7(9), 605-625.

POC: Dr. Mollie McGuire (<u>mrmcguir@nps.edu</u>)



9. Cooperative Autonomous ScanEagle

Figure 15. Cooperative behaviors of the ScanEagle UAV.

This approach addressed the challenges associated with the technology gap that exists between current state of unmanned remotely operated tools and the capability of robotic systems to support the warfighter in a fully autonomous mode. Remotely operated platforms are used routinely, but most still require manned attention for most of the mission. Fully autonomous systems capable of dynamic and agile interaction with warfighters remain unavailable. This work specifically focused on reducing the cognitive load on the operator by enabling autonomous and cooperative behavior of the ScanEagle UAV (see Figure 15). This research consisted of a partnership between NPS, Space and Naval System Command (SPAWAR) System Center (SSC) Pacific and Naval Air Warfare Center Weapons Division (NAWCWD) China Lake. It leveraged expertise and merged capabilities developed by each organization. In 2014, NPS demonstrated the initial capability to autonomously task a ScanEagle from an onboard computer, referred to as the secondary controller. In 2015, SSC Pacific developed an integration package for ScanEagle payloads that has since been adopted by the Naval Special Warfare (NSW) Multi-mission Tactical Unmanned Aerial System (MTUAS) program as a baseline capability for all advanced payloads in their portfolio. This integration manages and distributes power, data, and signals for payloads. One component of this integration kit is a small embedded computer module offering onboard computing capabilities developed by NAWCWD China Lake. We have successfully explored autonomous behavior of a single ScanEagle UAV. For this, an Information Operations (IO) payload was installed on the aircraft and autonomously redirected itself as necessary to refine the IO solution. Upon achieving a satisfactory solution, the payload redirected the aircraft to an optimal route to provide and maintain full motion video (FMV) coverage of the target. This was accomplished by the secondary controller autonomously slewing the onboard camera to maintain the SPOI on a fixed wireless mesh node. In addition, further refinement of the secondary controller was performed due to lack in vehicle dynamics in the additional algorithm. Extensive flight testing was conducted to tune the turn rate controller for optimizing the vehicle trajectories. The additional tuning and flight testing has produced a robust turn rate controller for the ScanEagle.

The research team included Dr. Sean Kragelund and Dr. Doug Horner, and the research was affiliated with Space and Naval System Command (SPAWAR) System Center (SSC) Pacific and Naval Air Warfare Center Weapons Division (NAWCWD) China Lake.

POC:\ Aurelio Monarrez (<u>amonarre@nps.edu</u>)

10. Development of Autonomous, Optimized Capabilities for MC3

The "Development of Autonomous Capabilities for MC3" project is intended to: 1) Develop autonomous, optimized satellite commanding and data exfiltration capability and incorporate into baseline pass scheduling for implementation at each ground station. Of particular interest is to develop intelligent, script-based commanding and intelligent, response-based-on-downlinked-data feedback to the commanding script. 2) Develop a specification of standardized commands and data formats to simplify new satellite automation for generic satellite tasking, i.e., to perform

basic satellite functions, or housekeeping tasks. 3) Develop applications ("apps") that can be used to retrieve and view status and data from any location using a computer or mobile device. Rationale for the need for this capability includes the following: 1) The number of very small satellites is rapidly proliferating. 2) The "many satellite, few ground station" problem is becoming more important. 3) The rapid increase in demand drives the need for autonomous, optimized commanding of the ground stations, as well as the capability to view ground station status and data from any location.

As the project has proceeded, progress includes the capability to use script-based commanding for the PropCube satellites. The PropCube satellites are 1U CubeSats able to collect GPS measurements and turn on and off UHF and S-band beacons in support of ionospheric propagation studies. There are currently three PropCubes in orbit being operated by the NPS Space Systems Small Satellite Laboratory. These satellites were delivered with no automated commanding capability, but are expected to be used for some beacon operations supporting NRL ionospheric science. The script-based commanding, incorporating feedback where possible, has already dramatically improved our capability to uplink commands and download data files. The PropCube Command and Data Handling (C&DH) is particularly challenging as the downlink data rates and efficiency are very low, while the uplink data rate and efficiency is relatively high. This low efficiency data downlink highlights the need for satellite autonomy and makes it important to use efficient scripts and downlinked telemetry as efficiently as possible to avoid unnecessary downlink repetition.

As part of improving the real time commanding efficiency, current efforts include LT Gilley's thesis (June 2019) on decryption of partial packets in the satellite downlink for real time telemetry analysis, currently unavailable except for post-pass processing. This improved level of feedback will immediately further increase the efficiency and capability of the script-based commanding, reducing unnecessary repetition of downlinked telemetry. As part of the spiral development of this software, the next steps for this project include the test implementation of a more advanced autonomous commanding capability. The next step is to take a language such as Python and develop satellite objects that can be based on standardized sets of commands. Embedding the satellite into the language will permit the use of the entire range of programming structures for the satellite. In addition, by standardizing the sets of commands, different satellites can be controlled using the same software. The details of the satellite will be embedded behind the object definition of that specific satellite. At the same time, the automation of the ground stations themselves, actually considering the remote ground stations as similar to satellites, is now understood to be a very similar problem and integral to the satellite automation itself. In particular, as the number of ground stations increases, it becomes important to apply the same principles of autonomy and ground station telemetry as with the satellites themselves.

The research team included Dr. Jim Newman, Jim Horning, Noah Weitz, and Mike Bailey. This research was associated with DoD Space.

POC: Dr. Jim Newman (jhnewman@nps.edu)

11. Simultaneous visual and IR UAV imaging of littoral systems for AI driven change detection

Bar built estuaries, also known as ephemeral rivers, are common features to coastlines where precipitation is marked by large seasonal variability and the coast is subject to high wave energy. During dry months (typically summer), the buildup of sediment at the river mouth closes of any circulation between the back lagoon/river and the coastal ocean. When precipitation increases, water from the back lagoon/river rises and eventually breaches the beach, thereby creating a direct connection between the river and coastal ocean.²⁹ During this transition, morphological change is rapid and dramatic. Here, in order to automatically detect change at Carmel River State Beach, an ephemeral river, machine learning algorithms were implemented for image classification using deep neural networks. Classification algorithms involve image segmentation where pixel-level class identification is used to create homogeneous classes that span an entire image.³⁰ Here, deep neural networks are trained using transfer learning methods on a single-label dataset including eight classes of coastal landforms.

Remarkable progress in image classification tasks was made due to the availability of the large annotated datasets (e.g. ImageNet) and the advances made with deep learning methods with Convolutional Neural Networks (CNN). However, obtaining large annotated datasets in heterogeneous landscapes, in this case coastal landforms, remains a challenge. Transfer learning is an effective method for employing benefits of deep learning methods with CNN's on small, annotated datasets. In transfer learning low lever features are transferred from pre-trained CNN's on very large datasets like ImageNet to a large CNN model (VGG19) trained on the small dataset without extensive overfitting monitored via validation dataset.

The transfer learning approach used here is to take a pre-trained network on ImageNet and copy it without the top classification layers to the target network. The target network on top of the transferred layers has two fully connected layers with relu as activation functions and 50% dropout that are randomly initialized. This network configuration is then trained toward the target task. The choice is made to backpropagate errors from the target task into the mid layers of the base (copied) features to fine-tune them to the target task while the very bottom transferred feature layers are left frozen, meaning that they do not change during training on the target task. If the target dataset is small and the number of parameters is large, fine-tuning may result in overfitting which is monitored via validation dataset.

The target network is developed using Keras with Tensorflow backend. Amazon AWS EC2 with GPU instances are used for training and inference. The best result is achieved on VGG19 architecture with five bottom frozen layers and the rest of the layers including classification layers on the top of the network trainable.

²⁹ Kraus et al. 2002; Rich and Keller 2013

³⁰ Buscombe and Ritchie 2018

Performance of classification task is summarized with confusion matrix which presents counts of all testing instances based on their actual class and the class predicted by the model. All entries on the diagonal of the confusion matrix represent correct predictions by the model, accounting for an average accuracy of 94% (*see Figure 16*).

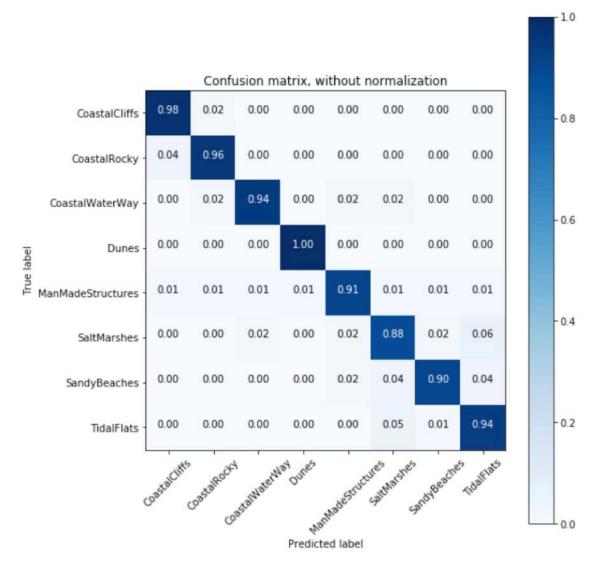


Figure 16. Confusion matrix showing model performance for over 750 test images, where the true label is (vertical axis) is compared with the model predicted label (horizontal axis).

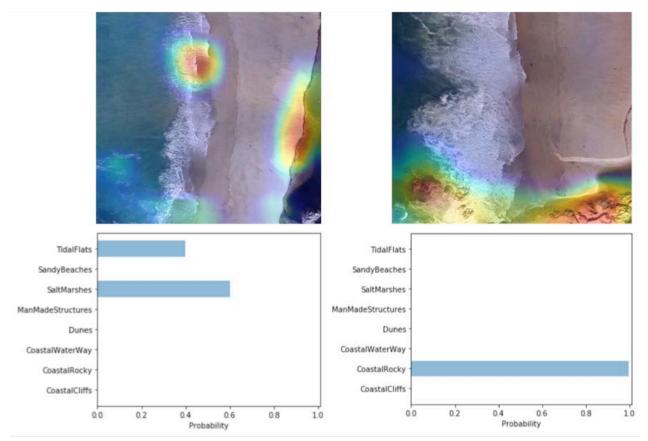


Figure 17. Class activation map and probability classification for Carmel River State Beach on December 6 (left) and January 23 (right). Color warmth indicates degree of confidence in label classification for dominant label shown below.

Change detection algorithms are being developed to use this CNN model to predict a change in landscape at a given location. For example, Figure 16 shows the model predictions for the same area of Carmel River State Beach, which were created using Structure-from-Motion but taken on December 6, 2017 prior to beach breaching (left) and January 23, 2018 after beach breaching (right) (*see Figure 17*). During the breach event, rocky outcrops were exposed in the bottom part of the image, and the back lagoon/marsh drained. Therefore a change in class prediction from Salt Marsh to Coastal Rocky is appropriate for these images.

This project will collaborate with another ONR-funded project to engage high school students in STEM research projects, which will happen this winter at Carmel River State Beach.

POC: Dr. Mara Orescanin (msoresca@nps.edu)

12. Network Enabled Digital Swarm Image Synthesis (NEDSIS) Phase 2

This project is to develop an electronic warfare (EW) deception technique called networkenabled digital swarm image synthesis (NEDSIS) against threat radars and enemy platforms. We have developed a finite impulse response (FIR) architecture of complex range bin processors to be hosted within a DRFM (digital RF memory) on a FPGA (Field Programmable Gate Array) or System-on-a-Chip (SoC) to modulate an intercepted and sampled imaging radar waveform. We have shown this "digital image synthesizer" (DIS) architecture to be capable of synthesizing multiple, large, false targets against high range resolution, profiling radar (such as synthetic aperture radar (SAR) and inverse SAR) providing a superior RF decoy capability in all types of weather. For the image synthesizer to provide a false-targeting, seduction and deception capability successfully, it must correctly synthesize the temporal lengthening and amplitude modulation caused by the many recessed and reflective surfaces of the target and must also be distributed and networked to generate the realistic multi-faceted Doppler profile for each surface. Figure 18 shows the network-enabled DRFM concept being developed.³¹ In this manner, the return signature being broadcast back to the emitter(s), is coherently derived and is disbursed in both range and angle adding to the target's realism.

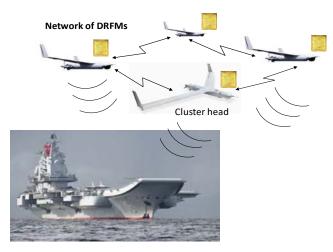


Figure 18. Network-enabled digital swarm image synthesis (NEDSIS) concept showing the objective to deceive the threat that the swarm is much larger.³²

An example of an HDL (hardware description language) model for the complex range bin processor being built in Simulink for porting into the Keysight FPGA DRFM board is shown in

³¹ The latency/coherency impact of the network is being explored in another effort.

³² The network-enabled coherent EW allows angle deception as well as range.

Figure 19.³³ This led to porting the entire DIS MATLAB code that was previously written to a scalable Simulink model that allowed up to 32 range bins. As a proof of concept, the code was ported to a Zynq706 board for verification. Ongoing work with this board involves utilizing the System on the Chip (SOC) capability. Also, MATLAB System Generator and HDL blocks were used in Simulink to generate the FPGA target specific HDL code. Currently, LT. Schroyer is working to synthesize the Simulink model into non-target specific Vivado IPs such that they can be downloaded to the Keysight FPGA.³⁴ This would be the final piece for a full up analog false target generation output from an analog input signal.

In addition to the hardware/firmware effort, a cooperative agreement was signed with L3T (Greenville, TX), for Maj. Jarrod Larson, USMC to conduct a series of experimental field tests to derive false target coefficients.³⁵ The tests are to take place at the Yuma Proving Grounds, AZ. However, lining up funding to coincide with the exact date has proved to be a challenge.

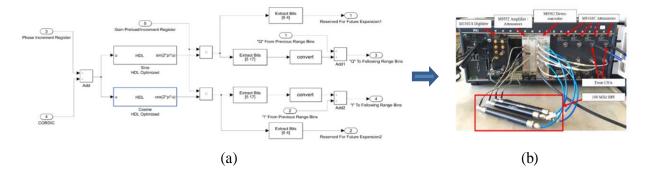


Figure 19. DRFM showing (a) Hardware Description Language (HDL) model of a complex range bin processor and (b) the Keysight DRFM hardware chassis boards.³⁶

The tests will last 1-week and involve two air targets (King aircraft, Huey H-1 helicopter) flying towards two surface-to-air acquisition (SAA) missile radar systems in order to collect and digitize the aircraft backscatter. Figure 20 shows an example for one of the test patterns to be flown. In addition, Rohde & Schwartz is lending Maj. Larson the measurement and recording equipment for the test. With the results of the test, we can develop realistic false target *gain*, *phase* and *extent* coefficients for the range bin modulators.³⁷ This represents a first step in the development of a coefficient database generation concept.

³³ Capt. Hawken Grubbs, USMC, "Field Programmable Gate Array High Capacity Technology For Radar And Counter-Radar DRFM Signal Processing," MS Information Warfare Systems Engineering MS(IW)SE, June 15, 2018.

³⁴ LT. Richard Schroyer, USN, "FM Pulsed Imaging Radar Manipulation Using FPGAs," MSEE 27 expected Sept 2019.

³⁵ Maj. Jarrod P. Larson, USMC, "Deriving DRFM False Target Coefficients from Experimental Tests (U)," MSEE (Secret), expected Sept. 27. 2019

³⁶ Grubbs (*see note 34 for full citation*)

³⁷ Larson (see note 36 for full citation)

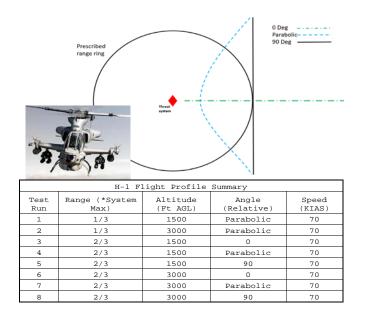


Figure 20. Huey H-1 flight profile for Yuma Proving Grounds flight test in Q4/2018.³⁸

The research team included Professor Ric Romero, Professor Phillip Pace, Maj. Jarrod Larson USMC, LT Richard Schroyer USN, Mr. Max Hainz, (ESEP, Germany), Mr. Sascha Mischorr (ESEP, Germany), and Dr. Susan Wilson and Dr. Frank Boyle of L3T. This research was associated with Rohde & Schwartz.

POC: Dr. Phil Pace (pepace@nps.edu)

13. Data Farming Explorations of the Tactics and Benefits of Unmanned Systems and Unmanned-Manned Teaming

Simulation models are integral to modern scientific research, national defense, industry and manufacturing, and public policy debates. These models tend to be extremely complicated, often with large numbers of factors and many sources of uncertainty, but recent breakthroughs help analysts deal with this complexity. Data farming is a descriptive metaphor that captures the notion of generating data purposefully in order to maximize the information "yield" from simulation models. Large-scale designed experiments let us grow the simulation output efficiently and effectively. We can explore massive input spaces, uncover interesting features of complex simulation response surfaces, and explicitly identify cause-and-effect relationships. NPS's SEED Center for Data Farming supports the design and analysis of large-scale simulation experiments to help make modeling and simulation more effective for decision makers.

³⁸ Schroyer (see note 35 for full citation)

This research used data farming approaches for four situations of interest to the Navy and Marine Corps involving unmanned systems. Four NPS master's students were involved: three in operations research (LT John Tanalega, USN; LT Devon Cobbs, USN; and Capt Nathan Gulosh, USMC), and one in undersea warfare (LT Preston Tilus, USN).

Multiple challenges exist in how to take distributed lethality (DL) from an aspirational concept to an at sea capability. Tanalega (2018) and Tilus (2018) sought to advance the Navy's ability to use closed-form constructive simulation to allow us to examine thousands of simulated battles varying scores of factors (e.g., combatants, formations, tactics, threats, environments, and more). The modeling environment is the agent-based Orchestrated Simulation for Modeling (OSM) together with Littoral Combat Ship Integrated Toolkit for Mission Engineering Using Simulations (LITMUS). OSM/LITMUS is currently under development at Navy Surface Warfare Center Dahlgren Division (NSWCDD). Their experiments provide tentative tactical insights regarding saturation levels, the efficient allocation of missiles, and identifying bottlenecks in the kill chain

Tanalega (2018) explored potential uses of unmanned surface vessels in a Surface Action group (SAG) versus SAG scenario. Of particular interest was the long range, high endurance Medium Displacement Unmanned Surface Vessel (MDUSV). When coupled with the Towed Airborne Life of Naval Systems (TALONS), a parasail-mounted sensor platform, the MDUSV can extend the visual and radar horizon of surface forces. Tanalega simulated over 30,000 battles in LITMUS where he varied sensor ranges, force dispersions, formations, whether or not MDUSVs are employed and armed, and more. His findings include: (i) the addition of MDUSV to a surface force triples the probability that the force is first-to-fires; (ii) an 81% first-to-fire probability can be achieved when the passive sensor range is at least 36 nautical miles, which can be accomplished with a 1050-ft tether height; and (iii) MDUSVs are most valuable as scouts, and arming them has minimal impact on first-to-fire probability.

Tilus (2018) used OSM/LITMUS to explore the benefits of unmanned-manned teaming in a tactical anti-submarine warfare (ASW) scenario. He conducted nearly 100,000 simulated ASW missions to quantify the benefit of integrating a P-8 Poseidon with an MDUSV. The LITMUS results indicate that teaming yields a 30% improvement in the probability of kill over that of the MDUSV alone. He also found a 10% decrease in conditional mean time to kill the submarine (given the submarine is killed) when the P-8 and MDUSV work in tandem, compared to time required when the P-8 operates alone. Tilus also identified enhancements necessary for OSM/LITMUS to model more complex scenarios. These were shared with NSWCCD for continued model development.

Gulosh (2018) used an agent-based modeling platform called MANA to investigate the employment of intelligence, surveillance, and reconnaissance (ISR) drone swarms to enhance ground combat operations. He examined two different types of swarm coordination: hierarchical and emergent. In hierarchical coordination, swarm elements are controlled by squad-level agents, who are in turn controlled by higher-level controllers. With emergent coordination, the coordination arises naturally as individual drones react to one another. Of interest was finding out what swarm operational thresholds and tactics best improve combat performance in support

of an infantry company, in a scenario modeling significant actions during Operation Enduring Freedom in 2011. Gulosh's results show that ISR sensor coverage can vary greatly depending on the swarm control strategy used (*see Figure 21*). Consequently, warfighters must know which control strategy best suits a particular mission in order to win the fight on future battlefields.

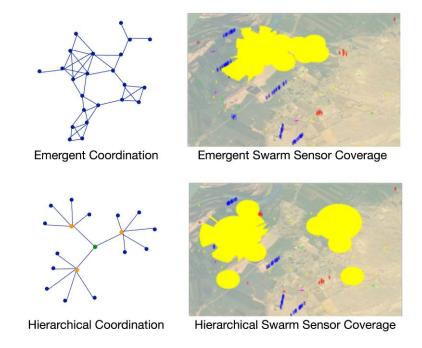


Figure 21. Swarm control strategies and line-of-sight coverage for ISR drone swarms.³⁹

Cobbs (2018) conducted a proof-of-concept study to demonstrate a methodology for exploring the impact of changing selected command and control (C2) thresholds on subsequent battle outcomes. His study involved a classified scenario, supplied by the Office of the Chief of Naval Operations, Assessment Division (OPNAV N81) instantiated in the Synthetic Theater Operations Research Model (STORM) campaign analysis platform. The success of this proof-of-concept provides opportunities for similar studies in the future where control thresholds for unmanned systems or other new technologies are examined. Commanders may be more willing to put unmanned platforms at risk than manned platforms, which in turn may open up opportunities for leveraging these new technologies in major naval campaigns.

In addition to the studies above, we continue to advance the data farming methodologies that facilitate rapid scenario generation and rapid exploration of new concepts for unmanned systems. An adaptive sequential experiment collects simulation runs in small batches at a time, and the estimated underlying response is automatically updated as new data become available. A simplified one-dimensional example appears below (*see Figure 22*).

³⁹ Adapted from Gulosh (2018)

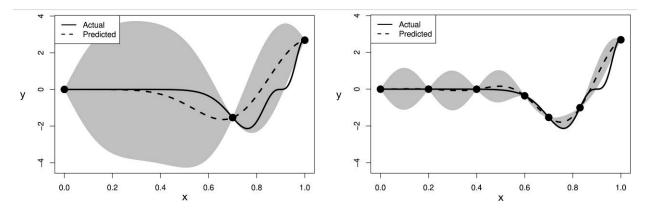


Figure 22. Predicted values converge to actual values, and uncertainty (gray regions) decreases, as the sequential experiment progresses.⁴⁰

The batch-sequential nature of the procedure takes advantage of parallel computing on the cloud or computing clusters. Overall, this approach is desirable for decision makers seeking to quickly identify and understand tradeoffs, such as involved in determining appropriate mixes of manned and unmanned assets, cost-benefit tradeoffs associated with unmanned system capabilities, and more.

Associated with the Navy Surface Warfare Center Dahlgren Division and Northwestern University, this research team included Dr. Susan Sanchez, Dr. Thomas W. Lucas, Mary L. McDonald, and Stephen C. Upton. There were four NPS theses associated with this research, and two were recognized in the MORS/Tisdale Thesis competition – one finalist and one winner.

POC: Dr. Susan Sanchez (<u>smsanche@nps.edu</u>) and Dr. Thomas Lucas (<u>twlucas@nps.edu</u>)

14. Acoustic Vector Sensing from Novel Autonomous Systems Using Light-Weight, Low-Power Data Acquisition Systems

Acoustic vector sensors have previously been successfully deployed in operational arrays by the Navy and integrated onto autonomous underwater vehicles at NPS. This work expanded upon previous efforts in order to investigate novel platforms, such as drifting buoys deploying tethered vector sensors, or mobile platforms such as the AquaQuad that feature a combination of sensing and mobility on demand. Due to energy demands and endurance requirements, such sensors and DAQ systems will necessarily need to be lightweight and low-power. In addition, by studying the utility of high-speed communications between independent, distributed sensor nodes in an ad hoc network, the potential for coherent/semi-coherent processing across multiple acoustic vector sensors from distinct platforms can be investigated. In this study, light-weight, low-power DAQ boards were designed with the goal of providing real-time data processing capabilities for the

⁴⁰ Adapted from Erickson et al. (2018).

AquaQuad or similar, novel autonomous systems for use by the Navy, as well as coherent processing between nodes for enhanced detection and localization capabilities.

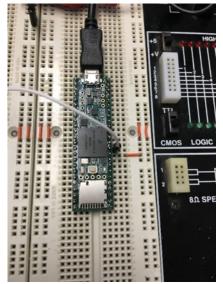


Figure 23. The Teensy data acquisition system being tested.

In FY18, a new lightweight, low-power DAQ was developed based on the Teensy MCU architecture (*see Figure 23*). The effectiveness of this new system is currently being evaluated. The integration of Bullet M Radio 2.4 GHz Ethernet successfully enabled live streaming of sensor data to a comms center. A low-loss cable and connector, allowing tethered sensor deployments to 100 m, was also developed. Various issues with the sensors were also resolved through identification of internal coupling and development of orientation calibration routines. Post-test analysis confirmed the ability of two separate systems to provide bearing information that leads to accurate track estimation (*see Figure 24*). Data continues to be processed and future tests have been identified in FY19.

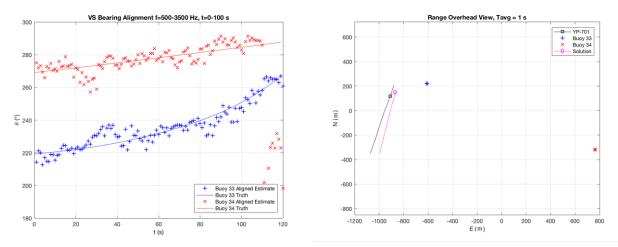


Figure 24. Bearing estimates from two, independent drifting buoy vector sensor systems (*left*), and target motion analysis solution of surface craft based on N-bearings approach (*right*).

Research team members included Research Associate Professor Vlad Dobrokhodov, Dr. Paul Leary, LT Steven Seda, LT Ben Carpenter, Dr. Mark Paulus (NUWC-KPT), Ted Cyr (NUWC-KPT), and Thomas Deal (NUWC-NPT). The research was affiliated with NUWC Division Keyport and NUWC Division Newport.

POC: Dr. Kevin Smith (<u>kbsmith@nps.edu</u>)

15. Study of Cybersecurity Requirements for the Military Robot Operating System (ROS-M) using ROS 2.0 on Unmanned Aerial Networks

One of the understudied areas of UAV security is the sensitivity of the Robot Operating System (ROS) to external threats. ROS is an open source, robust, general-purpose platform that is used for robotics programming. ROS 1.0 was designed without any network or cyber security features mainly because it was designed for research purposes. As such, ROS 2.0 was developed with significant security features built into the system itself. The emphasis for ROS 2.0 is on the middleware, which is built on the Data Distribution Service (DDS) standard. DDS is an open standard for developing real-time mission-critical distributed systems. This research focuses on the viability of ROS 2.0 to safeguard communications between a UAV and a ground control station (GCS). We test ROS 2.0's ability to mitigate certain specific communications threats including message spoofing and rogue nodes. We use the underlying security processes available in DDS including authentication, access control and encryption. The overall objective of this work is to provide the first step in the formal verification and validation process of ROS 2 middleware security such that it can be transitioned to Navy mission-critical applications.

Figure 25. UAV1 communications architecture that illustrates the experimental setup

Our experiments were performed on a Mac Book Pro laptop with an Intel Core i7-3615QM Processor running Ubuntu 16.04 LTS. The PX4 Multi Vehicle Simulation was utilized in setting up the experiments. Within this simulation setup, ROS 1.0 Kinetic is used with PX4 autopilot and the Gazebo 9 simulator (see Figure 25). The simulated drones, which in our simulation included three instances (i.e., three UAVs), are visualized in Gazebo. We used three quadrotor iris drones. Our simulation utilized a MAVROS MAVLink node in order to establish communication with PX4. QGroundControl v3.3.1 served as our GCS software. Through QGroundControl, the drone instances are armed, flightpath parameters are entered and the flightpath is executed. The Gazebo drone simulation generated sensor data, including motor and actuator values, from its simulated world, which is then transmitted to PX4. PX4 communicates with ROS and the GCS to send drone telemetry information as well as receive commands. Figure 5 depicts the MAVLink communications structure for the first UAV instance. Through the experiments, we tested the simulations against attempts to manipulate established MAVROS service nodes including the command arming, command landing and command takeoff nodes. A ROS 1.0 ROS 2.0 bridge is created so that the ROS 2.0 security features can be enabled in our drone simulation system. Our simulation setup required that we incorporate a bridge between ROS 2.0 and ROS 1.0, as the most recent version of ROS 2.0 (ROS 2.0 Ardent) does not support Gazebo. The bridge acts as a ROS 1 node as well as a ROS 2 node at the same time and can therefore subscribe to messages in one ROS version and publish them into the other ROS version. A series of simulations under three different conditions was conducted to demonstrate the strength of ROS 2.0 in the face of specific threat vectors. In order to establish a baseline for the simulations, a definitive flightpath was loaded into each of the three simulated UAVs. The

flightpath was derived to mirror a reconnaissance mission over a defined route. The route was determined based on the geographic features provided by the QGroundControl software. The UAVs executed this given flightpath under three specific conditions: Condition 1) The simulation is run with ROS 1.0.; Condition 2) The simulation is run with the ROS 1.0 ROS 2.0 Bridge devoid of any security features; and Condition 3) The simulation is run with the ROS 1.0 ROS 1.0 ROS 2.0 Bridge with security features enabled.

We ran the simulation through ten individual trials for each of the given conditions. Our simulation baseline trials were conducted without any malicious activity. The baseline results are shown in Table 3. Time was recorded from the moment the first UAV began its ascent to the moment the third UAV landed safely on the ground.

| Simulation Run Times (min:sec): Baseline Results | | | | | | | | | | | |
|---|------|------|------|------|------|------|------|------|------|------|--------|
| Conditions | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | ~Avg |
| ROS 1.0 | 2:30 | 2:20 | 2:31 | 2:17 | 2:28 | 2:44 | 2:39 | 2:27 | 2:24 | 2:40 | 2:30 |
| ROS 1.0/ROS2.0 Bridge | 2:33 | 2:32 | 2:23 | 2:19 | 2:30 | 2:31 | 2:47 | 2:41 | 2:27 | 2:42 | 2:32 |
| Security Enabled ROS 1.0/ROS 2.0 Bridge | 2:33 | 2:31 | 2:28 | 2:35 | 2:30 | 2:40 | 2:28 | 2:26 | 2:45 | 2:44 | 2:34 |
| Time to Disable Drone (min:sec) | | | | | | | | | | | |
| Conditions | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | ~Avg |
| ROS 1.0 | | | | | | | | | | | |
| UAV1 | 0:40 | 0:41 | 0:42 | 0:40 | 0:41 | 0:42 | 0:42 | 0:40 | 0:42 | 0:41 | 0:41.1 |
| UAV2 | 0:41 | 0:41 | 0:42 | 0:41 | 0:41 | 0:41 | 0:42 | 0:41 | 0:40 | 0:42 | 0:41.2 |
| UAV3 | 0:42 | 0:42 | 0:40 | 0:41 | 0:42 | 0:40 | 0:41 | 0:40 | 0:41 | 0:42 | 0:41.1 |
| ROS 1.0/ROS2.0 Bridge UAV1 | 0:44 | 0:42 | 0:43 | 0:42 | 0:43 | 0:42 | 0:42 | 0:45 | 0:44 | 0:45 | 0:43.2 |
| UAV2 | 0:42 | 0:42 | 0:43 | 0:44 | 0:45 | 0:43 | 0:41 | 0:44 | 0:43 | 0:45 | 0:43.2 |
| UAV3 | 0:44 | 0:42 | 0:43 | 0:44 | 0:45 | 0:43 | 0:41 | 0:44 | 0:43 | 0:44 | 0:43.3 |
| Security Enabled ROS 1.0/ROS 2.0 Bridge | | , | | | | | | | | | |
| UAV1 | 2:54 | 3:02 | 2:48 | 3:01 | 3:09 | 2:47 | 3:06 | 3:04 | 3:02 | 2:53 | 2:59 |
| UAV2 | 2:55 | 3:01 | 2:47 | 3:03 | 3:10 | 2:46 | 3:07 | 3:05 | 3:03 | 2:54 | 2:59 |
| UAV3 | 2:52 | 3:03 | 2:49 | 3:02 | 3:11 | 2:48 | 3:08 | 3:05 | 3:05 | 2:55 | 3:00 |

Table 3. Simulation Baseline Results (top) and Drone Disabling Simulation Results (bottom)

The first set of experiments focused on the MAVROS command arming service. A rogue node accesses MAVROS and directs it to shut down the targeted drone. This action caused the drone to instantly shutoff its engines and resulted in the drone crashing to the ground. UAV1, UAV2 and UAV3 represent the targeted nodes/drones. The timing results for this experiment are also provided in Table 3. There is an additional 16% increase in flight time latency when compared to the baseline.

The next set of trials involved a message spoofing attack in which a malicious node takes control of a UAV, forcing it to land at a prescribed location. The last set of trials also involved a message spoofing attack where a malicious node takes control of a UAV and forces it to gain altitude to a prescribed location. Due to space, the results for these experiments are not shown in this report. However, we noticed a 17.5% and 17% increase in latency, respectively.

We see from examination of the simulation results under the third condition, where security was enabled, that ROS 2 proved to be effective at mitigating each attack vector. Given these observations, we have shown that ROS 2.0 DDS works well in mitigating basic attacks. However, the effectiveness of this setup is inhibited by a significant latency overhead. It is our belief that implementation of the bridge was the prime factor in increasing the delay in flight time. As ROS 2.0 develops, the need for the bridged approach may no longer be required. Much of the work discussed here is part of Maj. Sergio Sandoval's thesis, NPS MSEE student. Many of the results not shown here can be found in his thesis.

In our future work we plan to continue to test and evaluate ROS 2 security for small networked UAV systems as well as assess the impact of ROS 2 on network performance including overhead and scalability when communications are intermittent.

Research team members included Maj. Sergio Sandoval, MSEE 2018, NPS LCDR Jose Fernandez, ECE Master's Student Dr. Brian Bingham, MAE; and this research was affiliated with Open Source Robotics Foundations (OSRF)

POC: Dr. Preetha Thulasiraman (pthulas1@nps.edu)

16. Mission Planning in Support of SAR Operations Involving Heterogeneous UxSs

The objective of this research was to develop and validate a framework for planning and executing a generic search and rescue (SAR) type mission that exploits advantages of utilizing multiple heterogeneous unmanned vehicles (UxVs). The system to identify and provide initial supplies to victims of natural disasters or catastrophic accidents must be able to locate victim(s) / person in distress (PID) that may be hard to see or buried in debris. The system should also provide rescue operators with intelligence of the area and the location of safe ingress/egress routes. It is also desired that the system be able to provide some initial supplies or communication equipment to PID to allow rescuers to determine the victims' state.

As a result of a conceptual design stage it was determined that it is a combination of the ground and aerial assets that would be the most beneficial to accomplish a typical SAR mission. As such, the prototype design involved a system-of-systems task-oriented approach to pool the resources and capabilities of multiple components together to create a new more complex system offering advanced functionality and performance (*see Figure 26a*).

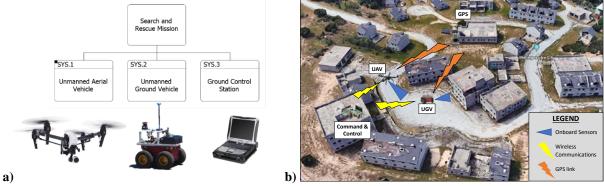


Figure 26. System-of-systems configuration (a), and Operational Viewpoint-1 (OV-1) diagram (b).

While unmanned aerial vehicle(s) (UAV) and unmanned ground vehicle(s) (UGV) are supposed to be able to (pre)process the sensor inputs onboard, the complexity of the mission still assumes the presence of human operator(s) in the loop. As such, the concept of operations assumes the command and control (C2) suite (*see Figure 27a*) serving as the main base of operations. Information from the heterogeneous unmanned assets is sent to the C2 suite and is analyzed by operators. The UAV serves as the main information-gathering platform and is envisioned to be sent out first for an area exploration mission. It would also be responsible for mapping the terrain, locating PID and determining possible routes for the UGV to get to PID. If necessary, UAV could also serve as a communication relay.

The UGV serves as the main PID assistance platform. The UGV is responsible for delivering supplies, to include radio, first aid kit etc., as needed by PID. The UGV would use the nominal route provided by UAV but could alter it based on information provided by its own onboard sensors. Once on target, UGV would provide live video feed for visual confirmation of PID and could relay any request from PID to the rescue personnel (via UAV).

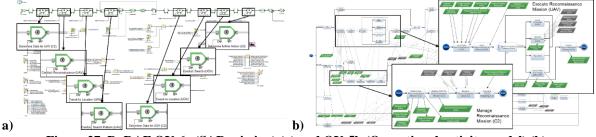


Figure 27. DoDAF OV-6c (SAR mission) (a) and OV-5b (Operational activity model) (b).

Figure 26b visualizes an example of a typical area where the SAR mission would be conducted, and in fact, that was an area, known as the Military Operations in Urban Terrain test site (MOUT) at Impossible City, CA, where the field-testing of the developed prototype was executed.

The entire mission was first analyzed within the Department of Defense Architecture Framework (DoDAF) to visualize infrastructure for specific stakeholders concerns through viewpoints organized by various views (*see Figures 28 and 29*). It was then modeled (*see Figure 30*) using the ExtendSim simulation environment convenient to model discrete event, continuous, agent-based, and discrete rate processes.

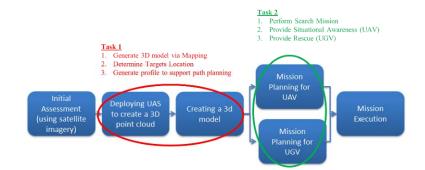


Figure 28. SAR mission planning sequence.

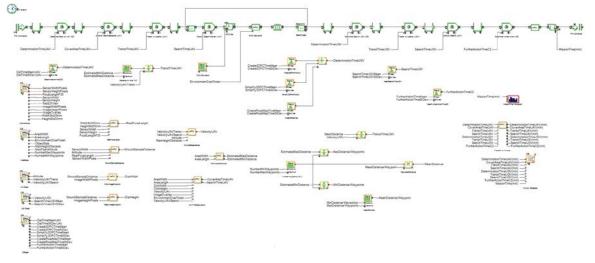


Figure 29. The ExtendSim model of a SAR mission involving heterogeneous UxVs.

Next, the entire system was prototyped using the Da Jiang Innovation (DJI) Inspire 1 UAV and Adept Technology Pioneer 3-AT UGV. Utilizing these systems in the SAR mission involved some hardware modification (*see Figure 31*) and a lot of code writing. Specifically, UGV was equipped with the Electrical Optical (EO) camera, LiDAR, GPS receiver, microphone, and computer running on the Linux Ubuntu 14.04 operating system and employing the ROS packages to generate the necessary commands. The Inspire 1 allowed making use of modular hardware packaging and programmable feature to meet the SAR mission requirements. Software wise, the DJI Inspire 1 comes with software development kit (SDK) that enables developer to tap into drone's hardware and software.

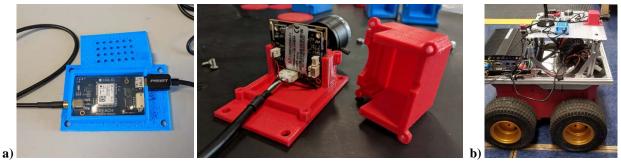


Figure 30. 3D printing / prototyping (a), and the developed ground platform (b).

Figure 31 shows examples of acquiring and preprocessing visual data on the intended area of operations (AoO) by UAV. Two dimensional (2-D) data (*see Figure 31a*) are used for scene recognition and laying out a road network. Multiple 2-D images are used to create a 3-D point cloud, which then is reduced to a usable AoA 3-D model. Figure 31b shows examples of a positive PID identification. Figure 8 visualizes an example of how the road network data were used by UGV to get from the AoO entry point to the close vicinity of PID. Figure 33 demonstrates the final stage when UGV arrives at PID location and UAV that automatically follows UGV confirms it.

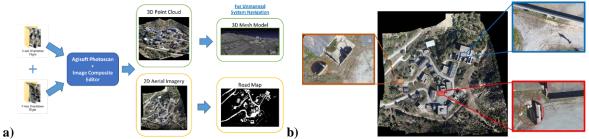


Figure 31. 2-D and 3-D mapping (a), and PID search identification (b).



Figure 32. UGV path optimization (a), and recordings of multiple executed missions (b).



Figure 33. PID identification.

Research effort also included several supplemental studies on C^2 link strength (see Figure 34a) and endurance (see Figure 34b), which was crucial in the real-world urban environment of MOUT.

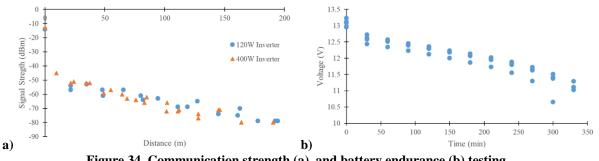


Figure 34. Communication strength (a), and battery endurance (b) testing.

In total, ten Systems Engineering (SE) students, and four research assistants were involved in this research: LT Mario Granata, LT Rob Hall, CPT Todd Howe, LT Daniel Michnewich, LT Wyatt Middleton, CPT Rondolf Moreno, LT Joshua Ramseur, LT Travis Turner, Wei Shun Teo, Stefan Wangert, Jeremy Metcalf, Rushen Dal, Albert Jordan. Two students contributed with their MS theses.

The SE students involved in this research overcame quite a few challenges: the necessity to learn a new programing language (Python) and two operating systems (LINUX and ROS), wireless networking, 3-D printing, basics of inertial navigation and controls, image processing, and data fusion (neither of these subjects are studied within the core Systems Engineering or curricula). They gained an experience in code writing, hardware integration, UGV support logistics and UAV operations.

POC: Dr. Oleg Yakimenko (oayakime@nps.edu)

B. FIELD EXPERIMENTATION

The Naval Postgraduate School (NPS) Field Experimentation (FX) Program was created to:

- 1) Provide an opportunity for NPS faculty and students to develop and test new technologies related to their research in an operational field environment, and
- 2) Provide the operational community the opportunity to use and experiment with these technologies.

Fundamental tenets of the NPS FX program include:

- Austere by design: the basics are provided- space to work, an airstrip, and basic communications infrastructure it is up to participants to bring everything else needed. This captures the flavor of an operational/expeditionary environment while also reducing the cost to execute each event.
- **Collaboration is expected:** collaboration often results in unexpected and positive results therefor participants are required to collaborate fully, with proprietary, CLASSIFIED, ITARS, EARS, etc. information as the only exceptions.
- **Bounded**, not controlled: NPS provides a safe, secure, and legal sandbox in which capabilities are explored and new ideas flourish with minimal controls.
- **Inclusive by default:** everyone is welcome to apply to the event good ideas come from everywhere. Events are advertised using a formal Request for Information (RFI) on FedBizOps.com. All participants are offered the opportunity to critique/suggest based on their observations and individual expertise.
- **Develop. Now:** goal is immediate development/adjustment participants are expected to conduct modification/development activity at the event, in real time.

Since 2002, NPS FX events have been conducted such that maximum innovation and collaboration are encouraged between DoD, government agencies, industry, universities, and in which Special Operations Forces (SOF), National Guard, and first responder participation and feedback are utilized for effectiveness, affordability, and feasibility of new technologies.

Sponsors have included the United States Special Operations Command (SOCOM), the Department of Homeland Security, the Joint Improvised Explosives Device Defeat Organization (JIEDDO), the Joint Support Office and the Rapid Reaction Technology Office.

CRUSER, since its beginning, has leveraged the NPS FX program to provide an efficient and cost-effective method of enabling experimentation with robotics and autonomous systems in a multi-institutional, semi-structured learning environment that educates both the experimenters and the observers about the potential war fighting utility of new technologies. CRUSER

sponsors have benefited by being able to leverage the existing infrastructure in support of field experimentation while FX participants benefit from the exposure to cutting-edge technologies associated with robotics and autonomous systems.

In addition to the regular participation of these events, the CRUSER and RRTO supported MTX serves as an opportunity to explore the potential of these systems to enhance the operational capability of naval warfighting elements to include Naval Special Warfare and THIRD Fleet elements. This was the case in October-November 2017 when the MTX experiment took place on, over and in the seas around San Clemente Island. A separate MTX report is available for government readers but of special interest is the potential demonstrated to enable rapid and effective validation and verification of autonomous systems explored as part of this MTX.

1. JIFX

The Joint Interagency Field Experimentation Program (JIFX)⁴¹ program exists to provide an opportunity for NPS faculty and students to demonstrate and evaluate new technologies related to the Department of the Navy and the Department of Defense research in an operational field environment. JIFX also provides a field experimentation resource for the Unified Combatant Commands (COCOMs) and other federal agencies. JIFX began in 2012 under the sponsorship of the Office of the Secretary of Defense and the Department of Homeland security. JIFX events are held quarterly, normally at NPS facilities on the California National Guard's Camp Roberts. In addition, State, local and international emergency management, disaster response and humanitarian assistance organizations are most welcome to help create an innovative cooperative learning environment. Summaries and results of FY18 JIFX experimentation are reported separately.

2. MTX

Planned in FY17, a maritime NPS-FX Multi-Thread Experiment (MTX) was executed in FY18 on San Clement Island, California 31 October through 15 November 2017.⁴² MTX 2017 explored a realistic operational scenario in a multi-domain environment: sea, land, and air. This scenario include tactical team operations supported by a guided missile destroyer (DDG) with all sharing data over the UxS control network. The primary goal of MTX was to advance autonomy of a collaborative UxV Network Control System in a multidomain environment. The system consisted of two ScanEagles (air), two SeaFox's (surface), two REMUS 100 (subsurface), one Shield AI Quadrotor (air), and a Persistent Systems mesh network. The experiment objectives of MTX where to:

1) Initiate development of a unified framework for UxV Network Control System,

⁴¹ JIFX website at https://my.nps.edu/web/fx

⁴² MTX video overview on YouTube at <u>https://youtu.be/o2mTAzyZdPo</u>

- 2) Support tactical unit route selection through an optimal trajectory 'template' approach for UAV road network mapping,
- 3) Reduce tooth-to-tail-ratio for UxV operations while harnessing the capability of these systems to more actively support the warfighter, and
- 4) Gather and analyze data to inform the path and priorities for the future.

The MTX 2017 team successfully completed simultaneous surveillance operations in support of the infiltration of a tactical unit on a SeaFox, using the ScanEagles and REMUS 100 vehicles as communication nodes. The mesh network was successfully transferring live video from the ScanEagles and the Shield AI Quadcopters, and NPS students were able to setup an integrated a C3F node with the mesh network.

C. EDUCATIONAL ACTIVITIES

The primary mission of the NPS is to provide relevant and unique advanced education and research programs to increase the combat effectiveness of commissioned officers of the Naval Service to enhance the security of the United States. CRUSER's core mission is to "shape generations of naval officers through education, research, concept generation and experimentation in maritime application of robotics, automation, and unmanned systems." CRUSER education programs consist primarily of science, technology, engineering, and math (STEM) outreach events; support for NPS student thesis work; and a variety of education initiatives. These initiatives include sponsored symposia that address ethical questions and related critical issues, catalog degree programs, short courses, and certificate programs. CRUSER's support of educational activities also involves surveying and aligning curricula for interdisciplinary unmanned systems education.

1. NPS Course Offerings and Class Projects

Select NPS courses contribute to CRUSER's mission by conducting class projects in various aspects of unmanned systems employment. Unmanned systems are studied directly, or introduced as a technical inject for use in strategic planning or war gaming. Beyond advancing research and concept development, these projects enhance education in unmanned systems. Capstone project courses are listed first. Other courses are listed alphabetically by course code.

Systems Engineering Analysis (SEA): Sponsored by the CNO Warfare Integration Division Chair of Systems Engineering Analysis, this inter-disciplinary curriculum provides a foundation in systems thinking, technology and operations analysis for warfighters. Systems Engineering applies the engineering thought process to the design and development of large, complex systems. Systems engineers analyze the need for a system, determine its operational concept, develop functional requirements, produce the system architecture, allocate the requirements among sub-systems, manage the design of the sub-systems, assure that the final design is integrated, assess any trade-offs made, and then implement and test the solution. Systems

Analysis provides key insights for improved operation of existing complex defense systems; it examines existing systems to better understand them. This understanding is then used to determine and choose among alternatives for system design, improvement and employment. Systems analysts apply modeling, optimization, simulation, and decision making under risk and uncertainty. The curriculum was previously called Systems Engineering and Integration (SEI). It was renamed Systems Engineering Analysis (SEA) and revamped in 2002 to emphasize the role and importance of analysis. Each SEA cohort must produce a report detailing their research, and make a recommendation based on their findings.

SEA 27 PROJECT: "Distributed Maritime Operations and Unmanned Systems Tactical Employment Development: Counter-Targeting, Decoys, EMW, and Man-Unmanned Tactics" Design a cost effective and resilient unmanned and manned system of systems capable of contributing to the Distributed Maritime Operations concept in the 2030-2035 timeframe. Focus your design's contributions on counter-targeting, decoys, deception, electromagnetic warfare and the manned-unmanned tactics associated with them to achieve desired effects in supporting tactical offensive operations in the air, surface, undersea and cyber domains. Consider employment requirements, power requirements, operating areas, bandwidth and connectivity, interoperability, sensor data processing, transfer and accessibility, logistics, forward arming and refueling (FARPS) basing support in forward areas or from CONUS bases. Where possible, include joint contributions in the systems of systems. Generate system requirements for platforms, sensors, and communications in a challenging EM and contested environment. Develop alternative architectures for platforms, sensors, active decoy packages, manning, communication and network connectivity, and their operational employment concepts. Address the costs and effectiveness of your alternatives in mission areas like at-sea strike and electromagnetic maneuver warfare. POC: Professor Jeff Kline (jekline@nps.edu)

The following are courses listed in the NPS catalog from all curriculums across campus that relate to robotics and autonomy.

Introduction to Scientific Programming (AE2440): The Introduction to Scientific Programming course offers an introduction to computer system operations and program development. The main goal of this course is to provide an overview of different structured programming techniques, along with introduction to MATLAB/Simulink and to use modeling as a tool for scientific and engineering applications. Among others the course teaches techniques for rapid prototyping of mission building / control development for unmanned vehicles. **POC:** Professor Oleg Yakimenko (oayakime@nps.edu)

Robotic Multibody Systems (AE4820): This course focuses on the analytical modeling, numerical simulations and laboratory experimentation of autonomous and human-in the loop motion and control of robotic multibody systems. Systems of one or more robotic manipulators that are fixed or mounted on a moving vehicle are treated. Applications are given for underwater, surface, ground, airborne, and space environments. The course reviews basic kinematics and dynamics of particles, rigid bodies, and multibody systems using classical and energy/variational methods. The mechanics and control of robotic manipulators mounted on

fixed and moving bases are considered. The course laboratories focuses on analytical and numerical simulations as well as hands-on experimentation on hardware-in-the-loop. **POC:** Dr. Marcello Romano (mromano@nps.edu)

Fundamentals of Robotics (EC4310): This course presents the fundamentals of land-based robotic systems covering the areas of locomotion, manipulation, grasping, sensory perception, and tele-operation. Main topics include kinematics, dynamics, manipulability, motion/force control, real-time programming, controller architecture, motion planning, navigation, and sensor integration. Several Nomad mobile robots will be used for class projects. Military applications of robotic systems are discussed. **POC:** Professor Xiaoping Yun (yun@nps.edu)

Introduction to Control Systems (ME2801): The Introduction to Control Systems presents classical analysis of feedback control systems of dynamic systems including unmanned vehicles using basic principles in the frequency domain and in the s-domain. Performance criteria in the time domain such as steady-state accuracy, transient response specifications, and in the frequency domain such as bandwidth and disturbance rejection are introduced. Simple design applications using root locus and Bode plot techniques are addressed. Laboratory experiments are designed to expose the students to testing and evaluating mathematical models of physical systems, using computer simulations and hardware implementations. **POC:** Dr. Brian Bingham (bsbingha@nps.edu)

Introduction to Unmanned Systems (ME3720): An Introduction to Unmanned Systems is an introductory graduate level course in robotics with an emphasis on learning through hands on projects. It provides an overview of unmanned aerial, surface and underwater systems technology and operations including guidance, navigation, control, sensors, filtering and mapping. All three class projects currently use a small dual water jet USV as the demonstration robot. Each project is broken down into simulation and operation sections. The first project involves the implementation of a Proportional, Integral and Derivative heading controller. The second project goal is to design and implement a cross track error controller. The final project involves real-time path planning and path following through a dynamically changing environment. Course work includes programming the robot in Python. **POC:** Dr. Douglas Horner (dphorner@nps.edu)

Dynamics and Control of Marine and Autonomous Vehicles I (3-2) (ME3801): First part of the course develops 6DOF equations of motion of marine and autonomous vehicles. Initially we discuss kinematics, followed by vehicle dynamics and overview of forces and moments acting on the marine/autonomous vehicles. Second part of the course introduces basic concepts of linear systems analysis as well as linear systems design using state-space techniques. All the examples used in the second part of the course includes a lab that further illustrates the concepts developed in class using hardware-in-the-loop simulation of an autonomous vehicle. Prerequisite: ME2801. **POC:** Dr. Isaac Kaminer (kaminer@nps.edu)

Autonomous Systems and Vehicle Control II (ME4811): This course introduces multivariable analysis and control concepts for MIMO systems. Topics covered include: state observers,

disturbances and tracking systems, linear optimal control, and the linear quadratic Gaussian compensator. The course also gives an introduction to non-linear system analysis, and limit cycle behavior. **POC:** Dr. Isaac Kaminer (<u>kaminer@nps.edu</u>)

Marine Navigation (ME4821): The Marine Navigation course presents the fundamentals of inertial navigation, principles of inertial accelerometers and gyroscopes. It also considers external navigation aids (navaids) including the Global Positioning System (GPS). This course includes derivation of gimbaled and strapdown navigation equations and error analysis. It also introduces Kalman filtering as a means of integrating data from navaids and inertial sensors. Students are required to model navigation system and test it in computer simulations as applied to a choice of underwater, surface, ground or aerial vehicle in the ideal and GPS-denied environment. **POC:** Professor Oleg Yakimenko (oayakime@nps.edu)

Guidance, Navigation, and Control of Marine Systems (ME4822): This course takes students through each stage involved in the design, modeling and testing of a guidance, navigation and control (GNC) system. Students are asked to choose a marine system such as an AUV, model its dynamics on a nonlinear simulation package such as SIMULINK and then design a GNC system for this system. The design is to be tested on SIMULINK or a similar platform. Course notes and labs cover all the relevant material. **POC:** Dr. Isaac Kaminer (kaminer@nps.edu)

Cooperative Control of Multiple Marine Autonomous Vehicles (ME4823): This course covers selected topics on trajectory generation and control of multiple marine autonomous vehicles. First part of the course addresses techniques for real-time trajectory generation for multiple marine vehicles. This is followed by introduction to algebraic graph theory as a way to model network topology constraints. Using algebraic graph theory formalism Agreement and Consensus problems in cooperative control of multiple autonomous vehicles are discussed, followed by their application to cooperative path following control of multiple autonomous vehicles. Lastly, the course covers topics suggested by the students, time permitting. POC: Dr. Isaac Kaminer (kaminer@nps.edu)

Leadership in Product Development (MN3108): This is a product development course providing a broad framework for the leadership of end-to-end product commercialization with a student hands-on design challenge, to give students perspective and appreciation for the critical success factors and inhibitors to successful commercialization of complex products and systems. The format includes lectures, guest speakers, case studies and a design challenge. Topics include product development strategy and leadership, the front-end process, product delivery, distribution and customer support. The Design Challenge is as a multi-disciplinary system design experience. Students work in teams to design, build, test and demonstrate a real product, which in FY16 was a self-driving car autonomous system. The Design Challenge culminates with a prototype demonstration competition. **POC:** Associate Professor Kristin Giammarco (kmgiamma@nps.edu)

Search Theory and Detection (OA3602): Students in this course, Search Theory and Detection (OA3602) investigated the mathematical and computational foundations of applied probability, stochastic systems, and optimization modeling in relation to operationally relevant search

scenarios, such as anti-submarine warfare, mine clearance and sweeping, and combat search and rescue. Such mission sets, to also include intelligence, surveillance, and reconnaissance (ISR); harbor security; and border patrol, are increasingly involving unmanned systems. **POC:** Professor Michael Atkinson (mpatkins@nps.edu)

Joint Campaign Analysis (OA4602): The Joint Campaign Analysis course is an applied analytical capstone seminar attended by operations research students, joint operational logistics students, modeling and simulation students, and systems engineering analysis students. It uses scenarios and case studies for officers to use the skills they have acquired in their degree programs in an operational environment. During scenario planning and quantitative assessment using warfare analysis techniques, students are asked to provide a quantitative military value assessment of unmanned systems and their concept of employment. In a Maritime War 2030 scenario involving increased tensions and conflict in the Sea of Okhotsk, East China Sea, and Baltic Sea, students explored demanding sea control environments and the use of unmanned systems to enhance cross domain integrated fires in those environments For example, when Precision, Navigation, and Timing information is constrained, DARPA's TERN project (longrange UAV from Surface Action Group) was shown to provide longer range targeting capability and more efficient use of missiles. POC: Professor Jeff Kline (jekline@nps.edu)

Advanced Applied Physics Lab (PC4015): Students incorporate knowledge of analog and digital electronic systems to design, implement, deploy and demonstrate an autonomous vehicle. The vehicle is required to demonstrate navigation and collision avoidance. The course is taught in a standard 12-week format. A Needs Requirement Document is presented. Design reviews are held at the 4 and 8 week period. Demonstration of Autonomy is required to pass the class. **POC:** Professor Raymond Gamache (rmgamach@nps.edu)

Systems Architecture and Design (SE4150): This course provides students an opportunity to develop and practice system architecting and design skills in identifying system elements with their capabilities, designing the relationships between those elements, and predicting system behavior through those relationships. The course provides the language, terminology, concepts, methods, and tools of system architecting, modeling and design through a study of various types of architectures, architecting and design. Through the use of "A Lab Manual for Systems Architecting and Analysis," which sets an operational stage for the employment of manned or unmanned systems for search and rescue operations, students explore functional and physical architecture modeling and analysis, architecture frameworks, and object oriented modeling approaches. **POC:** Associate Professor Kristin Giammarco (kmgiamma@nps.edu)

Systems Integration and Development (SE4151): This course provides the student with an understanding of the context and framework for planning and carrying out integration and development, including emergent behavior, manufacturing, and production of complex systems. Topics covered include systems and SoS integration and production with consideration of multiple suitability aspects, including availability, reliability, maintainability, embedded software, human factors, producibility, interoperability, supportability, emergent behavior, life cycle cost, schedule, and performance. The CRUSER-sponsored "Lab Manual for Systems Architecting and Analysis" was used to provide students with a reference operational mission of

search and rescue, as well as design and integration techniques for assessing manned and unmanned solutions for executing that mission. **POC:** Associate Professor Kristin Giammarco (kmgiamma@nps.edu)

Systems Test and Evaluation (SE4354): The Systems Test and Evaluation course covers principles of test and evaluation (T&E) and the roles, purposes, functions, and techniques of T&E within the systems engineering process. The course covers all aspects of T&E throughout the life cycle of a system to include test planning, test resources, development of test requirements, selection of critical test parameters, development of measures of effectiveness and performance, test conduct, analysis of test results, and determination of corrective action in the event of discrepancies. It also covers principles of experiment design and statistical analysis of test results. Students are also exposed to several case studies and lessons learned from actual defense system tests. **POC:** Professor Oleg Yakimenko (oayakime@nps.edu)

Formal Methods for Systems Architecting (SE4935): This course debuted in Spring 2015 to introduce the application of formal methods to system architecture model and design analysis. PhD and Master's students were exposed to theories and practices that use mathematics and formal logic for the formulation, interrogation, assessment and measurement of properties of architecture models and the designs they describe. Unmanned system models in the Monterey Phoenix -enabled tool at firebird.nps.edu, all CRUSER-sponsored works, were introduced along with conventional modeling techniques illustrated in the "Lab Manual for Systems Architecting and Analysis," which was sponsored by CRUSER in FY14. The aim of this course is to apply systematic and formal thinking to the development and evaluation of system architectures. Students completed individual projects demonstrating their understanding of new architecting principles and practices developed for unmanned systems models, and many went on to synthesize potential PhD research topics from their papers. The creation of this course was wholly-enabled by the products of the 2015 CRUSER research and the 2016 course offering informed the development of educational manuals. **POC:** Associate Professor Kristin Giammac@nps.edu)

Model Based Systems Engineering (SE4930): Practical systems engineering relies heavily on models during conceptualization, system definition, system design, system integration, as well as system assessment. This course addressed the use of models in all phases of the systems engineering process using the CRUSER-sponsored "A Lab Manual for Systems Architecting and Analysis" as a student learning guide. The lab manual guided the team projects to design a UGV. Another section of SE4930 students during the same term were exposed via a guest lecture to unmanned systems modeled in Monterey Phoenix. **POC:** Associate Professor Kristin Giammarco (kmgiamma@nps.edu)

Systems Software Engineering (SE4003): This course is designed to teach students the basic concepts of software engineering and methods for requirements definition, design and testing of software. Specific topics include introduction to the software life cycle, basic concepts and principles of software engineering, object-oriented methods for requirements analysis, software design and development. Special emphasis is placed on the integration of software with other components of a larger system. In the FY16 class, students from NAVAIR learned how to

model and test the systems software architecture of a UGV using automated tools including Innoslate and Monterey Phoenix (MP). Four MP assignments were assigned and completed to teach students the basics of using this tool for exposing design errors in the CRUSER-sponsored UGV case study. **POC:** Associate Professor Kristin Giammarco (kmgiamma@nps.edu)

Systems Architecture (SI4022): Systems architects respond to user needs, define and allocate functionality, decompose the system, and define interfaces. This course presents a synthetic view of system architecture: the allocation of functionality and its projection on organizational functionality; the analysis of complexity and methods of decomposition and re-integration; consideration of downstream processes including manufacturing and operations. Physical systems and software systems, heuristics and formal methods are presented. Students attended a lecture on Monterey Phoenix, including a demo of unmanned system models, and many students in this section chose to conduct their individual research assignments in the area of systems architecting using techniques described in the CRUSER-sponsored "Lab Manual for Systems Architecting and Analysis." **POC:** Associate Professor Kristin Giammarco (kmgiamma@nps.edu)

2. Continuing Education Panel Series

In FY17 CRUSER initiated the Continuing Education Panel Series *Just One Thing*. Within the rapidly growing domain of robotics and autonomy, this new education initiative provided a venue for recognized experts to advise naval leadership as to what they believe the most important challenge will be for the naval enterprise over the next ten years. During a candid discussion, panelists each have an opportunity to offer their respective opinions as to where the Department of the Navy should focus their efforts in the development of robotics and autonomy. The first panel was held on the NPS campus on 19 September during the WIC Workshop for the Deputy Assistant Secretary of the Navy (DASN) for Unmanned Systems retired Marine Corps Brigadier General Frank Kelley (*see Figure 35*).



Figure 35. CRUSER Continuing Education Panel "Just One Thing", 19 September 2017.

The second in the planned three "Just One Thing" panel series was held in the Pentagon Conference Center on 24 May 2018. Approximately 50 government attendees filled the room to listen to and engage with Drs. Peter W. Singer⁴³ of the New America Foundation, David Mindell⁴⁴ of the Massachusetts Institute of Technology, and Lydia Kostopoulos⁴⁵ of Sapien 21 (see Figure 36). The panel, moderated by Dr. Raymond Buettner (see Figure 36, right), were asked "What is the one most important single thing the Department of the Navy should be doing to enable the USN and USMC to rapidly assimilate and exploit the capabilities represented by robotic and autonomous systems?" Out of that robust discussion, the three "big ideas" for consideration were:

1) **Do not be afraid of autonomous systems.** Truly autonomous systems, i.e. with free will, can never be built, so we need to engage in building systems with a range of autonomy scalable to the desired application. The NPS MTX effort is an example of the kind of autonomy employment that enable this constrained autonomy.

2) The country is at a crossroads with regard to the form our Navy will take and we either will adapt our force structure or be left behind. An example of a radical idea is the leasing of a large number of small missile combatants based on commercial yacht designs on a rotating basis so the fleet is continuously updated at lower cost while achieving enhanced lethality.

3) To keep up with human and organizational challenges created by rapidly emerging technologies, such as autonomous systems, the SECNAV should adopt a "shareholder view" of the force. Shareholders would have access to social media tools for a full and vibrant discussion of issues affecting them and an ongoing opportunity to raise issues. The same tools will allow leadership to identify the most important issues from the shareholder's perspective. Top issues would be addressed annually in a virtual shareholder's meeting.

⁴³ Peter Warren Singer (biography): <u>https://www.pwsinger.com/biography/</u>

⁴⁴ David Mindell (biography): <u>https://www.humatics.com/people/david-mindell/</u>

⁴⁵ Lydia Kostopoulos (biography): <u>http://smallwarsjournal.com/author/lydia-kostopoulos</u>



Figure 36. "Just One Thing" panel in the Pentagon Conference Center, 24 May 2018. Pictured from left to right Dr. Peter Singer, Dr. Lydia Kostopoulos, Dr. David Mindell, and Dr. Raymond Buettner (*moderator*).

The final panel in the planned three discussion series will be scheduled concurrent with TechCon 2019 in mid-April 2019, and a final report of recommendations will be shared with appropriate leadership before the end of FY19.

3. CRUSER Seminars

In FY18 CRUSER presented two CRUSER Seminars, formerly CRUSER Colloquiums, on the NPS campus for the community of interest. Although this report is only intended to cover FY18, this section also includes details for the initial FY19 CRUSER Seminar as it occurred so early in the new fiscal year.

To start FY18, on 2 October 2017 CRUSER hosted a talk by Dr. Bob Iannucchi from Carnegie Mellon University Silicon Valley, a Distinguished Service Professor in the Department of Electrical and Computer Engineering and Director of the CyLab Mobility Research Center. He presented his talk titled *Wireless Networking Reimagined* in which he detailed his team's longstanding relationship with NPS Field Experimentation, and the findings and status of ongoing work his team is completing with support of the NPS FX team out at Camp Roberts.

<u>Biography:</u> As the Director of the CyLab Mobility Research Center, Bob Iannucci is known for leading both software and systems research in scalable and mobile computing. Previously, he served as Chief Technology Officer of Nokia and Head of Nokia Research

Center (NRC). Bob spearheaded the effort to transform NRC into an Open Innovation center, creating "lablets" at MIT, Stanford, Tshinghua University, the University of Cambridge, and École Polytechnique Fédérale de Lausanne (EPFL). Under his leadership, NRC's previously established labs and the new lablets delivered fundamental contributions to the worldwide Long Term Evolution for 3G (LTE) standard. He also helped create and promulgate what is now the MIPI UniPro interface for high-speed, inphone interconnectivity, and created and commercialized Bluetooth Low Energy – extending wireless connectivity to coin-cell-powered sensors and other devices. Pertinent new technology initiatives of interest to the CRUSER community include TrafficWorks (using mobile phones to crowd source traffic patterns), part of the Mobile Millennium Project, Point and Find (Augmented Reality using the mobile phone's camera for image recognition and "zero click" search.⁴⁶ Dr. Iannucchi has led engineering teams at startup companies focused on virtualized networking and computational fluid dynamics, creating systems that offered order-of-magnitude improvements over alternatives. He also served as Director of Digital Equipment Corporation's Cambridge Research Laboratory (CRL) and became VP of Research for Compaq. CRL created some of the earliest multimedia indexing technologies, and these became part of Alta Vista. In addition, the CRL team together with Dan Siewiorek, Asim Smailagic and others at CMU created MoCCA — a mobile communication and computing architecture — that prefigured and anticipated (by more than a decade) much of what has become today's smartphone technology. MoCCA won the IDEA Gold award for its innovative approach to facilitating real-time interaction within teams. The industrial design prototype is now part of the permanent design collection at the Smithsonian Institution. Bob was a founder of Exa Corporation, and led the engineering team that created and delivered Digital Physics (a term he coined and that Exa holds as a registered trademark) fluid flow simulation CAD tools. Exa went public in 2012. Bob spent the earliest days of his career at IBM studying and developing scalable computing systems and was one of the designers of the highly successful IBM 4341 and 4381 processors.

Bob remains active as a hands-on systems builder. His most recent iPhone app for radio direction finding is in use in over 70 countries, and he is actively engaged in building WiFi-based "internet of things" devices and the cloud services behind them. He serves as an advisor to companies developing new technologies for wireless networking. Bob earned his Ph.D. from MIT in 1988, and his dissertation was on the hybridization of dataflow and traditional von Neumann architectures, offering advantages over both. He has served on a number of scientific and engineering advisory boards and was on the program committees for the 3rd and 4th International Symposia on Wearable Computing. Bob also served as a member of the selection committee for the Millennium Technology Prize in 2008.

⁴⁶ identified by MIT Technology Review as one of the TR10 Breakthrough Technologies), and the Morph Concept (opening new directions for using nanotechnology to significantly improve mobile phone functionality and usability)

On 27 February 2018, Mr. Brett Vaughn, senior staff member from the office of the Deputy Chief of Naval Operations for Information Warfare, shared his work mapping efforts in artificial intelligence (AI) across the Naval Research Enterprise.⁴⁷ His discussion covered the complex journey the Navy must embark on to realize the full potential of AI, including the challenges this poses and the conditions needed to overcome them. Vaughn covered the differences between an exponential organization, an organization that has achieved a 10-fold growth capacity via the application of exponential technology, and a linear organization. He cited companies like Google, Apple and Netflix as examples of exponential organizations that use exponential technologies to achieve an advantage over their competitors, while the Navy better fits the definition of a linear organization. Vaughn stressed that the Navy is a leader in the science and technology portion of AI and in its development, but he also noted that what the service lacked is in its application. It is time for the AI being developed in the lab to be pushed out into the field and tested in real world scenarios, he said. "What makes you guys so important on this journey is that if you look at the Navy, most of the work done involving AI is in the realm of research and lab work," Vaughn continued. "A lot of those advances in some areas today are driven by industry and commercial partners, and through the efforts of groups like CRUSER, which builds connections between industry and academia, we can have these connections that are absolutely vital to apply that technology."

To start FY19 on Monday 22 October 2018, Dr. Kristi Morgansen, University of Washington Interim Chair Professor and Associate Chair for Academics – Adjunct in Electrical Computer Engineering – presented her talk *Empirical Methods at the Boundary of Model-Based and Learned Integrated Sensing and Actuation* for the CRUSER community.

Abstract: A fundamental element of effective operation of autonomous systems is the need for appropriate sensing and processing of measurements to enable desired system actions. Model-based methods provide a clear framework for careful proof of system capabilities but suffer from mathematical complexity and lack of scaling as probabilistic structure is incorporated. Conversely, learning methods provide viable results in probabilistic and stochastic structures, but they are not generally amenable to rigorous proof of performance. A key point about learning systems is that the results are based on use of a set of training data, and those results effectively lie in the convex hull of the training data. This presentation will focus on use of model-based nonlinear empirical observability criteria to assess and improving and bounding performance of learning pose (position and orientation) of rigid bodies from computer vision. A particular question to be addressed is what sensing data should be captured to best improve the existing training data. The particular tools to be leveraged here focus on the use of empirical observability gramian techniques being developed for nonlinear systems where sensing and actuation are coupled in such a way that the separation principle of linear methods does not hold. These ideas will be discussed relative to both engineering applications in the form of

⁴⁷ Summary of this talk adapted from NPS Intranet coverage "CRUSER Examines the Navy's Future With Artificial Intelligence" by MC2 Patrick Dionne <u>http://www.nps.edu/web/guest/-/cruser-examines-the-navy-s-future-with-artificial-intelligence</u>

motion planning for range and bearing only navigation in autonomous vehicles, vortex position and strength estimation from pressure measurements on airfoils, and effective strain sensor placement on insect wings for inertial measurements.

Biography: Kristi Morgansen received a BS and a MS in Mechanical Engineering from Boston University, respectively in 1993 and 1994, an S.M. in Applied Mathematics in 1996 from Harvard University and a PhD in Engineering Sciences in 1999 from Harvard University. Until joining the University of Washington, she was first a postdoctoral scholar then a senior research fellow in Control and Dynamical Systems at the California Institute of Technology. She joined the William E. Boeing Department of Aeronautics and Astronautics in the summer of 2002 as an assistant professor. She is currently a full professor and Interim Chair of the department. Professor Morgansen's research interests focus on nonlinear systems where sensing and actuation are integrated, stability in switched systems with delay, and incorporation of operational constraints such as communication delays in control of multi-vehicle systems. Applications include both traditional autonomous vehicle systems such as fixed-wing aircraft and underwater gliders as well as novel systems such as bio-inspired underwater propulsion, bio-inspired agile flight, human decision making, and neural engineering. The results of this work have been demonstrated in estimation and path planning in unmanned aerial vehicles with limited sensing, vorticity sensing and sensor placement on fixed wing aircraft, landing maneuvers in fruit flies, joint optimization of control and sensing in dynamical systems, and deconfliction and obstacle avoidance in autonomous systems and in biological including birds. systems fish. insects. bats. and (https://www.aa.washington.edu/people/faculty/morgansen)

4. NPS Student Theses and Travel

CRUSER community of interest members guided several NPS students as they developed and completed their thesis work throughout the CRUSER program lifetime (*included in a cumulative listing in Appendix B*). The following table (*see Table 4*) lists students mentored in FY18 (2017 DEC, 2018 MAR, 2018 JUN, and 2018 SEP).

| AUTHOR(s) | TITLE | DATE (year-mo) | URL |
|---|---|-------------------|-----------------------------------|
| LT Ryan Clapper USN | DIRECTIONAL NETWORKING SOLUTIONS FOR A CLANDESTINE MANET | 2018 MAR | Controlled access |
| LT Tiffany Clark USN | INTEGRITY-BASED TRUST VIOLATIONS WITHIN HUMAN-MACHINE TEAMING | 2018 JUN | http://hdl.handle.net/10945/59637 |
| LT Alan J. Clarke USN and Maj Daniel Knudsen III USMC | EXAMINATION OF COGNITIVE LOAD IN THE HUMAN-MACHINE TEAMING CONTEXT (NPS Outstanding Thesis 2018) | 2018 JUN | http://hdl.handle.net/10945/59638 |

 Table 4. FY18 CRUSER mentored NPS student theses (alphabetical by author)

| Capt K. Comstock USMC and Capt S. Krajewski USMC | UNMANNED TACTICAL CONTROL AND COLLABORATION (UTACC) QUICK-WIN ROBOT ANALYSIS | 2018 SEP | http://hdl.handle.net/10945/60380 |
|--|--|----------|-----------------------------------|
| Maj John M. Fout USMC and Maj James M. Ploski USMC | UNMANNED TACTICAL AUTONOMOUS CONTROL AND COLLABORATION HUMAN MACHINE COMMUNICATION AND SITUATIONAL AWARENESS DEVELOPMENT | 2018 JUN | http://hdl.handle.net/10945/59661 |
| Capt Hawken Grubbs USMC | FIELD PROGRAMMABLE GATE ARRAY HIGH CAPACITY TECHNOLOGY FOR RADAR AND COUNTER-RADAR DRFM SIGNAL PROCESSING (NPS Outstanding Thesis 2018) | 2018 JUN | http://hdl.handle.net/10945/59670 |
| Maj Nathan J. Gulosh USMC | EMPLOYMENT OF INTELLIGENCE, SURVEILLANCE, AND RECONNAISSANCE DRONE SWARMS TO ENHANCE GROUND COMBAT OPERATIONS (NPS Outstanding Thesis 2018) | 2018 JUN | http://hdl.handle.net/10945/59672 |
| LT Calvin S. Hargadine USN | MOBILE ROBOT NAVIGATION AND OBSTACLE AVOIDANCE IN UNSTRUCTURED OUTDOOR ENVIRONMENTS | 2017 DEC | http://hdl.handle.net/10945/56937 |
| Maj S. Harvey UMC and Capt Trevino USMC | ANALYSIS OF EMERGING AND CURRENT SUBSYSTEM TECHNOLOGIES IN SUPPORT OF WARFIGHTING CAPABILITIES (NPS Outstanding Thesis 2018) | 2018 SEP | http://hdl.handle.net/10945/60410 |
| Maj Andrew Heitpas USMC | STIGMERGIC CONTROL OF DUAL- DIRECTION COMMUNICATION FERRY NODES FOR DENIED COMMUNICATIONS ENVIRONMENTS (NPS Outstanding Thesis 2018) | 2018 JUN | http://hdl.handle.net/10945/59685 |
| ENS Ben Keegan USN | UAV POSITION OPTIMIZATION FOR WIRELESS COMMUNICATIONS | 2018 JUN | http://hdl.handle.net/10945/59695 |
| Capt Justin L. King USMC | CONCEPT OF OPERATIONS FOR USING COMPUTER VISION CAPABILITIES ON TACTICAL AIRCRAFT (NPS Outstanding Thesis 2018) | 2018 JUN | http://hdl.handle.net/10945/59698 |
| Major Wee Leong Lee, Singapore Air Force | ASSESSMENT OF FOREIGN OBJECT DEBRIS MANAGEMENT USING GROUP 1 UNMANNED AERIAL SYSTEMS (NPS Outstanding Thesis 2018) | 2018 SEP | http://hdl.handle.net/10945/60426 |
| LT Wyatt T. Middleton USN | VALIDATION OF ARCHITECTURE MODELS FOR COORDINATION OF UNMANNED AIR AND GROUND VEHICLES VIA EXPERIMENTATION | 2018 JUN | http://hdl.handle.net/10945/59555 |

| | | | 1 |
|---|--|----------|-----------------------------------|
| Giovanni Minelli | RESOURCE-CONSTRAINED AUTONOMOUS OPERATIONS OF SATELLITE CONSTELLATIONS AND GROUND | 2018 SEP | http://hdl.handle.net/10945/60435 |
| | STATION NETWORKS (doctoral dissertation) | | |
| Maj John Park USMC | GROUP 3 UNMANNED AIRCRAFT SYSTEMS MAINTENANCE | 2017 DEC | http://hdl.handle.net/10945/56779 |
| | CHALLENGES WITHIN THE NAVAL AVIATION ENTERPRISE | 2017 820 | |
| Major Yi Kai Qiu, Republic | PROPAGATION ENVIRONMENT ASSESSMENT USING UAV | 2018 MAR | http://hdl.handle.net/10945/58353 |
| of Singapore Air Force | ELECTROMAGNETIC SENSORS AN INDEPENDENT ASSESSMENT OF | | |
| LCDR John J. Renquist USN | THE ENERGY ENHANCEMENTS TO THE SYNTHETIC THEATER OPERATIONS RESEARCH MODEL (STORM) | 2018 SEP | http://hdl.handle.net/10945/60453 |
| Maj. Sergio Sandoval | CYBER SECURITY TESTING OF THE ROBOT OPERATING SYSTEM IN UNMANNED AERIAL SYSTEMS | 2018 SEP | http://hdl.handle.net/10945/60458 |
| LT Joseph A. Schnieders USN | COMPARISON STUDY OF LOW-LEVEL CONTROLLER TECHNIQUES FOR UNMANNED SURFACE VESSELS | 2018 JUN | http://hdl.handle.net/10945/59581 |
| LT J. Tanalega USN | ANALYZING UNMANNED SURFACE TACTICS WITH THE LIGHTWEIGHT INTERSTITIALS TOOLKIT FOR MISSION ENGINEERING USING SIMULATION (LITMUS) | 2018 MAR | Controlled release |
| Wei Shun Teo, DSO National Laboratories Singapore | ADVANCING COTS UAV CAPABILITY TO PROVIDE VISION-BASED SA/ISR DATA (NPS Outstanding Thesis 2018) | 2018 SEP | http://hdl.handle.net/10945/60353 |
| Major Boon Hong Aaron Teow, Singapore Army | ASSESSING THE EFFECTIVENESS OF A COMBAT UGV SWARM IN URBAN OPERATIONS (NPS Outstanding Thesis 2018) | 2018 SEP | http://hdl.handle.net/10945/60354 |
| LT Preston T. Tilus USN | ASSESSING ORCHESTRATED SIMULATION THROUGH MODELING TO QUANTIFY THE BENEFITS OF UNMANNED-MANNED TEAMING IN A TACTICAL ASW SCENARIO | 2018 MAR | http://hdl.handle.net/10945/58270 |
| LT Travis M. Turner USN | ANALYZING UUV HULL CROSS- SECTIONS FOR MINIMIZING WAVE LOADS WHEN OPERATING NEAR SURFACE | 2018 JUN | http://hdl.handle.net/10945/59606 |
| Chief A. Tyerman, Maple Valley Fire & Life Safety | USING UNMANNED AERIAL VEHICLES FOR AUTOMATED EXTERNAL DEFIBRILLATOR DELIVERY IN THE SEATTLE KING COUNTY REGION FOLLOWING OUT-OF-HOSPITAL | 2018 SEP | http://hdl.handle.net/10945/60360 |

| | CARDIAC ARREST | | |
|--|---|-------------|-----------------------------------|
| Lieutenant Leander J. C. van Schriek, Royal Netherlands Navy | EVALUATING EFFECTIVENESS OF DIRECTIONAL ACOUSTIC MODEMS INTEGRATED ONTO AUTONOMOUS PLATFORMS (NPS Outstanding Thesis 2018) | 2018 JUN | http://hdl.handle.net/10945/59609 |
| ENS Noah Wachlin USN | ROBUST TIME-VARYING FORMATION CONTROL WITH ADAPTIVE SUBMODULARITY | 2018 JUN | http://hdl.handle.net/10945/59612 |
| LT Alexander G. Williams USN | FEASIBILITY OF AN EXTENDED- DURATION AERIAL PLATFORM USING AUTONOMOUS MULTI-ROTOR VEHICLE SWAPPING AND BATTERY MANAGEMENT | 2017 DEC | http://hdl.handle.net/10945/56847 |
| Maj Costantinos Zagaris USAF | AUTONOMOUS SPACECRAFT RENDEZVOUS WITH A TUMBLING OBJECT: APPLIED REACHABILITY ANALYSIS AND GUIDANCE AND CONTROL STRATEGIES (doctoral dissertation) | 2018 SEP | http://hdl.handle.net/10945/60364 |
| SE Capstone Cohort JUN2018 | DISTRIBUTED MARITIME OPERATIONS AND UNMANNED SYSTEMS TACTICAL EMPLOYMENT | 2018 JUN | http://hdl.handle.net/10945/59587 |
| SE Capstone Cohort DEC2017(1) | INVESTIGATION OF REQUIREMENTS AND CAPABILITIES OF NEXT-GENERATION MINE WARFARE UNMANNED UNDERWATER VEHICLES | 2017 DEC | http://hdl.handle.net/10945/56878 |
| SE Capstone Cohort DEC2017(2) | COST, SCHEDULE, AND PERFORMANCE ELEMENTS FOR COMPARISON OF HYDRODYNAMIC MODELS OF NEAR-SURFACE UNMANNED UNDERWATER VEHICLE OPERATIONS | 2017 DEC | http://hdl.handle.net/10945/56859 |
| LT Todd Coursey USN | DIRECTIONAL SOUND SENSING OF UAV'S USING A MEMS SENSOR | 2018 DEC | Controlled release |
| LCDR Dave Herrmann USN | MORPHODYNAMIC CLASSIFICATION OF COASTAL REGIONS USING MACHINE LEARNING THROUGH DIGITAL IMAGERY COLLECTION | 2018 DEC | URL to be assigned once archived |
| Hopchak, M. S. | AUTONOMOUS DECISION AND INDEPENDENT CUING IN SWARM ROBOTICS | 2018 DEC | URL to be assigned once archived |
| Riarh Parminder CANADA | A STUDY OF MEMS ACOUSTIC DIRECTIONAL SENSORS | 2018 DEC | URL to be assigned once archived |
| ANTICIPATED: | | | |
| LT Devon Cobbs USN | DETERMINING THE ROBUSTNESS OF THE SYNTHETIC THEATER OPERATIONS | Anticipated | |

| | RESEARCH MODEL'S (STORM) COMMAND AND CONTROL THRESHOLDS | | |
|--|--|-------------------------|----------|
| LCDR Beverly Crawford and LT Inna Stukova | SELF-MOVING BACKBONE FOR LITTORAL MESH NETWORKS | Anticipated 2019 | |
| LT Joseph Gilley USN | RECONSTRUCTION OF SATELLITE ENCRYPTION AND DATA TRANSFER TO IMPROVE DATA RECEPTION | Anticipated 2019 JUN | |
| Maj. Jarrod P. Larson, USMC | DERIVING DRFM FALSE TARGET COEFFICIENTS FROM EXPERIMENTAL TESTS | Anticipated 2019 SEP | (SECRET) |
| LT Ash Mielke USN | VISUAL AND IR AI CLASSIFICATION OF LITTORAL SYSTEMS | Anticipated 2019 DEC | |
| MAJ Justin Murphy USMC | BIOLOGICALLY INSPIRED SHORT-LIVING NODES FOR TACTICAL NETWORKS | Anticipated 2019 | |
| LT Richard Schroyer USN | FM PULSED IMAGING RADAR MANIPULATION USING FPGAS | Anticipated 2019 SEP | |
| LT Steven Seda | (TITLE TBD) | Anticipated 2019 JUN | |

CRUSER supported 39 NPS student trips in FY18 to further their thesis work (*see Table 5*). NPS students were then required to give a trip report at a monthly NPS CRUSER meeting to further socialize their work. Additional student trips were funded out of individual project funds.

| STUDENT | DESTINATION | DATE | PURPOSE |
|----------------------------|------------------|----------------------|--|
| AUDETTE, M., Capt, USN | Denver CO | 12 OCT 2017 | Denver Maker Faire to compete in Sparkfun AV Competition and attend workshops |
| WILLIAMS, A., LCDR USN | Camp Roberts, CA | 16-18 NOV 2017 | Camp Roberts - multi-rotor testing for thesis & research |
| TEO WEI SHUN | Camp Roberts, CA | 16-18 NOV 2017 | Camp Roberts - multi-rotor testing for thesis & research |
| D'AMBROSIO, A. LCDR USN | San Diego CA | 4-8 DEC 2017 | Attend PMS-408 program management review |

| | Table 5. CRUSER support | ted student travel, FY18 | (in chronological order) |
|--|-------------------------|--------------------------|--------------------------|
|--|-------------------------|--------------------------|--------------------------|

| | | | 1 |
|----------------------------|-------------------------------|----------------------------|---|
| FORD, E., LCDR, USN | San Diego CA | 4-8 DEC 2017 | Attend PMS-408 program management review |
| BROWN, P., LCDR, USN | San Diego CA | 4-8 DEC 2017 | Attend PMS-408 program management review |
| FORD, Eli, LCDR, USN | Destin, FL | 28 JAN - 02 FEB 2018 | Attend ONR Unmanned Systems Technology Program Review |
| HARVEY, S., MAJ, USMC | March AFB, CA | 4 - 6 FEB 2018 | Attending presentation/demonstration of an emerging technology for thesis review |
| TREVINO, L. Maj, USMC | March Air Reserve Base, CA | 4 - 6 FEB 2018 | Meeting with EdgyBees Ltd to conduct a demonstration and product evaluation |
| BURTON, David MAJ, USMC | Quantico VA | 6 -15 FEB 2018 | Interviewing personnel from DC I, IWID, MCIOC, II MEF CE |
| ENGEBRAATEN, SONDRE | Camp Roberts CA | 19 FEB 2018 | Camp Roberts - multi-rotor testing for dissertation & research |
| FOUT, JOHN, Capt. USMC | Camp Pendleton CA | 22 -23 FEB 2018 | Meeting with a number of subject matter experts (SMEs) in the field of human-machine teaming. |
| PLOSKI, J, Maj. USMC | Camp Pendleton CA | 22 - 23 FEB 2018 | Meeting with a number of subject matter experts (SMEs) in the field of human-machine teaming |
| ENGEBRAATEN, SONDRE | Camp Roberts CA | 25 FEB 2018 | Camp Roberts - multi-rotor testing for dissertation & research |
| CRAWFORD, B, LT, USN | Camp Roberts CA | 25-28 FEB 2018 | Supporting field tests at Camp Roberts using the Scan Eagle UAV for Network Control System (NCS) testing and data collection. |
| STUKOVA, I. LT, USN | Camp Roberts CA | 25-28 FEB 2018 | Supporting field tests at Camp Roberts using the Scan Eagle UAV for Network Control System (NCS) testing and data collection. |
| KEEGAN, B. ENS, USN | Camp Roberts CA | 26-28 FEB | Collection of data on the SNR between wireless ground nodes through testing with Wave Relay |

| | | 2018 | MPU5 radios and a Scan Eagle UAV |
|---|-----------------------------|---------------------------|--|
| WACHLIN, N, Capt. USN | Camp Roberts CA | 26-28 FEB 2018 | Supporting field tests at Camp Roberts using the Scan Eagle UAV for Network Control System (NCS) testing and data collection |
| BURTON, David MAJ, USMC | Camp Pendleton CA | 27 FEB - 3 MAR 2018 | Interviewing personnel from I MEF and reviewing/analyzing their MEFEX |
| SANDOVAL, SERGIO Maj. USMC | Mountain View CA | 7 MAR 2018 | Thesis work collaboration with the developers of ROS (Robot Operating System) OSRF (Open Source Robotics Foundation) |
| ENGEBRAATEN, SONDRE | Camp Roberts CA | 28 MAR 2018 | Camp Roberts - multi-rotor testing for dissertation & research |
| HANLON, NED, Ensign, USN | Colorado Springs CO | 15 - 18 APR 2018 | Present a paper at the 34th Space Symposium in Colorado Springs, CO |
| KEEGAN, B. ENS, USN | Yuma Proving Grounds, AZ | 17-20 APR 2018 | Thesis Research - Data collection and field testing |
| WACHLIN, N, Capt. USN | Yuma Proving Grounds, AZ | 17-20 APR 2018 | Supporting field tests in Yuma, AZ using the Scan Eagle UAV for Network Control System (NCS) testing and data collection |
| FERNANDEZ, JOSE, LCDR, USN | Warren MI | 23-27 APR 2018 | Attend the TARDEC Industry Days to observe the current status of ROS-M project and hear discussion of future work, high interest in security |
| LEE, WEE LEONG, MAJ, Singapore | Camp Roberts, CA | 27 APR 2018 | To conduct field testing for thesis research in COTS quadrotor UAV |
| LEE, WEE LEONG, MAJ, Singapore | | 29 APR 2018 | |
| TEO,WEI SHUN, DoD, Foreign Affiliate Civilian | Kansas City, MO | 29 APR - 6 MAY 2018 | Meeting with researchers in University of Missouri and works on the integration of the software algorithm for Manifold Hardware |
| COMSTOCK, K, Capt., USMC | Denver CO | 30 APR – 4 MAY | AUVSI Expo - Denver, CO |

| | | 2018 | |
|--|------------------|---------------------------|--|
| HARVEY, S., MAJ, USMC | Denver CO | 30 APR – 4 MAY 2018 | AUVSI Expo - Denver, CO |
| KRAJEWSKI, S. Capt. USMC | Denver CO | 30 APR – 4 MAY 2018 | AUVSI Expo - Denver, CO |
| TREVINO, L, Capt. USMC | Denver CO | 30 APR – 4 MAY 2018 | AUVSI Expo - Denver, CO |
| HAHN, ANDREW, LT, USN | Berkeley CA | 11 MAY 2018 | TechCrunch Robotics Sessions |
| MALIA, JOSH, LT USN | Berkeley CA | 11 MAY 2018 | TechCrunch Robotics Sessions |
| SHIVASHANKAR, Santhosh, LCDR USN | Washington, D.C. | 23 - 25 MAY 2018 | Attended Panel Discussion on CRUSER at the Pentagon |
| MALIA, JOSH, LT USN | San Diego, CA | 6 JUN 2018 | Attending meeting to discuss a proposed Operator Decision Aid for use on surface ships for navigation |
| LEE, WEE LEONG, MAJ, Singapore | Camp Roberts, CA | 12 JUL 2018 | To conduct field testing for thesis research in COTS quadrotor UAV |
| TEOW BOON HONG, AARON, CPT Singapore | Hong Kong | 14 -18 SEP 2018 | Presenting a paper and attending a conference on unmanned vehicles |
| LEE, WEE LEONG, MAJ, Singapore | | 19 SEP 2018 | |

D. CONCEPT GENERATION

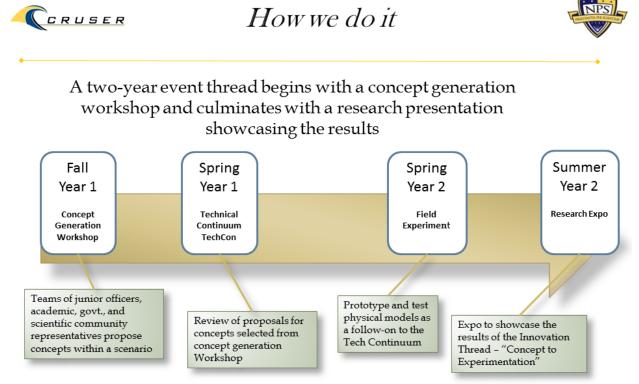


Figure 37. CRUSER innovation thread structure.

The CRUSER concept generation work initiates each new programmatic innovation thread (*see Figure 40*) and at the time of this FY18 annual report we have just launched our eighth innovation thread, *Cross-Domain Operations*. The first NPS Innovation Seminar supported the CNO sponsored *Leveraging the Undersea Environment* wargame in February 2009. Since that time, warfare innovation workshops have been requested by various sponsors to address self-propelled semi-submersibles, maritime irregular challenges, undersea weapons concepts and general unmanned concept generation. Participants in these workshops include junior officers from NPS and the fleet, early career engineers from Navy laboratories, academic and industry partners.

1. Warfare Innovation Continuum (WIC) Workshop 2018

The first CRUSER sponsored concept generation workshop was in March 2011, shortly after the formal launch of the Consortium. Since that time CRUSER has sponsored seven complete workshops covering topics of interest to a wide variety of the full community of interest, and has generated nearly 500 technology and employment concepts. Workshops to date include:

1) Future Unmanned Naval Systems (FUNS) Wargame Competition, March 2011

- 2) Revolutionary Concept Generation from Evolutionary UxS Technology Changes, September 2011
- 3) Advancing the Design of Undersea Warfare, September 2012
- 4) Undersea Superiority 2050, March 2013
- 5) Distributed Air and Surface Force Capabilities, September 2013
- 6) Warfighting in the Contested Littorals, September 2014
- 7) Unmanned Maritime Systems Life Cycle Costing, March 2015
- 8) Creating Asymmetric Warfighting Advantages, September 2015
- 9) Developing Autonomy to Strengthen Naval Power, September 2016
- 10) Distributed Maritime Operations, September 2017
- 11) Cross-Domain Operations, September 2018

Our most recent workshop, *Cross-Domain Operations*, was held 17-20 September 2018 on the NPS campus. This workshop included nearly 100 participants representing a wide variety of stakeholder groups.

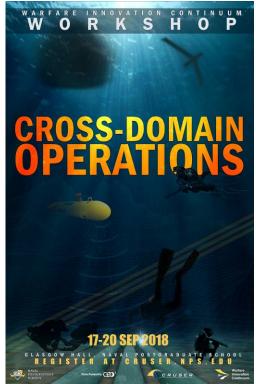


Figure 38. September 2018 Warfare Innovation Continuum (WIC) Workshop, "Cross-Domain Operations."

This Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) sponsored Warfare Innovation Continuum (WIC) workshop was held 17-20 September 2018 on the campus of the Naval Postgraduate School (NPS) in Monterey, California. The three and a

half day educational experience allowed NPS students focused interaction with faculty, staff, fleet officers, and visiting engineers from Navy labs and industry; and culminated in a morning of final concept briefs and fruitful discussion regarding the role of unmanned systems in the future naval force. This workshop also directly supported the Secretary of the Navy's (SECNAV) direction that CRUSER foster the development of actionable operational concepts for robotic and autonomous systems (RAS) within naval warfare areas.

The September 2018 workshop, *Cross-Domain Operations*, tasked participants to apply emerging technologies to shape the way we fight. Within a near future conflict in an urban littoral environment concept generation teams were given a design challenge: *How might emerging technologies enhance cross-domain operations?* With embedded facilitators, five teams had three days to meet that challenge, and presented their best concepts on the final morning of the workshop.

Workshop participants were recruited from across the full CRUSER community of interest to include NPS, DoD commands, academia and industry. A concerted effort was made to solicit representatives from all naval warfare domains, as well as from the full range of armed services on campus.



Figure 39. September 2018 Warfare Innovation Continuum (WIC) workshop participants.

This September 2018 WIC workshop included just over 80 active participants, observers and guests – the full participant pool representing nearly 30 different organizations. Half of the workshop participants were NPS students drawn from over a dozen curricula across the NPS campus. For this workshop, the final roster also included participants from The Johns Hopkins University Applied Physics Lab (JHU/APL), the Naval War College (NWC), Battelle, L3 Technologies, and Lockheed Martin. Fleet commands included OPNAV N2N6FX, Naval Air Systems Command (NAVAIR), Naval Undersea Warfare Center (NUWC) Newport, 12th Flying Training Wing, Space and Naval Warfare Systems Command (SPAWAR) Systems Center (SSC) Pacific, Naval Surface Warfare Center Panama City Division (NSWC PCD), U.S. Fleet Forces (USFF), the Office of Naval Research (ONR), the Royal Australian Navy (RAN), and the New Zealand Defence Force.

The six concept generation teams were organized to maximize diversity of participant experience. Team workrooms provided individual workspaces while maintaining the ability of team members and facilitators to share many ideas at several stages in concept development. All

participants were encouraged to leverage their individual expertise and experience, regardless of their team assignments.

A group networking event was scheduled on the first night to enhance group dynamics, and prepare individuals to work efficiently in an intensive team environment. Senior members of CRUSER, NPS leadership and academic community, as well as visiting subject matter experts were invited to attend any and all of the workshop that fit their interest and schedule. All were encouraged to attend the final concept presentations on Thursday morning.

Participants were asked to propose both physical designs and concepts of operation for notional future systems' employment in a plausible real-world scenario with the intent of advancing unmanned systems concepts. From all the concepts generated during the ideation phase, each team selected concepts to present in their final briefs. CRUSER and Warfare Innovation Continuum (WIC) leadership reviewed all the proposed concepts and selected ideas with potential operational merit that aligned with available resources for further development. All concepts are described fully in the September 2018 WIC Workshop report, but in summary these concepts include:

- **Counter UxS:** this topic area includes concepts to counter attacks by adversary autonomous assets (real and virtual) in multiple domains envisioned in a future contested region. Examples of specific concepts within this topic area include Algorithm Capture and Weaponized Autonomous Sensor Persistence (WASP) many smaller unmanned systems (UxS) blocking and/or attacking another UxS.
- Cross-Domain Connectivity: this topic area includes concepts to establish robust and resilient communication networks between autonomous manned and robotic assets operating across multiple domains simultaneously in a future contested environment assuming degraded or denied communications. Examples of specific concepts within this topic area include Underwater Disaggregated Architecture and C3PO for Machines a universal translator.
- *Human-Autonomy Teaming:* this topic area includes concepts to integrate manned and unmanned assets working as an integrated force in a future battlespace. Examples of specific concepts within this topic area include *Virtual Battlefield Sim* and *Third Eye a human worn augmented data collector*.
- Autonomy for Deception: this topic area includes concepts employing autonomy to spoof, decoy, or otherwise deceive future adversary forces, human and robotic. Examples of specific concepts within this topic area include Trash Camo, Bio Buoys and the Submarine Investigation, Revelation, and Exploitation Network (SIREN) many UxS elements mimicking a high value unit.

Selected concepts will begin CRUSER's next Innovation Thread, and members of the CRUSER community of interest will be invited to further develop these concepts in response to the FY19 and FY20 Call for Proposals. Technical members of the CRUSER community of interest will

present proposals at a technical continuum gathering such as TechCon 2019 to test these selected concepts of interest in lab or field environments. A final report detailing process and outcomes will be released before the end of the 2019 calendar year to a vetted distribution list of leadership and community of interest members. Final results of experimentation will be presented to the Office of Naval Research (ONR) in June 2020.



2. Technology Continuum (TechCon) 2018

Figure 40. CRUSER Technical Continuum (TechCon), April 2018

NPS CRUSER held its sixth annual Technical Continuum (TechCon) on 17 and 18 April 2018. This event was for NPS students and faculty interested in education, experimentation and research related to employing unmanned systems in operational environments. TechCon 2018 was intended to further concepts developed during the September 2017 concept generation workshop, and to showcase NPS student and faculty work in advancing work in robotics and autonomy. Presentations covered on-going student and faculty research, as well as proposals for CRUSER FY18 funding in research related to unmanned systems. The NPS CRUSER TechCon

2017 was unclassified, and live streamed by video for the non-resident CRUSER Community of Interest.

TechCon presentations are archived and available through the NPS Dudley Knox Library at <u>https://calhoun.nps.edu/handle/10945/53346</u>.

3. Rapid Prototyping in the RoboDojo

The RoboDojo is an NPS maker lab where all curricula can get hands-on experience with basic robotic systems and advanced prototyping and fabrication methods. We offer short workshops, equipment, tools, and user communities for all NPS students, faculty, and staff. The RoboDojo users are interested in new technologies of interest and older technologies that continue to have operational application. Our lab is closely aligned with the Marine Maker community, OPNAV N415 Additive Manufacturing, Navy Fab Labs, and many other educational, government, and private maker labs.

In FY18 the RoboDojo hosted workshops on a variety of topics taught by NPS students, faculty, staff, ONR reservists, and visiting specialists (*see Table 6*).

| Programming & Software | Arduino Basics Object Oriented Programming in Arduino Arduinos in Action RetroPi Intro to Raspberry Pi Raspberry Pi Basics: Building an alarm system Intro to Linux Linux Routers and Firewalls Introduction to ROS (Robot Operating System) Open BCI: Brain Computer Interfaces VR After Dark Augmented Reality Emergent Algorithms Intro to Cyber Capture the Flag |
|------------------------|---|
| | 5 5 |
| | R Shiny |
| | Blue Force/Red Force use of Unmanned Aerial Systems |
| UAS | Drone Simulators: RealFlight |
| | Drone Flying on Softball Field |
| | Drone Building Inductrix Drones |
| | |

Table 6. Workshops hosted in the RoboDojo in FY18.

| | CNC Milling |
|-------------|---|
| | CNC Routing with X-Carve |
| | Introduction to CAD functions in Fusion 360 |
| | Solidworks |
| | Build a 3D printer |
| | Surface Mount Soldering |
| | Introduction to 3D Printing |
| | Designing for the Laser Cutter/Engraver |
| Prototyping | Rapid Prototyping of Manned and Unmanned |
| | Systems |
| | Vinyl Cutting |
| | Designing a Custom Circuit with KiCAD |
| | Learn to Solder |
| | Build a Versa Wing |
| | Intro to Digital Design for 3D Printing |
| | Metal 3D Printing |

The RoboDojo also hosted both the Linux Users Group and the Solidworks Users Group throughout FY18, hosted a "Combat Robots" event in October 2017 and again in October 2018, and was a key participant in "Discover NPS Day" in December 2017 and again in October 2018. In FY18 the RoboDojo supported two Systems Engineering (SE) classes, two Computer Science (CS) classes, and three classes for the Defense Analysis (DA) Department. One of the DA classes was an independent study addressing use of Additive Manufacturing to support Information Operations. Many guests to campus also visited the RoboDojo. Guests in FY18 included:

- SSC Pacific
- LtGen Dana USMC, DC for I&L
- MajGen Mullen USMC
- Carnegie Mellon
- Georgia Tech Research Institute (GTRI)
- Marine Corps Warfighting Lab (MCWL)
- Naval War College
- DIUx

E. OUTREACH AND RELATIONSHIPS

1. Community of Interest

CRUSER continued to grow its membership throughout FY18. At the end of FY11, CRUSER's first program year, the CRUSER community of interest had grown to include almost 400 members. As of March 2014 this fledgling community consisted of over 1,300 members (*see Figure 41*). In the two years spanning 2012-2014 CRUSER more than doubled in size, from just of 800 members in September 2012 to approximately 1630 members as of September 2014. This is largely due to the CRUSER web presence and member interaction with military, academic and industry personnel during field experimentation, workshops, educational forums and CRUSER monthly meetings. FY15 brought the community over the 2,000-member mark, and CRUSER membership surpassed 3,000 members in March 2016 and has remained at that level since.

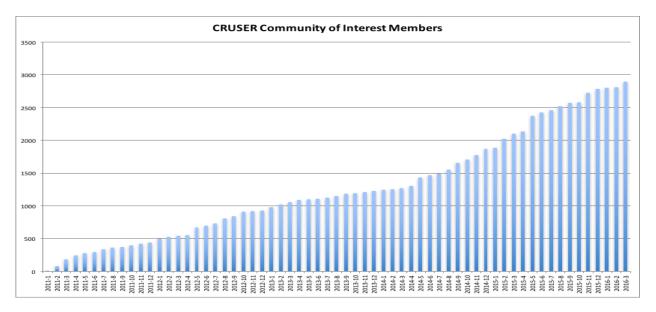


Figure 41. CRUSER community of interest growth from January 2011 to March 2016.

Beyond NPS campus members, the CRUSER community of interest (CoI) includes major stakeholders from across the DoD, industry and academia (*see Figure 42*). As of 30 September 2018, industry members made up 44% of the total CRUSER CoI, with the U.S. Navy and U.S. Marine Corps as the next largest group represented at nearly 20%.

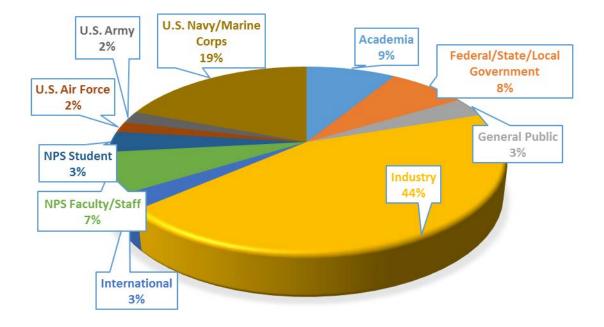


Figure 42. CRUSER community of interest breadth of membership as of 30 September 2018.

2. NPS CRUSER Monthly Meetings

CRUSER holds a monthly community meeting on the NPS campus generally on the first Monday or the month at the noon hour. Non-resident members may join the meeting by phone, video, or using the campus distance learning tool Collaborate.⁴⁸ These monthly meetings are intended as information sharing forums for the entire CRUSER community of interest, and each month feature two presentations from CRUSER funded researchers, CRUSER supported NPS thesis students, or any member of the non-resident CRUSER community that has a significant topic to share. In FY18 there were eleven NPS CRUSER monthly meetings featuring 16 presentations (*see Table 7*).

| Date | Presentation(s) | |
|----------|---|--|
| OCT 2017 | No meeting in lieu of CRUSER Seminar by Dr. Bob Iannucchi | |
| NOV 2017 | LCDR Alexander Williams USN, NPS - Forward-Deployed Aerial ISR System | |
| DEC 2017 | No meeting | |

| Table 7. FY18 NPS CRUSER Monthly Meeting presentations. |
|---|
|---|

⁴⁸ Dial-in: 571-392-7703 PIN 629 103 443 905 or Remote Connection:

https://sas.elluminate.com/m.jnlp?sid=2014002&username=&password=M.66F9FE61F58F1651000C7DFF65DA63

| tt, NPS - Field Experimentation 18-2 cCarrin, NPS - Enhancing Object Recognition in LIDAR Point-Cloud Data | | |
|---|--|--|
| cCarrin, NPS - Enhancing Object Recognition in LIDAR Point-Cloud Data | | |
| | | |
| chers, NAWCWD - Autonomous Research Arena (AuRa) Human-Machine erface | | |
| Giles, USN - Mission-based Architecture for Swarm Composability | | |
| uettner and Ashley Hobson, NPS - Sea, Land, and Air Military Research AMR) Facility | | |
| Dr. Doug Horner, Dr. Alex Bordetsky, Dr. Sean Kragelund and Aurelio Monarrez- Multi- Thread Experiment (MTX) | | |
| Dr. Alex Bordetsky, NPS, Director of CENETIX – Integrating and operating the Multi- Thread Experiment (MTX) Maritime, Land and Air Network | | |
| gebraten – Test and Evaluation of Decentralized Controller for a Multi- nction Drone Swarm | | |
| lowe USMC – Planning and Prototyping a SAR Mission with UxVs | | |
| chnieders USN: Comparison Study of Low-Level Controller Techniques for manned Surface Vessels | | |
| Clark USN: Integrity-Based Trust Violations within Human-Machine aming | | |
| Dr. Ray Buettner and NPS CRUSER Staff: Cybersecurity for UxV Systems | | |
| Dr. Brian Bingham: Welcome and CRUSER FY19 Call for Proposals Discussion | | |
| g Aaron Teow: Assessing Effectiveness of Using Combat UGV Swarm in ban Operations | | |
| Lee: Feasibility Assessment of sUAS-based FOD Detection System | | |
| No meeting due in lieu of WIC Workshop 17-20 SEP 2018 | | |
| | | |

Monthly meeting details are available on the CRUSER website (cruser.nps.edu).⁴⁹

 $^{^{49}}$ Go to ${\bf cruser.nps.edu}$ and click on Monthly Meeting on the top navigation bar

3. Briefings and Presentations

Over the seven years of the program CRUSER leadership team has become regarded experts on robotics and autonomy issues resulting in a high demand for briefings, formal presentations and informal discussions. These activities are an important part of the CRUSER educational effort, both providing for an exchange of information that educates all parties involved. A sampling of those that received CRUSER briefings in FY18 are included in the following table (*see Table 8*):

| DATE | ORGANIZATION | | |
|----------|---|--|--|
| OCT 2017 | CDR Mike Brasseur USN, Sea Combat Division – N5 Naval Surface and Mine Warfighting Development Center | | |
| | CAPT Ron Toland USN, Commanding Officer – Fleet Anti- Submarine Warfare Training Center (FLEASWTRACEN) | | |
| NOV 2017 | | | |
| DEC 2017 | | | |
| JAN 2018 | BrGen William J. Bowers USMC, Commanding General, Education Command President – Marine Corps University | | |
| | BGen C.F. Wortman USMC, CG – Marine Corps Warfighting Lab | | |
| | CAPT Mel Yokoyama USN, Commanding Officer – SPAWAR Systems Center (SSC) Pacific | | |
| | Admiral Michael Mullen, Seventeenth Chairman – Joint Chiefs of Staff | | |
| | US Army (AMRDEC, CERDEC), Navy (PMA 209), and UK delegates, Collaborative Open Systems Architecture (COSA) Project workshop | | |
| FEB 2018 | Mr. Glenn Fogg, Deputy Director – ASD Experimentation & Prototyping | | |
| MAR 2018 | SES Mr. Robert L. Woods, Principal Deputy Assistant Secretary – Manpower & Reserve Affairs | | |
| APR 2018 | LtGen Michael G. Dana USMC, Deputy Commandant – USMC Installations & Logistics | | |
| | Ambassador Pham Quang Vinh, Ambassador Extraordinary and | | |

| Table 8. FY18 | CRUSER | program briefing | s and p | resentations |
|------------------|---------|------------------|---------|---------------|
| I able of I I Io | CICOLIC | program prioring | p ana p | 1 esentations |

| | Plenipotentiary – The Socialist Republic of Vietnam to the U.S. | |
|----------|---|--|
| MAY 2018 | MajGen Robert A. Karmazin, Director – J7 Joint Special Operations Forces Development USSOCOM | |
| | MajGen David "Stretch" Coffman USMC, Director – Expeditionary Warfare (N85) | |
| | RADM John P. Neagley USN, Program Executive Officer – Unmanned and Small Combatants | |
| JUN 2018 | CAPT Chris "Bruno" Brunett USN, Defense Innovation Board Fellow – U.S. Fleet Forces Command | |
| JUL 2018 | Dr. Christopher Ekstrom, Deputy Oceanographer and Navigator of the Navy – OPNAV N2N6EB | |
| | Professor John Jackson, E.A. Sperry Chair of Unmanned and Robotic Systems – Naval War College | |
| | Dr Jung-Hoon Chung, Director Defense Technology R&D Center; Dr. Yun-Ho Shin, Senior Researcher; and Dr. Jin Seop Soon, Dept of System Dynamics – Korea Institute of Machinery & Materials (KIMM) | |
| | NASA Ames Unmanned Aircraft System (UAS) Traffic Management (UTM) Project Team – NASA Ames Research Center, CA | |
| AUG 2018 | Dr. Kristen Collar, National Security Analyst; and CAPT Phil Perdue USN (ret) – JHU/APL | |
| | CAPT Cavanaugh USN – COMSUBRON 11 | |
| | Dr. Wes Cooper – SMWDC | |
| | Dr. Alan Van Nevel, Director of Research, and Head, S&T Dept – Naval Air Warfare Center Weapons Division (NAWCWD) | |
| | Dr. John Waterson, Principal Program Manager For Maritime – DARPA STO | |
| SEP 2018 | Dr. J. D. Wilson, Assistant Deputy Commandant for Information (ADCI) – Headquarters Marine Corps | |
| | Col Robert C. Fulford USMC, Director of the Expeditionary Warfare School – Marine Corps University | |

4. USN Reserve Relationships

CRUSER has an ongoing relationship with two distinct reserve components - The Office of Naval Research – Reserve Component (ONR-RC), and the Strategic Sealift Office (SSO) Reserve Program. NPS FX related programs incorporate participation by other reserve units as well, and will continue to welcome reservists from all units that we are able to accommodate.

ONR-RC continued to provide operational support to many CRUSER activities, programs, and events in FY18. Collaboration between CRUSER researchers at the Naval Postgraduate School (NPS) and ONR-RC began five years ago with personnel from the ONR-113 unit, and has expanded to several additional ONR Reserve units. This is an extremely valuable relationship for CRUSER and the larger community of interest.

The SSO Reserve program evolved from the Maritime Administration (MARAD) Reserve program, and started their relationship with NPS through the Littoral Operations Center (LOC) to support the several iterations of the maritime security curriculum. The SSO reservists have also been employed to support CRUSER and JIFX activities as they complete their annual duty training (ADT) at NPS. With a merchant mariner perspective, and many with recent operational experience, these reservists are quite valuable assets.

| Month | Number of Officers | t for CRUSER programs in FY18. Project (s) | |
|----------|--------------------|--|--|
| MAR 2018 | 5 | JIFX 18-2 | |
| APR 2018 | 4 | JIFX, TechCon 2018 | |
| MAY 2018 | 2 | RoboDojo, Mine Warfare Symposium | |
| JUN 2018 | 3 | JIFX 18-3 | |
| AUG 2018 | 7 | JIFX 18-4, ARSENL, RoboDojo | |
| SEP 2018 | 3 | WIC Workshop 2018, RoboDojo | |
| TOTAL: | 24 | | |

In FY18 24 reservists supported CRUSER programs (see Table 9).

Table 9. Reservist support for CRUSER programs in FY18.

III. CONCLUSION

FY18 was the first year of CRUSER's extended mandate of operation. Thanks to all those who have contributed to program success, CRUSER has been granted another five years of program life with promised annual funding by (Acting) Secretary of the Navy Sean Stackley in a memorandum signed in March 2017.

A. PROPOSED FY19 ACTIVITIES

FY19 will see the completion of the seventh innovation thread and the start of the eighth. In support of the SECNAVs mission for CRUSER to, "shape generations of naval officers through education, research, concept generation and experimentation in maritime applications of robotics, automation and unmanned systems", the following deliverables are planned:

- CRUSER will support faculty and student research involving projects associated with robotics and autonomous systems.
- CRUSER will host field experimentation opportunities throughout FY19 for students and research staff in collaboration with the Joint Interagency Field Experimentation (JIFX) program at NPS.
- CRUSER will continue to fund NPS student travel to participate in research and experimentation dealing with all aspects of unmanned systems.
- CRUSER will continue to support the integration of robotics and unmanned systems issues into appropriate courses and educational materials that will enable the Navy and Marine Corps officers afloat to become familiar with the challenges associated with the development and operational employment of these systems.
- CRUSER will host an eighth NPS CRUSER Technical Continuum (TechCon) to present and discuss technologies and innovations under development at NPS and by members of the community of interest, with emphasis on the concepts generated by previous Warfare Innovation Workshops (April 2019).
- CRUSER will sponsor a Warfare Innovation Workshop to kick-off its eighth innovation thread (September 2019).
- CRUSER will continue to grow the community of interest (including DoD, industry and academic members) and host monthly community-wide meetings.
- CRUSER will continue to sponsor and participate in STEM outreach events relevant to robotics education.

• CRUSER will continue to sponsor summer research internships for service academy students to work in laboratories across NPS.

In addition to these ongoing activities, CRUSER is initiating the following new activities to increase our capacity to engage with the robotics and autonomous systems industries:

- CRUSER will expand industry membership in the community of interest, which currently includes roughly 1,400 industry members, through participation the innovation thread events: Warfare Innovation Workshop, TechCon, JIFX experimentation, etc.
- CRUSER will engage industry through a vetted request for information (RFI) process to jointly develop the implementation and operation plans for the Sea Land and Maritime Robotics (SLAMR) facility.
- CRUSER will maintain and moderate a website for sharing UxS cyber assessments across the DoD.

In accordance with all applicable rules and regulations, NPS will continue to execute MIPRs, grants, cooperative agreements, contracts and purchases as necessary to complete the activities described above.

B. LONG TERM PLANS

In FY19 CRUSER will continue to support research and development with an emphasis on seeding new concepts, to include those developed in the annual concept generation workshops. As a program, CRUSER expects to remain at full functioning strength for at least the next five years, and will continue to seek opportunities to connect communities and align disparate efforts developing robotics and autonomous systems across stakeholder groups. CRUSER will continue to support the development of robotics and autonomy across the greater Naval enterprise, the DoD, and all global partners.

APPENDIX A: PRESENTATIONS, PUBLICATIONS AND TECHNICAL REPORTS BY NPS CRUSER MEMBERS, FY11 TO PRESENT

This cumulative list of publications and scholarly presentations is representative of those completed by NPS CRUSER members since program launch in 2011. It is not meant to be all-inclusive, only give a sense of the depth and breadth of the impact of NPS CRUSER members in the academic community.

Added in FY18 report:

- Bordetsky, A., & Bourakov, E. (2006). Network on target: Remotely configured adaptive tactical networks. Retrieved from <u>https://calhoun.nps.edu/handle/10945/35934</u>
- Bordetsky, A., Glose, C., Mullins, S. and Bourakov, E. (2018). "Machine Learning of Semi-Autonomous Intelligent Mesh Networks Operation Expertise" *HICSS 52 Proceedings*, Hawaii
- Bordetsky, A., Bourakov, E., and Kline, T (2017), "Networks That Don't Exist: Mesh Networks of Short Appearance Nodes" *NetSci-X*, Tel-Aviv, Israel
- Comstock, K. and Krajewski, S. "Interdependence: Putting robots in the rifle squad", *Marine Corps Gazette*. Accepted for publication, anticipated publication early 2019.
- Erickson, C. B., B. E. Ankenman, M. Plumlee, and S. M. Sanchez (2018). "Gradient based criteria for sequential design." *Proceedings of the 2018 Winter Simulation Conference*, eds. M. Rabe, A. A. Jason, N. Mustafee, A. Skoogh, S. Jain, and B. Johansson. Piscataway, NJ: IEEE, forthcoming early 2019.
- Erickson, C., B. E. Ankenman, and S. M. Sanchez (2018). "Data from fitting Gaussian process models to various data sets using eight Gaussian process software packages." *Data in Brief*, 18(June), 684-687.
- Horner, D. and ENS Noah Wachlin USN (2018) "Robust Time-Varying Formation Control with Adaptive Submodularity"
- Horner, D. and ENS Ben Keegan USN "UAV Position Optimization for Wireless Communications"
- Orescanin, M.M. and D. Herrmann, M. Orescanin (2018). "Deep Neural Network Classification of Littoral Systems for Change Detection", *American Geophysical Union Fall Meeting*, 13 December 2018, Washington DC. Last accessed 26 December 2018 at <u>https://agu.confex.com/agu/fm18/meetingapp.cgi/Paper/399930</u>

- Orescanin, M.M. and D. Herrmann, M. Orescanin (2019). "Deep Neural Network Classification of Heterogeneous Littoral Systems", *Nature Geosciences*, anticipated publication early 2019
- Sandoval, Sergio Maj. USMC (2018) "Communications Authentication Protocols for Unmanned Aerial Systems Running the Military Robot Operating System" *CRUSER TechCon 2018*, 12 April 2018.
- S. Sandoval and P. Thulasiraman (2018) "Cyber Security Testing of the Robot Operating System 2 in Unmanned Aerial Systems," *IEEE CCNC First Workshop on Unmanned Aerial Vehicle Communications and Networks*, anticipated 2019
- Tilus, P. (2018). "Team the P-8 and the Sea Hunter for ASW." *Proceedings Magazine*, Vol. 144/9/1,387. U.S. Naval Institute

Included in FY17 report:

- Andersson, K., I. Kaminer, V. Dobrokhodov, and V. Cichella (2012). "Thermal Centering Control for Autonomous Soaring; Stability Analysis and Flight Test Results," *Journal of Guidance, Control, and Dynamics*, Vol. 35, No. 3 (2012), pp. 963-975. doi: 10.2514/1.51691
- Auguston, M. and C. Whitcomb (2012). "Behavior Models and Composition for Software and Systems Architecture", ICSSEA 2012, 24th International Conference on SOFTWARE & SYSTEMS ENGINEERING and their APPLICATIONS, Telecom ParisTech, Paris, 23-25 October 2012. <u>http://icssea.enst.fr/icssea12/</u>
- Auguston, Mikhail (2014). "Behavior models for software architecture." Naval Postgraduate School Technical Report NPS-CS-14-003. Monterey, CA.
- Auguston, Mikhail, Kristin Giammarco, W. Clifton Baldwin, Ji'on Crump, and Monica Farah-Stapleton (2015). Modeling and verifying business processes with Monterey Phoenix. Procedia Computer Science issue 44: Pages 345-353.
- Boxerbaum, A., M. Klein, J. Kline, S. Burgess, R. Quinn, R. Harkins, R. Vaidyanatham (2012).
 "Design, Simulation, Fabrication and Testing of Bio-Inspired Amphibious Robot with Multiple Modes of Mobility," *Journal of Robotics and Mechatronics*, Vol. 24, No.4 August 2012.
- Boucher, R., W. Kang, and Q. Gong (2014). Galerkin Optimal Control for Constrained Nonlinear Problems, *American Control Conference*, Portland, OR, June 2014.
- Boucher, R., W. Kang, and Q. Gong, Discontinuous Galerkin Optimal Control for Constrained Nonlinear Problems, *IEEE ICCA*, Taichung, Taiwan, June 2014.

- Boucher, R., W. Kang and Q. Gong (2016). "Galerkin Optimal Control" *Journal of Optimization Theory and Applications*, online DOI 10.1007/s10957-016-0918-x, 21 March 2016. Vol. 169, No. 3, pp 825 847.
- Brutzman, D., with T. Chung, C. O'Neal, J. Ellis and L. Englehorn (2011). *Future Unmanned Naval Systems (FUNS) Wargame Competition Final Report* (NPS-USW-2011-001) released July 2011.
- Carpin, S., Chung, T. H., & Sadler, B. M. (2013). Theoretical Foundations of High-Speed Robot Team Deployment. In *Proceedings of the 2013 IEEE International Conference on Robotics and Automation*.
- Chitre, M. (2012). "What is the impact of propagation delay on network throughput?" *Proc.NATO* Underwater Communications Conf. (UComms), Sestri Levante, Italy, Sept 12-14, 2012
- Chitre, M., A. Mahmood, and M. Armand (2012). "Coherent communications in snapping-shrimp dominated ambient noise environments," *Proc. Acoustics 2012* Hong Kong, vol. 131, p. 3277, May 2012
- Chitre, M. (2013). "Teamwork among marine robots advances and challenges," *Proc. WMR2013 Workshop on Marine Robotics*, Las Palmas de Gran Canaria, Spain, February 2013
- Chitre, M., I. Topor, R. Bhatnagar and V. Pallayil (2013). "Variability in link performance of an underwater acoustic network," *Proc. IEEE Oceans Conf.*, Bergen, Norway, June 2013
- Chung, T. H., Jones, K. D., Day, M. A., Jones, M., and Clement, M. R. (2013). 50 VS. 50 by 2015: Swarm Vs. Swarm UAV Live-Fly Competition at the Naval Postgraduate School. In AUVSI North America. Washington, D.C.
- Cichella, Choe, Mehdi, Xargay, Hovakimyan, Kaminer, Dobrokhodov, Pascoal, and Aguiar (2014)."Safe Time-Critical Cooperative Missions for Multiple Multirotor UAVs," Robotics Science and Systems. Workshop on *Distributed Control and Estimation for Robotic Vehicle Networks*, Berkeley, CA, July 2014.
- Cichella, Choe, Mehdi, Xargay, Hovakimyan, Trujillo, and Kaminer (2014). "Trajectory Generation and Collision Avoidance for Time-Coordination of UAVs," *AIAA Guidance, Navigation, and Control Conference*, National Harbour, MD, January 2014.
- Day, Michael A. et al. (2015). "Multi-UAV Software Systems and Simulation Architecture". In: 2015 International Conference on Unmanned Aerial Systems. Denver, CO: IEEE, 2015, pp. 426-435.

- Decker, R., and Yakimenko, O. (2017). "On the Development of an Image-Matching Navigation Algorithm for Aerial Vehicles," *Proceedings of the IEEE Aerospace Conference*, Big Sky, MT, March 4-11, 2017.
- Dobrokhodov, V. and K. Jones, C. Dillard, I. Kaminer (2016). "AquaQuad Solar Powered, Long Endurance, Hybrid Mobil Vehicle for Persistent Surface and Underwater Reconnaissance, Part II - Onboard Intelligence," for OCEANS 2016 MTS/IEEE Monterey, 2016, Sep. 2016
- Dono, T., and Chung, T. H. (2013). Optimized Transit Planning and Landing of Aerial Robotic Swarms. In *Proc. of 2013 IEEE Int'l. Conf. on Robotics and Automation*.
- Du Toit, N.E.; Burdick, J.W. (2012) "Robot Motion Planning in Dynamic, Uncertain Environments," *IEEE Transactions on Robotics*, Vol. 28, Issue 1, pp. 101-115, 2012.
- Du Toit, N.E. (2015). "Undersea Autonomy in Extreme Environments" presentation to Carmel Rotary Club, Carmel, March 2015
- Du Toit, N.E. (2015). "Putting AUVs to Work: Enabling Close-Proximity AUV Operations" MBARI Seminar Series, Moss Landing, August 2015
- Duan, W., B. E. Ankenman, S. M. Sanchez, and P. J. Sanchez (2017). "Sliced full factorial-based Latin hypercube designs as a framework for a batch sequential design algorithm." *Technometrics*, 59(1), 11-22.
- Dulo, D. (2015). Unmanned Aircraft in the National Airspace: Critical Issues, Technology, and the Law. American Bar Association: Chicago, August 2015. 368 Pages.
- Dulo, D. (2015). Unmanned Aircraft: The Rising Risk of Hostile Takeover. IEEE Technology and Society Magazine, September 2015. <u>http://ieeessit.org/technology_and_society/</u>
- Dulo, D. et al. (2015). *International Law and Unmanned Aircraft*. In The International Law Year in Review 2014, September 2-15. Chicago: American Bar Association.
- Dulo, D. (2015). Unmanned Aircraft Classifications: The Foundation for UAS Regulations in the National Airspace. The SciTech Lawyer, Vol. 11, No. 4, Summer 2015. American Bar Association.
- Dulo, D. (2015). Drones and the Media: First and Fourth Amendment Issues in a Technological Framework. Journal of International Entertainment and Media Law, Vol. 5 No. 2, June 2015.

- Dulo, D. (2015). Software or the Borg: A Starship's Greatest Threat? Discovery News, 27 May 2015. <u>http://news.discovery.com/space/software-or-the-borg-a-starships-greatest-threat-150527.htm</u>
- Dulo, D. (2015). Featured Guest. Wagner & Winick on the Law Radio Show, KSCO 1080. The Business Use of Drones, 12 September 2015. <u>http://www.wagnerandwinick.com/listen.html</u>
- Dulo, D. (2015). Speaker. Unmanned Aerial Systems: Know Before You Fly! Wings Over Watsonville Airshow, September 2015. Watsonville, CA.
- Dulo, D. (2013). Panel Member/Speaker. Drones Incoming! Are you Ready for Unmanned Aerial Vehicles? American Bar Association Annual Meeting August 2013, Chicago, IL. <u>http://www.americanbar.org/news/abanews/aba-news-archives/2015/08/drone_regulationsde.html</u>
- Dulo, D. (2015). Featured Speaker. Unmanned Aircraft: Law, and Policy Implications for Integration into the National Airspace. National Association of Appellate Court Attorneys Annual Conference July 2015, Seattle, WA. <u>http://naacaonline.sharepoint.com/Documents/br15.pdf</u>
- Dulo, D. (2015). Panel Member/Speaker. Security & Information Assurance of Unmanned Aircraft: Law, Policy and Business Implications. Law and Society Annual Conference May 2015, Seattle, WA. <u>http://www.lawandsociety.org/Seattle2015/seattle2015.html</u>
- Dulo, D. (2015). Featured Speaker. Unmanned Aerial Insecurity: The Liability, Security, and Policy Issues of Hostile Third Party Takeovers of Unmanned Aerial Systems. Cyber West: The Southwest Cyber Security Summit, March 2015. Association for Enterprise Information. Phoenix, AZ. <u>http://www.afei.org/PE/5A06/Pages/Thur.aspx</u>
- E. Capello, H. Park, B. Tavora, G. Gugleri, and M. Romano (2015). "Modeling and Experimental Parameter Identification of a Multicopter via a Compound Pendulum Test Rig." 2015 International Workshop on Research, Education, and Development on Unmanned Aerial Systems (RED-UAS 2015) *submitted*.
- Ellis, W., D. McLay and L. Englehorn (2013). Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) Warfare Innovation Workshop (WIW) 2013 After Action Report: Undersea Superiority 2050, released May 2013.
- Erickson, C., B.E. Ankenman, and S.M. Sanchez (2017), (2017), "Comparison of Gaussian process modeling software," *European Journal of Operational Research*, forthcoming.

- Erickson, C., B.E. Ankenman, and S.M. Sanchez (2016), "Comparison of Gaussian process modeling software," *Proceedings of the 2016 Winter Simulation Conference* (extended abstract for poster session), 3692-3693.
- Gagnon, P. and J, Rice, G. Clark (2012). "Channel Modeling and Time Delay Estimation for Clock Synchronization Among Seaweb Nodes," *Proc. 10th International Mine Warfare Technology Symposium*, Monterey CA, 7-10 May 2012
- Gagnon, P. and J. Rice, G. A. Clark, "Clock Synchronization through Time-Variant Underwater Acoustic Channels," *Proc. NATO Underwater Communications Conference (UComms)*, Sestri Levante, Italy, 12-14 September 2012
- Gardner, Maxine, LCDR, U.S. Navy (2014). "The Navy's Role in Humanitarian Assistance," *CRUSER TechCon 2014*, Monterey, California, April 2014.
- Ghosh, S., Davis, D.T., Chung, T.H., and Yakimenko, O.A. (2017). "Development and Testing of the Intercept Primitives for Planar UAV Engagement," *Proceedings of the International Conference on Unmanned Aircraft Systems (ICUAS'17)*, Miami, FL, June 13-16, 2017.
- Ghosh, S., Yakimenko, O.A., Davis, D.T., and Chung, T.H. (2017). "Unmanned Aerial Vehicle Guidance for an All-Aspect Approach to a Stationary Point," *AIAA Journal of Guidance, Control, and Dynamics*, vol.34 no.4, 2017, pp. 1239-1252. DOI: 10.2514/1.G002614.
- Giammarco, Kristin, Mikhail Auguston, W. Clifton Baldwin, Ji'on Crump, and Monica Farah-Stapleton (2014). "Controlling design complexity with the Monterey Phoenix approach." Procedia Computer Science 36 (2014): 204-209.
- Giammarco, Kristin, Spencer Hunt, and Clifford Whitcomb (2015). "An Instructional Design Reference Mission for Search and Rescue Operations." Naval Postgraduate School Technical Report NPS-SE-15-002. Monterey, CA.
- Giammarco, K., Spencer Hunt, Clifford A. Whitcomb (2015). "An instructional design reference mission for search and rescue operations." *NPS Technical Report* (NPS-SE-15-002). Monterey, CA, September 2015.
- Green, D. (2012). "ACOMMS Based Sensing, Tracking, and Telemetry," *Proc. 3rd WaterSide* Security Conference, Singapore, 28-30 May 2012
- Guest, Peter S. (2014). The Use of Unmanned Systems for Environmental Sampling and Enhanced Battlespace Awareness in Support of Naval Operations, *CRUSER News*, Published at the Naval Postgraduate School, Monterey CA, January 2014.

- Guest, Peter S. (2014).Using UAS to Sense the Physical Environment, presented at the NPS OPNAV N2/N6 Studies Fair Potential Theses Topics, Naval Postgraduate School, Monterey CA, 9 January 2014
- Guest, Peter S. (2014). Atmospheric Measurements From a Mini-Quad Rotor UAV How Accurate Are Measurements Near the Surface? *CRUSER TechCon 2014*, Monterey CA, 9 April 2014.
- Guest, Peter S. (2014). How accurate are measurements near the surface? A poster presented at the *CRUSER 4th Annual "Robots in the Roses" Research Fair*, Naval Postgraduate School, Monterey CA, 10 April 2014.
- Guest Peter S. (2014). Using Miniature Multi-Rotor Unmanned Aerial Vehicles for Performing Low Level Atmospheric Measurements, presented at *the 94th American Meteorological Society Annual Meeting, 18th Conference on Integrated Observing and Assimilation Systems for the Atmosphere, Oceans, and Land Surface (IOAS-AOLS) Session 8: Field Experiments*, Atlanta Georgia, 5 August 2014.
- Guest, Peter S. (2014). Quantifying the Accuracy of a Quad-Rotor Unmanned Aerial Vehicle as a Platform for Atmospheric Pressure, Temperature and Humidity Measurements near the Surface, Abstract accepted for the 2014 American Geophysical Union Fall meeting, San Francisco California, 15-19 December, 2014, abstract submitted 6 August 2014.
- Guest, Peter S. (2013). "Using small unmanned aerial vehicles for undersea warfare," presented at the *NPS CRUSER Technical Continuum*, 9 April 2013.
- Guest, Peter S., Paul Frederickson, Arlene Guest and Tom Murphree (2013). "Atmospheric measurements with a small quad-rotor UAV," a poster presented at the "*Robots in the Roses*" *Research Fair*, 11 April, 2013.
- Guest Peter S. (2013). "The use of kites, tethered balloons and miniature unmanned aerial vehicles for performing low level atmospheric measurements over water, land and sea ice surfaces," abstract accepted for presentation at the 94th American Meteorological Society Annual Meeting, 18th Conference on Integrated Observing and Assimilation Systems for the Atmosphere, Oceans, and Land Surface (IOAS-AOLS), submitted 15 August, 2013.
- Guest, Peter S., Trident Warrior 2013 (2013). "Demonstrating the use of unmanned aerial vehicles for characterizing the marine electromagnetic propagation environment," presented at the *NPS CRSUER Monthly Meeting*, Naval Postgraduate School, Monterey CA, 11 September 2013.
- Guest, Peter S., Trident Warrior 2013 (2013). "Evaporation and surface ducts," presented at the *Trident Warrior 2013 Meeting, Naval Research Laboratory*, Monterey CA, 23 September 2013.

- Guest ,Peter S. (2014). "Quantifying the Accuracy of a Quad-Rotor Unmanned Aerial Vehicle as a Platform for Atmospheric Pressure, Temperature and Humidity Measurements near the Surface." *American Geophysical Union Fall Meeting*, San Francisco California, 15-19 December 2014.
- Guest, Peter S. and Christopher R. Machado (2014). "Using UAS to sense the physical environment and predict electromagnetic system performance." *Naval Postgraduate School Technical Report* NPS-MR-15-001, Monterey CA, November 2014.
- Guest, P. S., O. Persson, B. Blomquist, C. Fairall (2016). "Quantifying the impact of background atmospheric stability on air-ice-ocean interactions in the Arctic Ocean during fall freeze-up" a poster presented at the *AGU Ocean Sciences Conference*, New Orleans, LA, February 21-26, 2016.
- Hermsdorfer, Kate, Qing Wang, Richard Lind, Ryan Yamaguchi, and John Kalogiros (2015).
 "Autonomous Wave Gliders for Air-sea Interaction Research." 19th Conference on Air-Sea Interaction, 4–8 January 2015, Phoenix, Arizona
- Jones, K. and V. Dobrokhodov (2016). "Hybrid Mobile Buoy for Persistent Surface and Underwater Exploration," U.S. Patent 9,321,529 filed April 7th, 2014, and issued April 8th, 2016.
- Jones, K. and V. Dobrokhodov (2016). "Multirotor Mobile Buoy for Persistent Surface and Underwater Exploration," U.S. Patent 9,457,900 B1 filed March 18th, 2016, and issued October 4th, 2016.
- Jones, K. and V. Dobrokhodov, C. Dillard (2016). "Aqua-Quad Solar Powered, Long Endurance, Hybrid Mobile Vehicle for Persistent Surface and Underwater Reconnaissance, Part I -Platform Design" proceedings of 2016 MTS/IEEE OCEANS'16, Monterey, CA September 2016.
- Jones, K. and V. Dobrokhodov, C. Dillard, I. Kaminer (2016). "Aqua-Quad Solar Powered, Long Endurance, Hybrid Mobile Vehicle for Persistent Surface and Underwater Reconnaissance, Part II – Onboard Intelligence" proceedings of 2016 MTS/IEEE OCEANS'16, Monterey, CA September 2016.
- Kaminer, I. (2014). "Maritime Force Protection and Herding," Presented at ONR Science of Autonomy Workshop, DC August 2014
- Kaminer, I. (2014). "Small UAV Autonomy: Time-Coordinated Missions and Soaring Gliders," Presented at NASA Langley Research Center April 2014
- Kaminer, I. and A. Pascoal, E. Xargay, N. Hovakimyan, V. Cichella, V. Dobrokhodov (2017). "Time-Critical Cooperative Control of Autonomous Air Vehicles," *Elsevier*, 2017

- Kang, Wei and Lucas Wilcox (2015). An Example of Solving HJB Equations Using Sparse Grid for Feedback Control, *Proceedings of IEEE Conference on Decision and Control*, Osaka, Japan, December 15-18, 2015.
- Kang, Wei (2015). Mitigating the Curse of Dimensionality: Sparse Grid Characteristics Method for Optimal Feedback Control and HJB Equations, arXiv:1507.04769v2, Nov. 16, 2015.
- Kang, W., Yakimenko, O., and Wilcox, L. (2017). "Optimal Control of UAVs Using the Sparse Grid Characteristic Method," *Proceedings of the 3rd IEEE International Conference on Control, Automation, Robotics*, Nagoya, Japan, April 22-24, 2017, pp.771–776. DOI: 10.1109/ICCAR.2017.7942802.
- King, S., W. Kang, and L. Xu (2014). Observability for Optimal Sensor Locations in Data Assimilation, *American Control Conference*, Portland, OR, June 2014.
- King, R. E. (2012). "Localization of a Mobile Node in an Underwater Acoustic Network," *Proc. 10th International Mine Warfare Technology Symposium*, Monterey CA, 7-10 May 2012
- Kline J. and L. Englehorn (2011). Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) Warfare Innovation Workshop (WIW) 2011 After Action Report, released October 2011.
- Kline J. and L. Englehorn (2012). NWDC/ CRUSER Warfare Innovation Workshop (WIW) 2012 After Action Report: Advancing the Design of Undersea Warfare, released November 2012.
- Kline J. and L. Englehorn (2011). Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) Annual Report 2011: The Startup Year, released December 2011.
- Kline J. and L. Englehorn (2011). Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) Annual Report 2012: The Transition Year, released November 2012.
- Kline, J. and L. Englehorn, *Maritime Defense and Security Research Program, Final Report,* 2004-2011, Naval Postgraduate School NPS-NSI-11-01, November 2011
- Kline, J. (2016). "Impacts of the Robotics Age on Naval Force Structure Planning," Naval War College EMC Chair Symposium on Maritime Strategy presentation and working paper, 23 March 2016.

- Kolsch, M., J. Li, Dong Hye Ye, T.H. Chung, J. Wachs, C. Bouman (2016). "Multi-Target Detection and Tracking from a Single Camera in Unmanned Aerial Vehicles (UAVs)" presented at 2016 IEEE/RSJ International Conference on Intelligent Robots and Systems, 9-14 October 2016, Daejeon, Korea
- Kragelund, S., C. Walton, I. Kaminer, "Sensor-based motion planning for autonomous vehicle teams," in Oceans - Monterey, 2016, Sep. 2016. Paper presented at OCEANS 2016 MTS/IEEE Monterey on September 21, 2016 and scheduled for publication in ISBN 978-1-5090-1527-6 (IEEE Catalog Number CFP16OCE-POD)
- Kragelund, Sean, Claire Walton, and Isaac Kaminer (2017). "Sensor-based motion planning for autonomous vehicle teams." *OCEANS 2016 MTS/IEEE* Monterey. IEEE, 2016.
- Kragelund, Sean (2017). "Generalized Optimal Control for Networked Autonomous Vehicles in Uncertain Domains." *CRUSER TechCon* 2017. https://calhoun.nps.edu/handle/10945/53373.
- Kragelund, Sean P. (2017). Optimal sensor-based motion planning for autonomous vehicle teams. *NPS PhD thesis*, Monterey, California: Naval Postgraduate School, March 2017.
- Li, J., Ye, D.H., Chung, T., Kolsch, M., Wachs, J., and Bouman, C. (2017). "Multi-Target Detection and Tracking from a Single Camera in Unmanned Aerial Vehicles (UAVs)," *Proceedings of the 2016 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*, Daejeon, Korea, October 9-14, 2016 and references therein.
- Lin, K. Y., Atkinson, M. P., Chung, T. H., & Glazebrook, K. D. (2013). A Graph Patrol Problem with Random Attack Times. *Operations Research*, 61(3), 694–710.
- Mahmood, A., M. Chitre, and M. Armand (2012). "Improving PSK performance in snapping shrimp noise with rotated constellations," Proc. WUWNet'12: 7th ACM International Conference on Underwater Networks & Systems, Los Angeles, CA, pp. 1-8, November 2012
- Moore, J., and Yakimenko, O. (2017). "SimPADS: A Domain Specific Modeling Framework for Model-Based PADS DT&E," *Proceedings of the 24th AIAA Aerodynamic Decelerator Systems Technology Conference*, 2017 AIAA Aviation and Aeronautics Forum and Exposition, Denver, CO, June 5-9, 2017.
- Muratore, M., Silvestrini, R. T., & Chung, T. H. (2012). Simulation Analysis of UAV and Ground Teams for Surveillance and Interdiction. *The Journal of Defense Modeling and Simulation: Applications, Methodology, Technology*, OnlineFirst.
- Otnes, R. and V. Forsmo, H. Buen (2012). *NGAS Sea Trials*, Gulf of Taranto, Italy, September 2011, January 2012

- Otnes, R. (2012). "NILUS An Underwater Acoustic Sensor Network Demonstrator System," *Proc.* 10th International Mine Warfare Technology Symposium, Monterey CA, 7-10 May 2012
- Otnes, R. and J. Rice (2013). "Underwater acoustic sensor networking in NGAS 2012 sea trial at Oslo Fjord, Norway," *Proc. 1st Underwater Acoustics Conf.*, Corfu, Greece, June 28, 2013
- Phelps, C., Q. Gong, J.O. Royset, C. Walton, and I. Kaminer (2014 *pending*). "Consistent Approximation of a Nonlinear Optimal Control Problem with Uncertain Parameters", *Automatica*, accepted for publication.
- Rice, J. (2011). "Maritime Surveillance in the Intracoastal Waterway using Networked Underwater Acoustic Sensors integrated with a Regional Command Center," invited presentation to *Small Vessel Security Threat Conference*, San Francisco CA, 29 September 2011
- Rice, J. (2011). "Seaweb ASW Sensor Network," FY11 year-end project report for publication in ONR Ocean Battlespace Sensing, December 2011
- Rice, J. and G. Wilson, M. Barlett (2012). "Deep Seaweb 1.0 Maritime Surveillance Sensor Network," NDIA 2012 Joint Undersea Warfare Technology Spring Conference, Undersea Sensors technical track, San Diego CA, 26-29 March 2012
- Rice, J. (2012). "Node Ranging, Localization and Tracking as Functions of Underwater Acoustic Networks," *Proc. Acoustics 2012* Hong Kong, p. 91, 13-18 May 2012
- Rice, J. and C. Fletcher, B. Creber, B. Marn, S. Ramp, F. Bahr (2012). "Implementation of an Underwater Wireless Sensor Network in San Francisco Bay," *Proc. 10th International Mine Warfare Technology Symposium*, Monterey CA, 7-10 May 2012
- Rice, J. (2012). "Project MISSION Maritime In Situ Sensing Inter-Operable Networks," Proc. 10th International Mine Warfare Technology Symposium, Monterey CA, 7-10 May 2012
- Rice, J. (2012). "Weaponized Underwater Surveillance Network," Proc. 10th International Mine Warfare Technology Symposium, Monterey CA, 7-10 May 2012
- Rice, J. and C. Fletcher, B. Creber, B. Marn, S. Ramp, F. Bahr (2012). "Implementation of an Underwater Wireless Sensor Network in San Francisco Bay," *Proc. 3rd WaterSide Security Conference*, Singapore, 28-30 May 2012
- Rice, J. (2012). "Seaweb Subsurface Sensor Network for Port Surveillance and Maritime Domain Awareness," *NMIO Technical Bulletin*, National Maritime Intelligence-Integration Office, Summer 2012 issue, Vol. 3, pp. 10-14, August 2012

- Rice, J. and M. Chitre (2013). "Maritime In Situ Sensing Inter-Operable Networks involving Acoustic Communications in the Singapore Strait," *CRUSER Technical Continuum*, Monterey, CA, April 9, 2013
- Rice, J. and M. Chitre (2013). "Maritime In Situ Sensing Inter-Operable Networks involving Acoustic Communications in the Singapore Strait," ONR Ocean Acoustics Review, Bay St. Louis, MS, April 24, 2013
- Rochholz, T. (2012). "Wave-Powered Unmanned Surface Vehicle Operation in the Open Ocean: A Station Keeping Asset for Distributed Netted Systems; PAC X: Transpacific Crossing of Wave Glider USVs," Proc. 10th International Mine Warfare Technology Symposium, Monterey CA, 7-10 May 2012
- Rochholz, T. (2012). "Wave-Powered Unmanned Surface Vehicle as a Station-Keeping Gateway Node for Undersea Distributed Networks," presented at *NDIA Undersea Warfare Technology Conference*, Groton, CT, 24-27 September 2012
- Rothal, J. and A. Davis (2011). *A Sampling of NPS Theses and Reports on UxS*, produced by the Dudley Knox Library, released August 2011.
- Sanchez, Susan M. (2014). "CRUSER Data Farming Workshops," *CRUSER TechCon 2014*, Monterey, California, April 2014.
- Sanchez, Susan M., Paul J. Sanchez, and Hong Wan (2014). "Simulation Experiments: Better Insights by Design," *Summer Simulation Multiconference*, Monterey, California, July 2014.
- Sanchez, Susan M. (2014). "Simulation experiments: Better data, not just big data." Proceedings of the 2014 Winter Simulation Conference, forthcoming
- Sanchez, S. M., Gardner, M., and Craparo, E. (2015). "Simulation Experiments Involving Stochastic Optimization Models for Disaster Relief" INFORMS Annual Meeting, 1-4 November 2015, Invited Presentation, INFORMS Simulation Society sponsored session
- Sanchez, S. M. (2015). Simulation Experiments: Better Data, Not Just Big Data (2015). Proceedings of the 2015 Winter Simulation Conference, *forthcoming*.
- Sanchez, S. M. (2017), "Data farming: reaping insights from simulation models," *Chance*, invited contribution to a special issue on statistics in defense and national security (guest editors: A. G. Wilson and D. Banks), forthcoming.

- Sanchez, S. M. and P. J. Sanchez (2017). "Better big data via data farming experiments," Chapter 9 in Advances in Modeling and Simulation -- Seminal Research from 50 Years of Winter Simulation Conferences, eds. A. Tolk, J. Fowler, G. Shao, and E. Yucesan. Springer, pp. 159-179.
- See, H.A., Ghosh, S., and Yakimenko, O. (2017). "Towards the Development of an Autonomous Interdiction Capability for Unmanned Aerial Systems," *Proceedings of the International Conference on Unmanned Aircraft Systems (ICUAS'17)*, Miami, FL, June 13-16, 2017. DOI: 10.1109/ICUAS.2017.7991478
- Shankar, S. and M. Chitre (2013). "Tuning an underwater communication link," *Proc. IEEE* OCEANS Conf., Bergen, Norway, June 2013
- Song, K.S., and P.C. Chu (2014). Conceptual Design of Future Undersea Unmanned Vehicle (UUV) System for Mine Disposal. *IEEE Systems Journal*, 8 (1), 41-51.
- Songzheng Song, Jiexin Zhang, Yang Liu, Jun Sun, Mikhail Auguston, Jin Song Dong, Tieming Chen (2015). "Formalizing and Verifying Stochastic System Architectures Using Monterey Phoenix," *MODELS 2015* – Ottawa, Canada
- Streenan, A. and Du Toit, N.E. (2013) "Diver Relative UUV Navigation for Joint Human-Robot Operations", *MTS/IEEE Oceans Conference*, Sept. 23-26, San Diego, CA, 2013
- Stevens, T., & Chung, T. H. (2013). Autonomous Search and Counter-Targeting using Levy Search Models. In *Proc. of 2013 IEEE Int'l. Conf. on Robotics and Automation*.
- Thompson, Andrew, Lieutenant, U.S. Navy (2014). "Combined UUV Efforts in a Large-Scale Mine Warfare Environment" *CRUSER TechCon 2014*, Monterey, California, April 2014.
- Thomson, J., P. S. Guest (et al) (2016). "Emerging trends in the seas state of the Beaufort and Chukchi seas" *Ocean Modelling*, V 105, September 2016.
- Thompson, R.B. and P. Thulasiraman (2016). "Confidential and Authenticated Communications in a Large Fixed-Wing UAV Swarm," in Proc. of *IEEE 15th International Symposium on Network Computing and Applications*, pp. 375-382, November 2016.
- Thulasiraman, Preetha (2017). "Thread Driven Approach to Cybersecurity of ROS in Small UAV Networks," *IEEE UEMCON*, October 2017.
- Valladarez, N. D. and Du Toit, N. E. (2015). "Robust Adaptive Control of Underwater Vehicles for Precision Operations", to be presented IEEE/MTS Oceans Conference, Washington D.C., October 2015

- Venanzio Cichella, Isaac Kaminer, Vladimir Dobrokhodov, Naira Hovakimyan (2015)."Coordinated Vision Based Tracking of Multiple UAVs," *Proceedings of 2015 American Control Conference*
- Venanzio Cichella, Isaac Kaminer, Vladimir Dobrokhodov, Naira Hovakimyan (2015). "Coordinated Vision-Based Tracking for Multiple UAVs," *Proceedings of 2015 International Conference on Intelligent Robots and Systems*
- Venanzio Cichella, Isaac Kaminer, Vladimir Dobrokhodov, Enric Xargay, Ronald Choe, Naira Hovakimyan, A. Pedro Aguiar, and Antonio M. Pascoal (2015). "Cooperative Path-Following of MultipleMultirotors over Time-Varying Networks," to appear in IEEE Transactions on Automation Science and Engineering
- Venanzio Cichella, Ronald Choe, S. Bilal Mehdi, Enric Xargay, Naira Hovakimyan, Vladimir Dobrokhodov, Isaac Kaminer, Antonio M. Pascoal, and A. Pedro Aguiar (2015). "Safe Coordinated Maneuvering of Teams of Multirotor UAVs: A Cooperative Control Framework for Multi-Vehicle Time-Critical Missions," *to appear in IEEE Control Systems Magazine*
- Venanzio Cichella, Thiago Marinho, Dusan Stipanovi , Naira Hovakimyan, Isaac Kaminer, Anna Trujillo (2015). "Collision Avoidance Based on Line-of-Sight Angle," *to appear in Proceedings of 2015 IEEE Conference on Decision and Control*
- Vio, R. P. and R. Cristi, K. B. Smith (2016). "Near real-time improved UUV positioning through channel estimation – The Unscented Kalman Filter Approach" for OCEANS 2016 MTS/IEEE Monterey CA September 2016.
- Walton, Claire, Qi Gong, Isaac Kaminer, Johannes Royset (2014). "Optimal Motion Planning for Searching for Uncertain Targets," 2014 International Forum of Automatic Control (IFAC 2014)
- Walton, C. and C. Phelps, Qi Gong, I. Kaminer (2016). "A Numerical Algorithm for OptimalControl of Systems with Parameter Uncertainty, 10th IFAC NOLCOS Conference, Monterey, August 2016
- Walton, C. and S. Kragelund, I. Kaminer (2016). The Application of 'Optimal Search' to Marine Mapping," for OCEANS 2016 MTS/IEEE Monterey, 2016, Sep. 2016
- Walton, Claire, Sean Kragelund, and Isaac Kaminer (2017). "The application of 'optimal search' to marine mapping." *OCEANS 2016 MTS/IEEE* Monterey. IEEE, 2016.
- Walton, Claire (2017). "Generalized Optimal Control: Motion Planning for Autonomous Vehicle Teams in Uncertain Environments." *July 2017 CRUSER Monthly Meeting*.

- Walton, Claire, Sean Kragelund, and Isaac Kaminer (2017). "Issues in Multi-Agent Search: False Positives and Bayesian Map Updates." *OCEANS 2017 MTS/IEEE* Aberdeen. IEEE, 2017.
- Walton, Claire, and Isaac Kaminer, Vladimir Dobrokhodov, Kevin D. Jones (2017). "New Insights into Autonomous Soaring." *56th IEEE Conference on Decision and Control*, 2017, accepted for publication
- Weiss, J.D. and Du Toit, N.E. (2013) "Real-Time Dynamic Model Learning and Adaptation for Underwater Vehicles", *MTS/IEEE Oceans Conference*, Sept. 23-26, San Diego, CA, 2013
- Wong C.M.K., and Yakimenko, O. (2017). "Rocket Launch Detection and Tracking using EO Sensor," *Proceedings of the 3rd IEEE International Conference on Control, Automation, Robotics*, Nagoya, Japan, April 22-24, 2017, pp.766–770. DOI: 10.1109/ICCAR.2017.7942801.
- Xargay, E., R. Choe, N. Hovakimyan, and I. Kaminer (2014 *pending*). "Convergence of a PI Coordination Protocol in Networks with Switching Topology and Quantized Measurements," *Automatica*, accepted for publication.
- Xargay E., V. Dobrokhodov, I. Kaminer, A. Pascoal, N. Hovakimyan, and C. Cao (2011). "Time– Coordinated Path Following of Multiple Heterogeneous Vehicles over Time–Varying Networks," invited paper for *IEEE Control Systems Magazine, Special Issue on UAVs and Controls*, 2011.
- Xargay, E., N.Hovakimyan, V.N. Dobrokhodov, I.I. Kaminer, C. Cao, I.M. Gregory (2012). "L1 Adaptive Control in Flight", chapter in a book "Progress in Aeronautics and Astronautics Series", AIAA, 2012.
- Xu, N., G. Cai, W. Kang, and B.M. Chen (2012). "Minimum-time trajectory planning for helicopter UAVs using dynamic optimization." *IEEE International Conference on Systems, Man, and Cybernetics*, Seoul, South Korea, October 2012.
- Yakimenko, O. A., & Chung, T. H. (2012). Extending Autonomy Capabilities for Unmanned Systems with CRUSER. In Proceedings of the 28th Congress of the International Council of the Aeronautical Sciences (ICAS 2012). Brisbane, Australia.
- Yakimenko, O.A., and Chung, T.H., "Extending Autonomy Capabilities for Unmanned Systems with CRUSER," *Proceedings of the 28th Congress of the International Council of the Aeronautical Sciences (ICAS 2012)*, Brisbane, Australia, 23-28 September 2012.
- Yakimenko, O. (2016). "UAVs and How They Work Together with Satellite Systems" presentation for *Singapore Space Challenge* 2016, 24 June 2016.

Yang, J. H., Kapolka, M., & Chung, T. H. (2012). Autonomy balancing in a manned-unmanned teaming (MUT) swarm attack. In 2012 International Conference on Robot Intelligence Technology and Applications. Gwangju, Korea.

Zhang, Jiexin, Yang Liu, Mikhail Auguston, Jun Sun and Jin Song Dong (2012). "Using Monterey Phoenix to Formalize and Verify System Architectures", *19th Asia-Pacific Software Engineering Conference APSEC 2012*, Hong Kong 4 – 7 December 2012. <u>http://www.comp.polyu.edu.hk/conference/APSEC2012/</u>

APPENDIX B: CUMULATIVE THESES AND STUDENT PROJECTS SUPPORTED

This list includes thesis and projects from FY11 forward. Unclassified NPS theses are available through the NPS Dudley Knox Library and DTIC. This list is alphabetized by student last name, and separated by year of completion (*chronologically backward by fiscal year*).

| AUTHOR(s) | TITLE | DATE | URL |
|--|---|-------------|-----------------------------------|
| <u>FY18</u> | | | |
| LT Ryan Clapper USN | DIRECTIONAL NETWORKING SOLUTIONS FOR A CLANDESTINE MANET | 2018 MAR | Controlled access |
| LT Tiffany Clark USN | INTEGRITY-BASED TRUST VIOLATIONS WITHIN HUMAN-MACHINE TEAMING | 2018 JUN | http://hdl.handle.net/10945/59637 |
| LT Alan J. Clarke USN and Maj Daniel Knudsen III USMC | EXAMINATION OF COGNITIVE LOAD IN THE HUMAN-MACHINE TEAMING CONTEXT (NPS Outstanding Thesis 2018) | 2018 JUN | http://hdl.handle.net/10945/59638 |
| Capt K. Comstock USMC and Capt S. Krajewski USMC | UNMANNED TACTICAL CONTROL AND COLLABORATION (UTACC) QUICK-WIN ROBOT ANALYSIS | 2018 SEP | http://hdl.handle.net/10945/60380 |
| Maj John M. Fout USMC and Maj James M. Ploski USMC | UNMANNED TACTICAL AUTONOMOUS CONTROL AND COLLABORATION HUMAN MACHINE COMMUNICATION AND SITUATIONAL AWARENESS DEVELOPMENT | 2018 JUN | http://hdl.handle.net/10945/59661 |
| Capt Hawken Grubbs USMC | FIELD PROGRAMMABLE GATE ARRAY HIGH CAPACITY TECHNOLOGY FOR RADAR AND COUNTER-RADAR DRFM SIGNAL PROCESSING (NPS Outstanding Thesis 2018) | 2018 JUN | http://hdl.handle.net/10945/59670 |
| Maj Nathan J. Gulosh USMC | EMPLOYMENT OF INTELLIGENCE, SURVEILLANCE, AND RECONNAISSANCE DRONE SWARMS TO ENHANCE GROUND COMBAT OPERATIONS (NPS Outstanding Thesis 2018) | 2018 JUN | http://hdl.handle.net/10945/59672 |
| LT Calvin S. Hargadine USN | MOBILE ROBOT NAVIGATION AND OBSTACLE AVOIDANCE IN UNSTRUCTURED OUTDOOR ENVIRONMENTS | 2017 DEC | http://hdl.handle.net/10945/56937 |
| Maj S. Harvey UMC and Capt Trevino USMC | ANALYSIS OF EMERGING AND CURRENT SUBSYSTEM TECHNOLOGIES IN SUPPORT OF WARFIGHTING CAPABILITIES (NPS Outstanding Thesis 2018) | 2018 SEP | http://hdl.handle.net/10945/60410 |
| Maj Andrew Heitpas USMC | STIGMERGIC CONTROL OF DUAL- DIRECTION COMMUNICATION FERRY NODES FOR DENIED COMMUNICATIONS | 2018 JUN | http://hdl.handle.net/10945/59685 |

| | ENVIRONMENTS (NPS Outstanding Thesis | | |
|--|--------------------------------------|----------|-----------------------------------|
| | 2018) | | |
| | UAV POSITION OPTIMIZATION FOR | 2018 | |
| ENS Ben Keegan USN | WIRELESS COMMUNICATIONS | JUN | http://hdl.handle.net/10945/59695 |
| | CONCEPT OF OPERATIONS FOR USING | | |
| | COMPUTER VISION | 2018 | |
| Capt Justin L. King USMC | CAPABILITIES ON TACTICAL AIRCRAFT | JUN | http://hdl.handle.net/10945/59698 |
| | (NPS Outstanding Thesis 2018) | 5011 | |
| | ASSESSMENT OF FOREIGN OBJECT | | |
| Major Wee Leong Lee, | DEBRIS MANAGEMENT USING | 2018 | |
| Singapore Air Force | GROUP 1 UNMANNED AERIAL SYSTEMS | SEP | http://hdl.handle.net/10945/60426 |
| | (NPS Outstanding Thesis 2018) | 02. | |
| | VALIDATION OF ARCHITECTURE MODELS | | |
| | FOR COORDINATION OF | 2018 | |
| LT Wyatt T. Middleton USN | UNMANNED AIR AND GROUND VEHICLES | JUN | http://hdl.handle.net/10945/59555 |
| | VIA EXPERIMENTATION | 1014 | |
| | RESOURCE-CONSTRAINED | <u> </u> | |
| | AUTONOMOUS OPERATIONS OF | | |
| | SATELLITE | 2018 | |
| Giovanni Minelli | CONSTELLATIONS AND GROUND | SEP | http://hdl.handle.net/10945/60435 |
| | STATION NETWORKS (doctoral | JLF | |
| | dissertation) | | |
| | GROUP 3 UNMANNED AIRCRAFT | | |
| | SYSTEMS MAINTENANCE | 2017 | |
| Maj John Park USMC | | DEC | http://hdl.handle.net/10945/56779 |
| | CHALLENGES WITHIN THE NAVAL | DEC | |
| | | | |
| Major Yi Kai Qiu, Republic of | PROPAGATION ENVIRONMENT | 2018 | http://hdl.handle.net/10945/58353 |
| Singapore Air Force | ASSESSMENT USING UAV | MAR | |
| | ELECTROMAGNETIC SENSORS | | |
| | AN INDEPENDENT ASSESSMENT OF THE | 2010 | |
| LCDR John J. Renquist USN | ENERGY ENHANCEMENTS TO THE | 2018 | http://hdl.handle.net/10945/60453 |
| | SYNTHETIC THEATER OPERATIONS | SEP | |
| | RESEARCH MODEL (STORM) | | |
| | CYBER SECURITY TESTING OF THE ROBOT | 2018 | |
| Maj. Sergio Sandoval | OPERATING SYSTEM IN UNMANNED | SEP | http://hdl.handle.net/10945/60458 |
| | AERIAL SYSTEMS | | |
| | COMPARISON STUDY OF LOW-LEVEL | 2018 | |
| LT Joseph A. Schnieders USN | CONTROLLER TECHNIQUES FOR | JUN | http://hdl.handle.net/10945/59581 |
| | UNMANNED SURFACE VESSELS | | |
| | ANALYZING UNMANNED SURFACE | | |
| | TACTICS WITH THE LIGHTWEIGHT | 2018 | |
| LT J. Tanalega USN | INTERSTITIALS TOOLKIT FOR MISSION | MAR | Controlled release |
| | | | |
| | (LITMUS) | | |
| Wei Shun Teo, DSO National Laboratories Singapore | ADVANCING COTS UAV CAPABILITY TO | 2018 | |
| | PROVIDE VISION-BASED SA/ISR | SEP | http://hdl.handle.net/10945/60353 |
| | DATA (NPS Outstanding Thesis 2018) | | |
| Major Boon Hong Aaron | ASSESSING THE EFFECTIVENESS OF A | 2018 | |
| Teow, Singapore Army | COMBAT UGV SWARM IN URBAN | SEP | http://hdl.handle.net/10945/60354 |
| | OPERATIONS (NPS Outstanding Thesis | | |

| | 2018) | | |
|--|--|-------------|-----------------------------------|
| LT Preston T. Tilus USN | ASSESSING ORCHESTRATED SIMULATION THROUGH MODELING TO QUANTIFY THE BENEFITS OF UNMANNED-MANNED TEAMING IN A TACTICAL ASW SCENARIO | 2018 MAR | http://hdl.handle.net/10945/58270 |
| LT Travis M. Turner USN | ANALYZING UUV HULL CROSS-SECTIONS FOR MINIMIZING WAVE LOADS WHEN OPERATING NEAR SURFACE | 2018 JUN | http://hdl.handle.net/10945/59606 |
| Chief A. Tyerman, Maple Valley Fire & Life Safety | USING UNMANNED AERIAL VEHICLES FOR AUTOMATED EXTERNAL DEFIBRILLATOR DELIVERY IN THE SEATTLE KING COUNTY REGION FOLLOWING OUT-OF-HOSPITAL CARDIAC ARREST | 2018 SEP | http://hdl.handle.net/10945/60360 |
| Lieutenant Leander J. C. van Schriek, Royal Netherlands Navy | EVALUATING EFFECTIVENESS OF DIRECTIONAL ACOUSTIC MODEMS INTEGRATED ONTO AUTONOMOUS PLATFORMS (NPS Outstanding Thesis 2018) | 2018 JUN | http://hdl.handle.net/10945/59609 |
| ENS Noah Wachlin USN | ROBUST TIME-VARYING FORMATION CONTROL WITH ADAPTIVE SUBMODULARITY | 2018 JUN | http://hdl.handle.net/10945/59612 |
| LT Alexander G. Williams USN | FEASIBILITY OF AN EXTENDED-DURATION AERIAL PLATFORM USING AUTONOMOUS MULTI-ROTOR VEHICLE SWAPPING AND BATTERY MANAGEMENT | 2017 DEC | http://hdl.handle.net/10945/56847 |
| Maj Costantinos Zagaris USAF | AUTONOMOUS SPACECRAFT RENDEZVOUS WITH A TUMBLING OBJECT: APPLIED REACHABILITY ANALYSIS AND GUIDANCE AND CONTROL STRATEGIES (doctoral dissertation) | 2018 SEP | http://hdl.handle.net/10945/60364 |
| SE Capstone Cohort JUN2018 | DISTRIBUTED MARITIME OPERATIONS AND UNMANNED SYSTEMS TACTICAL EMPLOYMENT | 2018 JUN | http://hdl.handle.net/10945/59587 |
| SE Capstone Cohort DEC2017(1) | INVESTIGATION OF REQUIREMENTS AND CAPABILITIES OF NEXT-GENERATION MINE WARFARE UNMANNED UNDERWATER VEHICLES | 2017 DEC | http://hdl.handle.net/10945/56878 |
| SE Capstone Cohort DEC2017(2) | COST, SCHEDULE, AND PERFORMANCE ELEMENTS FOR COMPARISON OF HYDRODYNAMIC MODELS OF NEAR- SURFACE UNMANNED UNDERWATER VEHICLE OPERATIONS | 2017 DEC | http://hdl.handle.net/10945/56859 |
| LT Todd Coursey USN | DIRECTIONAL SOUND SENSING OF UAV'S USING A MEMS SENSOR | 2018 DEC | Controlled release |

| LCDR Dave Herrmann USN | MORPHODYNAMIC CLASSIFICATION OF COASTAL REGIONS USING MACHINE LEARNING THROUGH DIGITAL IMAGERY COLLECTION | 2018 DEC | URL to be assigned once archived |
|---|---|--------------|-----------------------------------|
| Hopchak, M. S. | AUTONOMOUS DECISION AND INDEPENDENT CUING IN SWARM ROBOTICS | 2018 DEC | URL to be assigned once archived |
| Riarh Parminder CANADA | A STUDY OF MEMS ACOUSTIC DIRECTIONAL SENSORS | 2018 DEC | URL to be assigned once archived |
| <u>FY17</u> | | | |
| LT Robert L. Allen III, USN | Quadrotor Intercept Trajectory Planning and Simulation | 2017- JUN | http://hdl.handle.net/10945/55627 |
| Captain Wee Kiong Ang, Singapore Army | Assessment of an Onboard EO Sensor to Enable Detect-and-Sense Capability for UAVs Operating in a Cluttered Environment | 2017- SEP | http://hdl.handle.net/10945/56165 |
| LCDR Christopher M. Bade, USN | Study of Integrated USV/UUV Observation System Performance In Monterey Bay | 2017- SEP | http://hdl.handle.net/10945/56176 |
| LT Ryan G. Beall, USN | Engineering of Fast and Robust Adaptive Control for Fixed-Wing Unmanned Aircraft | 2017- JUN | http://hdl.handle.net/10945/55563 |
| Capt Carl P. Beierl, USMC and Capt Devon R. Tschirley, USMC | Unmanned Tactical Autonomous Control and Collaboration Situation Awareness | 2017- JUN | http://hdl.handle.net/10945/55568 |
| LT Connor F. Bench, USN | GPS Enabled Semi-Autonomous Robot | 2017- SEP | http://hdl.handle.net/10945/56103 |
| LT Kristjan J. Casola, USN | System Architecture and Operational Analysis of Medium Displacement Unmanned Surface Vehicle Sea Hunter as a Surface Warfare Component of Distributed Lethality | 2017- JUN | http://hdl.handle.net/10945/55579 |
| Capt Elle M. Ekman, USMC | Simulating Sustainment for an Unmanned Logistics System Concept of Operation in Support of Distributed Operations | 2017- JUN | http://hdl.handle.net/10945/55593 |
| LT Stephen M. Fleet, USN | Effects of Mixed Layer Shear on Vertical Heat Flux | 2016- DEC | http://hdl.handle.net/10945/51696 |
| ENS Rebecca A. Greenberg, USN | Investigating the Feasibility of Conducting Human Tracking and Following in an Indoor Environment Using a Microsoft Kinect and the Robot Operating System | 2017- JUN | http://hdl.handle.net/10945/55606 |
| Keng Siew Aloysius Han | Test and Evaluation of an Image- Matching Navigation System for a UAS Operating in a GPS-Denied Environment | 2017- SEP | http://hdl.handle.net/10945/56131 |
| LTjg Pedro R. Hayden, Peruvian Navy | Unmanned Systems: A Lab-Based Robotic Arm for Grasping Phase II | 2016- DEC | http://hdl.handle.net/10945/51716 |

| LT Chaz R. Henderson, USN | Feasibility of Tactical Air Delivery Resupply Using Gliders | 2016- DEC | http://hdl.handle.net/10945/51717 |
|---|---|--------------|-----------------------------------|
| LT Joshua B. Hicks, USN and LT Ryan L. Seeba, USN | Effectiveness of a Littoral Combat Ship as a Major Node in a Wireless Mesh Network | 2017- MAR | http://hdl.handle.net/10945/52990 |
| LT Jo-Wen Huang, Taiwan Navy | Implementation of a Multi-Robot Coverage Algorithm on a Two- Dimensional, Grid-Based Environment | 2017- JUN | http://hdl.handle.net/10945/55624 |
| LT Bradley A. Johnson, USN | Using A Functional Architecture to Identify Human-Automation Trust Needs and Design Requirements | 2016- DEC | http://hdl.handle.net/10945/51726 |
| LCDR Jake A. Jones, USN | A New Technique for Robot Vision in Autonomous Underwater Vehicles Using the Color Shift in Underwater Imaging | 2017- JUN | http://hdl.handle.net/10945/55631 |
| Lieutenant Commander Akhtar Zaman Khan, Pakistan Navy | Convoy Protection under Multi-Threat Scenario | 2017- JUN | http://hdl.handle.net/10945/55566 |
| Wei Sheng Jeremy Kang, Singapore Army | An Engineered Resupply System for Humanitarian Assistance and Disaster Relief Operations | 2017- SEP | http://hdl.handle.net/10945/56144 |
| Captain Sangbum Kim, Republic of Korea | Feasibility Analysis Of UAV Technology to Improve Tactical Surveillance in South Korea's Rear Area Operations | 2017- MAR | http://hdl.handle.net/10945/53001 |
| Maj Thomas D. Kline, USMC | Proof of Concept in Disrupted Tactical Networking | 2017- SEP | http://hdl.handle.net/10945/56147 |
| Mr. Sean Kragelund | Optimal Sensor-Based Motion Planning for Autonomous Vehicle Teams (Ph.D. Dissertation) | 2017- MAR | http://hdl.handle.net/10945/53003 |
| Maj Thomas A. Kulisz, USMC and Capt Robert E. Sharp, USMC | Unmanned Tactical Autonomous Control and Collaboration (UTACC) Human- Machine Integration Measures of Performance and Measures of Effectiveness | 2017- JUN | http://hdl.handle.net/10945/55637 |
| LT Matthew D. Lai, USN | Application of Thin Film Photovoltaic Cigs Cells to Extend the Endurance of Small Unmanned Aerial Systems | 2017- JUN | http://hdl.handle.net/10945/55639 |
| Wee Leong Lai, Singapore | Applicability of Deep-Learning Technology for Relative Object-Based Navigation | 2017- SEP | http://hdl.handle.net/10945/56149 |
| Lieutenant Antonios Lionis, Hellenic Navy | Experimental Design of a UCAV-Based High-Energy Laser Weapon | 2016- DEC | http://hdl.handle.net/10945/51574 |
| LCDR Nicholas A. Manzini, USN | USV Path Planning Using Potential Field Model | 2017- SEP | http://hdl.handle.net/10945/56152 |
| ENS Tyler B. McCarthy, USN | Feasibility Study of a Vision-Based Landing System for Unmanned Fixed- Wing Aircraft | 2017- JUN | http://hdl.handle.net/10945/55652 |
| Mkuseli Mqana, Armament Corporation of South Africa | Terminal Homing Position Estimation for Autonomous Underwater Vehicle Docking | 2017- JUN | http://hdl.handle.net/10945/55655 |

| r | | | |
|---|--|--------------|-----------------------------------|
| Lieutenant Commander Renato Peres Vo, Brazilian Navy | Improved UUV Positioning Using Acoustic Communications and a Potential for Real- Time Networking and Collaboration | 2017- JUN | http://hdl.handle.net/10945/55517 |
| Lieutenant Colonel Silvio Pueschel, German Army | Optimization of Advanced Multi-Junction Solar Cell Design for Space Environments Using Nearly Orthogonal Latin Hypercubes | 2017- JUN | http://hdl.handle.net/10945/55521 |
| Hongze Alex See, Singapore | Coordinated Guidance Strategy for Multiple USVs during Maritime Interdiction Operations | 2017- SEP | http://hdl.handle.net/10945/56175 |
| Capt James Garrick Sheatzley, USMC | Discrete Event Simulation for the Analysis of Artillery Fired Projectiles from Shore | 2017- JUN | http://hdl.handle.net/10945/55536 |
| Solem, K. | Quantifying the Potential Benefits of Anti-Submarine Warfare (ASW) Continuous Trail Unmanned Vessels (ACTUV) in a Tactical ASW Scenario (Restricted) | 2017- MAR | restricted |
| Choon Seng Leon Mark Tan, Singapore | Mission Planning for Heterogeneous UXVs Operating in a Post-Disaster Urban Environment | 2017- SEP | http://hdl.handle.net/10945/56182 |
| Major Bruno G. F. Tavora, Brazilian Air Force | Feasibility Study of an Aerial Manipulator Interacting with a Vertical Wall | 2017- JUN | http://hdl.handle.net/10945/55545 |
| LT Ian Taylor, USN | Variable Speed Hydrodynamic Model of an AUV Utilizing Cross Tunnel Thrusters | 2017- SEP | http://hdl.handle.net/10945/56183 |
| LT Joseph B. Testa III, USN | Vision-Based Position Estimation Utilizing an Extended Kalman Filter | 2016- DEC | http://hdl.handle.net/10945/51625 |
| LCDR Richard B. Thompson, USN | Confidential and Authenticated Communications in a Large Fixed-Wing UAV Swarm | 2016- DEC | http://hdl.handle.net/10945/51626 |
| Ying Jie Benjemin Toh, Singapore | Development of a Vision-Based Situational Awareness Capability for Unmanned Surface Vessels | 2017- SEP | http://hdl.handle.net/10945/56185 |
| LT Marcus A. Torres, USN | Feasibility Analysis and Prototyping of a Fast Autonomous Recon System | 2017- JUN | http://hdl.handle.net/10945/55547 |
| Capt Michael D. Wilcox, USMC and Capt Cody D. Chenoweth, USMC | Unmanned Tactical Autonomous Control and Collaboration (UTAC) Immediate Actions | 2017- JUN | http://hdl.handle.net/10945/55554 |
| Team SBD Systems Engineering | Implementing Set Based Design into Department of Defense Acquisition | 2016- DEC | http://hdl.handle.net/10945/51668 |
| Sean X. Hong | Phased array excitations for efficient near field wireless power transmission | 2016- 09 | http://hdl.handle.net/10945/50561 |
| LT David Armandt USN | Controlling robotic swarm behavior utilizing real-time kinematics and artificial physics | 2016- 06 | http://hdl.handle.net/10945/49465 |
| ENS Eric B. Bermudez USN | Terminal homing for autonomous underwater vehicle docking | 2016- 06 | http://hdl.handle.net/10945/49385 |

| Capt. Jerry V. Drew II USA | Evolved design, integration, and test of a modular, multi-link, spacecraft-based robotic manipulator | 2016- 06 | http://hdl.handle.net/10945/49446 |
|--|---|-------------|-----------------------------------|
| LTJG Alejandro Garcia Aguilar Mexican Navy | CFD analysis of the SBXC Glider airframe | 2016- 06 | http://hdl.handle.net/10945/49466 |
| CMDR Andrew B. Hall USN | Conceptual and preliminary design of a low-cost precision aerial delivery system | 2016- 06 | http://hdl.handle.net/10945/49478 |
| LTJG Serif Kaya Turkish Navy | Evaluating effectiveness of a frigate in an anti-air warfare (AAW) environment | 2016- 06 | http://hdl.handle.net/10945/49504 |
| SEA 23 Cohort | Unmanned systems in integrating cross- domain naval fires | 2016- 06 | http://hdl.handle.net/10945/49381 |
| Capt. Matthew S. Zach USMC | Unmanned tactical autonomous control and collaboration coactive design | 2016- 06 | http://hdl.handle.net/10945/49417 |
| LCDR Jose R. Espinosa Gloria Mexican Navy | Runway detection from map, video and aircraft navigational data | 2016- 03 | http://hdl.handle.net/10945/48516 |
| LT Matthew S. Maupin USN | Fighting the network: MANET management in support of littoral operations | 2016- 03 | http://hdl.handle.net/10945/48561 |
| LCDR Brian M. Roth USN and LCDR Jade L. Buckler USN | Unmanned Tactical Autonomous Control and Collaboration (UTACC) unmanned aerial vehicle analysis of alternatives | 2016- 03 | http://hdl.handle.net/10945/48586 |
| LT Manuel Ariza Colombian Navy | The design and implementation of a prototype surf-zone robot for waterborne operations | 2015- 12 | http://hdl.handle.net/10945/47847 |
| LT Loney R. Cason III USN | Continuous acoustic sensing with an unmanned aerial vehicle system for anti- submarine warfare in a high-threat area | 2015- 12 | http://hdl.handle.net/10945/47918 |
| LT Ross A. Eldred USN | Autonomous underwater vehicle architecture synthesis for shipwreck interior exploration | 2015- 12 | http://hdl.handle.net/10945/47940 |
| LT Robert T. Fauci III USN | Power management system design for solar-powered UAS | 2015- 12 | http://hdl.handle.net/10945/47942 |
| LCDR Oscar García Chilean Navy | Sensors and algorithms for an unmanned surf-zone robot | 2015- 12 | http://hdl.handle.net/10945/47949 |
| SE Team Mental Focus | A decision support system for evaluating systems of undersea sensors and weapons | 2015- 12 | http://hdl.handle.net/10945/47868 |
| SE Team Mine Warfare 2015 | Scenario-based systems engineering application to mine warfare | 2015- 12 | http://hdl.handle.net/10945/47865 |
| SE Team TECHMAN | Systems engineering of unmanned DoD systems: following the Joint Capabilities Integration and Development System/Defense Acquisition System process to develop an unmanned ground vehicle system | 2015- 12 | http://hdl.handle.net/10945/47867 |

| Capt. Robert Humeur, Swedish Army | A New High-Resolution Direction Finding Architecture Using Photonics and Neural Network Signal Processing for Miniature Air Vehicle Applications | 2015- 09 | http://hdl.handle.net/10945/47276 |
|--|---|-------------|-----------------------------------|
| LT Spencer S. Hunt, USN | Model based systems engineering in the execution of search and rescue operations. | 2015- 09 | http://hdl.handle.net/10945/47277 |
| Capt Caroline A. Scudder, USMC | Electronic Warfare Network Latency Within SUAS Swarms | 2015- 09 | - |
| LT Sean M. Sharp, USN | Impact of Time-Varying Sound Speed Profiles with Seaglider on ASW Detection Ranges in the Strait of Hormuz (SECRET). | 2015- 09 | - |
| Victoria Steward | Functional flow and event-driven methods for predicting system performance. | 2015- 09 | http://hdl.handle.net/10945/47334 |
| Maj Thomas M. Rice, USMC, Maj Erik A. Keim, USMC and Maj Tom Chhabra, USMC | Unmanned Tactical Autonomous Control and Collaboration Concept of Operations | 2015- 09 | http://hdl.handle.net/10945/47319 |
| Capt Patrick N. Coffman, USMC | Capabilities assessment and employment recommendations for Full Motion Video Optical Navigation Exploitation (FMV- ONE) | 2015- 06 | http://hdl.handle.net/10945/45827 |
| LT David Cummings, USN | Survivability as a tool for evaluating open source software | 2015- 06 | http://hdl.handle.net/10945/45833 |
| Capt Louis T. Batson, USMC and Capt Donald R. Wimmer, Jr., USMC | Unmanned Tactical Autonomous Control and Collaboration threat and vulnerability assessment | 2015- 06 | http://hdl.handle.net/10945/45738 |
| LT Arturo Jacinto, II, USN | Unmanned systems: a lab-based robotic arm for grasping | 2015- 06 | http://hdl.handle.net/10945/45879 |
| LTJG Salim Unlu, Turkish Navy | Effectiveness of unmanned surface vehicles in anti-submarine warfare with the goal of protecting a high value unit | 2015- 06 | http://hdl.handle.net/10945/45955 |
| Systems Engineering Analysis Capstone SEA21A | Organic over-the-horizon targeting for the 2025 surface fleet | 2015- 06 | http://hdl.handle.net/10945/45933 |
| LCDR Michael C. Albrecht, USN | Air asset to mission assignment for dynamic high-threat environments in real-time | 2015- 03 | http://hdl.handle.net/10945/45155 |
| LCDR Vincent H. Dova, USN | Software-defined avionics and mission systems in future vertical lift aircraft | 2015- 03 | http://hdl.handle.net/10945/45181 |
| LCDR Maxine J. Gardner, USN | Investigating the naval logistics role in humanitarian assistance activities | 2015- 03 | http://hdl.handle.net/10945/45189 |
| LT Bruce W. Hill, USN | Evaluation of efficient XML interchange (EXI) for large datasets and as an alternative to binary JSON encodings | 2015- 03 | http://hdl.handle.net/10945/45196 |
| LT Seneca R. Johns, USN | Automated support for rapid coordination of joint UUV operation | 2015- 03 | http://hdl.handle.net/10945/45199 |

| LT Forest B. Mclaughlin, USN | Undersea communications between submarines and unmanned undersea vehicles in a command and control denied environment | 2015- 03 | http://hdl.handle.net/10945/45224 |
|---|--|-------------|-----------------------------------|
| LT Adam R. Sinsel, USN | Supporting the maritime information dominance: optimizing tactical network for biometric data sharing in maritime interdiction operations | 2015- 03 | http://hdl.handle.net/10945/45257 |
| LT Andrew R. Thompson, USN | Evaluating the combined UUV efforts in a large-scale mine warfare environment | 2015- 03 | http://hdl.handle.net/10945/45263 |
| LT Bradley R. Turnbaugh, USN | Extending quad-rotor UAV autonomy with onboard image processing | 2015- 03 | http://hdl.handle.net/10945/45265 |
| LT Nicholas D. Vallardarez, USN | An adaptive approach for precise underwater vehicle control in combined robot-diver operations | 2015- 03 | http://hdl.handle.net/10945/45268 |
| Laser-Based Training Assessment Team, Cohort 311-133A | Research and analysis of possible solutions for Navy-simulated training technology | 2015- 03 | http://hdl.handle.net/10945/45245 |
| HEL Battle Damage Assessment Team, Cohort 311-1330 | Increasing the kill effectiveness of High Energy Laser (HEL) Combat System | 2015- 03 | http://hdl.handle.net/10945/45247 |
| HEL Test Bed Team, Cohort 311-1330 | Comprehensive system-based architecture for an integrated high energy laser test bed | 2015- 03 | http://hdl.handle.net/10945/45246 |
| LtCol Thomas A. Atkinson, USMC | Marine Corps expeditionary rifle platoon energy burden | 2014- 12 | http://hdl.handle.net/10945/44514 |
| LT Brenton Campbell, USN | Human robotic swarm interaction using an artificial physics approach | 2014- 12 | http://hdl.handle.net/10945/44531 |
| LT Chase H. Dillard, USN | Energy-efficient underwater surveillance by means of hybrid aquacopters | 2014- 12 | http://hdl.handle.net/10945/44551 |
| LCDR Kathryn M. Hermsdorfer, USN | Environmental data collection using autonomous Wave Gliders | 2014- 12 | http://hdl.handle.net/10945/44577 |
| LT Ryan P. Hilger, USN | Acoustic communications considerations for collaborative simultaneous localization and mapping | 2014- 12 | http://hdl.handle.net/10945/44579 |
| LCDR Ramon P. Martinez, USN | Bio-Optical and Hydrographic Characteristics of the western Pacific Ocean for Undersea Warfare Using Seaglider Data | 2014- 12 | http://hdl.handle.net/10945/44612 |
| LT Mark C. Mitchell, USN | Impacts of potential aircraft observations on forecasts of tropical cyclones over the western North Pacific | 2014- 12 | http://hdl.handle.net/10945/44619 |
| LT Dominic J. Simone, USN | Modeling a linear generator for energy harvesting applications | 2014- 12 | http://hdl.handle.net/10945/44669 |
| Team MIW, SE311-132Open/ | Application of Model-Based Systems Engineering (MBSE) to compare legacy and future forces in Mine Warfare (MIW) | 2014- 12 | http://hdl.handle.net/10945/44659 |

| | missions | | |
|---|---|-------------|-----------------------------------|
| Joong Yang Lee, NTU Singapore | Expanded kill chain analysis of manned- unmanned teaming for future strike operations | 2014- 09 | http://hdl.handle.net/10945/43944 |
| Montrell Smith, DON Civilian | Converting a manned LCU into an unmanned surface vehicle (USV): an open systems architecture (OSA) case study | 2014- 09 | http://hdl.handle.net/10945/44004 |
| CDR Ellen Chang, USNR | Defining the levels of adjustable autonomy: a means of improving resilience in an unmanned aerial system | 2014- 09 | http://hdl.handle.net/10945/43887 |
| Chee Siong Ong, NTU Singapore | Logistics supply of the distributed air wing | 2014- 09 | http://hdl.handle.net/10945/43969 |
| LT Barry Scott, USNR | Strategy in the robotic age: a case for autonomous warfare | 2014- 09 | http://hdl.handle.net/10945/43995 |
| LT Blake Wanier, USN | A modular simulation framework for assessing swarm search models | 2014- 09 | http://hdl.handle.net/10945/44027 |
| Chung Siong Tng, NTU Singapore | Effects of sensing capability on ground platform survivability during ground forces maneuver operations | 2014- 09 | http://hdl.handle.net/10945/44018 |
| LT Nicole R. Ramos, USN | Assessment of vision-based target detection and classification solutions using an indoor aerial robot | 2014- 09 | http://hdl.handle.net/10945/43984 |
| Ceying Foo, NTU Singapore | A systems engineering approach to allocate resources between protection and sensors for ground systems for offensive operations in an urban environment | 2014- 09 | http://hdl.handle.net/10945/43914 |
| Team Amberland, Cohort 311-1310 | A systems approach to architecting a mission package for LCS support of amphibious operations | 2014- 09 | http://hdl.handle.net/10945/43992 |
| LtCol Robert B. Davis, USMC | Applying Cooperative Localization to Swarm UAVs using an Extended Kalman Filter | 2014- 09 | http://hdl.handle.net/10945/43900 |
| Joong Yang Lee, Republic of Singapore Air Force | Expanded Kill Chain Analysis of Manned- Unmanned Teaming for Future Strike Operations | 2014- 09 | http://hdl.handle.net/10945/43944 |
| Chee Siong Ong, Singapore Defence Science and Technology Agency | Logistics Supply of the Distributed Air Wing | 2014- 09 | http://hdl.handle.net/10945/43969 |
| LT Nicole Ramos, USN | Assessment of Vision-Based Target Detection and Classification Solutions Using an Indoor Aerial Robot | 2014- 09 | http://hdl.handle.net/10945/43984 |
| JooEon Shim | Optimal Estimation of Glider's Underwater Trajectory with Depth- dependent Correction using the Regional Navy Coastal Ocean Model with Application to ASW | 2014- 09 | http://hdl.handle.net/10945/44002 |

| | Polationship botwaan the conic laws | | |
|---|---|-------------|-----------------------------------|
| LT Vance Villarreal, USN | Relationship between the sonic layer depth and mixed layer depth identified from underwater glider with application to ASW | 2014- 09 | |
| LT Blake Wanier, USN |] A Modular Simulation Framework for Assessing Swarm Search Models | 2014- 09 | |
| Systems Engineering Analysis Cross-Campus Study (SEA 20B) | The distributed air wing | FY14 | http://hdl.handle.net/10945/42717 |
| LT Timothy L. Bell, USN | Sea-Shore interface robotic design | FY14 | http://hdl.handle.net/10945/42580 |
| LCDR Anthony A. Bumatay, USN; LT Grant Graeber, USN | Achieving information superiority using hastily formed networks and emerging technologies for the Royal Thai Armed Forces counterinsurgency operations in Southern Thailand | FY14 | http://hdl.handle.net/10945/41353 |
| CWO4 Carlos S. Cabello, USA | Droning on: American strategic myopia toward unmanned aerial systems | FY14 | http://hdl.handle.net/10945/38890 |
| ENS Taylor K. Calibo, USN | Obstacle detection and avoidance on a mobile robotic platform using active depth sensing | FY14 | http://hdl.handle.net/10945/42591 |
| LT Nahum Camacho, Mexican Navy | Improving operational effectiveness of Tactical Long Endurance Unmanned Aerial Systems (TALEUAS) by utilizing solar power | FY14 | http://hdl.handle.net/10945/42593 |
| Capt Seamus B. Carey, USMC | Increasing the endurance and payload capacity of unmanned aerial vehicles with thin-film photovoltaics | FY14 | http://hdl.handle.net/10945/42594 |
| LCDR James M. Cena, USN | Power transfer efficiency of mutually coupled coils in an aluminum AUV hull | FY14 | http://hdl.handle.net/10945/38895 |
| LCDR David W. Damron, USN | Tropical cyclone reconnaissance with the Global Hawk: operational thresholds and characteristics of convective systems over the tropical Western North Pacific | FY14 | http://hdl.handle.net/10945/38913 |
| LCDR Randall E. Everly, USN; LT David C. Limmer, USN | Cost-effectiveness analysis of aerial platforms and suitable communication payloads | FY14 | http://hdl.handle.net/10945/41375 |
| LT Jessica L. Fitzgerald, USN | Characterization parameters for a three degree of freedom mobile robot | FY14 | http://hdl.handle.net/10945/38929 |
| LT James R. Fritz, USN | Computer-aided detection of rapid, overt, airborne, reconnaissance data with the capability of removing oceanic noises | FY14 | http://hdl.handle.net/10945/38932 |
| Douglas Horner, NPS | A data-driven framework for rapid modeling of wireless communication channels (PhD dissertation) | FY14 | http://hdl.handle.net/10945/38947 |
| Maj Courtney David Jones, USMC | An analysis of the defense acquisition strategy for unmanned systems | FY14 | http://hdl.handle.net/10945/41400 |

| ENS Jacob T. Juriga, USN | Terrain aided navigation for REMUS autonomous underwater vehicle | FY14 | http://hdl.handle.net/10945/42654 |
|---|--|-------------|-----------------------------------|
| LT Timothy D. Kubisak, USN | Investigation of acoustic vector sensor data processing in the presence of highly variable bathymetry | FY14 | http://hdl.handle.net/10945/42664 |
| Donald R. Lowe, DON (Civ); Holly B. Story, DOA (Civ); Matthew B. Parsons, DOA (Civ) | U.S. Army Unmanned Aircraft Systems (UAS) - a historical perspective to identifying and understanding stakeholder relationships | FY14 | http://hdl.handle.net/10945/42678 |
| LCDR Sotirios Margonis, Hellenic Navy | Preliminary design of an autonomous underwater vehicle using multi-objective optimization | FY14 | http://hdl.handle.net/10945/41415 |
| Jeanie Moore, FEMA Office of External Affairs | Da Vinci's children take flight: unmanned aircraft systems in the homeland | FY14 | http://hdl.handle.net/10945/41420 |
| MAJ Scott A. Patton, USA | A comparison of tactical leader decision making between automated and live counterparts in a virtual environment | FY14 | http://hdl.handle.net/10945/42705 |
| LT Brett Robblee, USN | High Energy Laser Employment in Self Defense Tactics on Naval Platforms [RESTRICTED] | FY14 | restricted |
| First LT Volkan Sözen, Turkish Army | Optimal deployment of unmanned aerial vehicles for border surveillance | FY14 | http://hdl.handle.net/10945/42729 |
| LCDR Barcley W. Stamey, USN | Domestic aerial surveillance and homeland security: should Americans fear the eye in the sky? | FY14 | http://hdl.handle.net/10945/41446 |
| LT Sian E. Stimpert, USN | Lightening the load of a USMC Rifle Platoon through robotics integration | FY14 | http://hdl.handle.net/10945/42733 |
| Christopher Ironhill, Bryan Otis, Frederick Lancaster, Angel Perez, Diana Ly, and Nam Tran | Small Tactical Unmanned Aerial System (STUAS) Rapid Integration and fielding process (RAIN) | FY13 SEP | http://hdl.handle.net/10945/37705 |
| Junwei Choon, Singapore Technologies Aerospace | Development and validation of a controlled virtual environment for guidance, navigation and control of quadrotor UAV | FY13 SEP | http://hdl.handle.net/10945/37600 |
| Judson J. Dengler, U.S. Secret Service | An examination of the collateral psychological and political damage of drone warfare in the FATA region of Pakistan | FY13 SEP | http://hdl.handle.net/10945/37611 |
| LCDR Georgios Dimitriou, Hellenic Navy | Integrating Unmanned Aerial Vehicles into surveillance systems in complex maritime environments | FY13 SEP | http://hdl.handle.net/10945/37613 |
| LT John P. Harrop, USN | Improving the Army's joint platform allocation tool (JPAT) | FY13 SEP | http://hdl.handle.net/10945/37635 |
| Captain Joel M. Justice, Los Angeles Police Department | Active shooters: is law enforcement ready for a Mumbai style attack? | FY13 SEP | http://hdl.handle.net/10945/37645 |

| Captain Zhifeng Lim, Singapore Armed Forces | The rise of robots and the implications for military organizations | FY13 SEP | http://hdl.handle.net/10945/37662 |
|--|--|-------------|-----------------------------------|
| Lieutanant Junior Grade Yavuz Sagir, Turkish Navy | Dynamic bandwidth provisioning using Markov chain based on RSVP | FY13 SEP | http://hdl.handle.net/10945/37708 |
| Mariela I. Santiago, NUWC Newport | Systems engineering and project management for product development: optimizing their working interfaces | FY13 SEP | http://hdl.handle.net/10945/37709 |
| LCDR Zachariah H. Stiles, USN | Dynamic towed array models and state estimation for underwater target tracking | FY13 | http://hdl.handle.net/10945/37725 |
| LT Andrew T. Streenan, USN | Diver relative UUV navigation for joint human-robot operations | FY13 | http://hdl.handle.net/10945/37726 |
| Harn Chin Teo, ST Aerospace Ltd. | Closing the gap between research and field applications for multi-UAV cooperative missions | FY13 SEP | http://hdl.handle.net/10945/37730 |
| MAJ James C. Teters,II, USA | Enhancing entity level knowledge representation and environmental sensing in COMBATXXI using unmanned aircraft systems | FY13 SEP | http://hdl.handle.net/10945/37732 |
| LT Joshua D. Weiss, USN | Real-time dynamic model learning and adaptation for underwater vehicles | FY13 SEP | http://hdl.handle.net/10945/37741 |
| Systems Engineering Analysis Cross-Campus Study (SEA 19A) | 2024 Unmanned undersea warfare concept | FY13 | http://hdl.handle.net/10945/34733 |
| LT Timothy M. Beach, USN | Mobility modeling and estimation for delay tolerant unmanned ground vehicle networks | FY13 | http://hdl.handle.net/10945/34624 |
| First Lieutenant Begum Y. Ozcan, Turkish Air Force | Effectiveness of Unmanned Aerial Vehicles in helping secure a border characterized by rough terrain and active terrorists | FY13 | http://hdl.handle.net/10945/34717 |
| Boon Heng Chua, Defence Science and Technology Agency, Singapore | Integration Of Multiple Unmanned Systems In An Urban Search And Rescue Environment | FY13 | http://hdl.handle.net/10945/32805 |
| LT Mary Doty | Analysis of Ocean Variability in the South China Sea for Naval Operations | FY13 | |
| LT James Fritz | Computer Aided Mine Detection Algorithm for Tactical Unmanned Aerial Vehicle (TUAV) | FY13 | |
| Captain Uwe Gaertner, German Army | UAV swarm tactics: an agent-based simulation and Markov process analysis | FY13 | http://hdl.handle.net/10945/34665 |
| Capt Christopher R. Gromadski, USMC | Extending the endurance of small unmanned aerial vehicles using advanced flexible solar cells | FY13 | http://hdl.handle.net/10945/27836 |
| LT Andrew Hendricksen, USN | The Optimal Employment and Defense of a Deep Seaweb Acoustic Network for Submarine Communications at Speed And Depth using a Defender-Attacker- | FY13 | - |

| | Defender Model | | |
|---|---|------|--|
| | | | |
| LT Kyungnho Kim, USN | Integrating Coordinated Path Following Algorithms To Mitigate The Loss Of Communication Among Multiple UAVs | FY13 | http://hdl.handle.net/10945/32848 |
| LCDR Paul Kutia | Intelligence fused Oceanography for ASW using Unmanned Underwater Vehicles (UUV)[SECRET] | FY13 | - |
| LCDR Andrew R. Lucas, USN (thesis award winner) | Digital Semaphore: technical feasibility of QR code optical signaling for fleet communications | FY13 | http://hdl.handle.net/10945/34699 |
| LCDR Eric L. McMullen, USN and MAJ Brian Shane Grass, U.S. Army | Effects Of UAV Supervisory Control On F- 18 Formation Flight Performance In A Simulator Environment | FY13 | http://hdl.handle.net/10945/32870 |
| LT Thai Phung | Analysis of Bioluminescence and Optical Variability in the Arabian Gulf and Gulf of Oman for Naval Operations[Restricted] | FY13 | - |
| LT Stephen P. Richter, USN (thesis award winner) | Digital semaphore: tactical implications of QR code optical signaling for fleet communications | FY13 | http://hdl.handle.net/10945/34727 |
| LT Marta Savage, USN | Design and hardware-in-the-loop implementation of optimal canonical maneuvers for an autonomous planetary aerial vehicle | FY13 | http://hdl.handle.net/10945/27898 |
| Robert N. Severinghaus | Improving UXS network availability with asymmetric polarized mimo | FY13 | http://calhoun.nps.edu/public/hand le/10945/34740 |
| LT Eric Shuey, USN and LT Mika Shuey, USN | Modeling and simulation for a surf zone robot | FY13 | http://hdl.handle.net/10945/27905 |
| LT Timothy S. Stevens, USN | Analysis of Nondeterministic Search Patterns for Minimization of UAV Counter-Targeting | FY13 | http://hdl.handle.net/10945/32905 |
| Maj Matthew T. Taranto, USAF | A human factors analysis of USAF remotely piloted aircraft mishaps | FY13 | http://hdl.handle.net/10945/34751 |
| LT James B. Zorn, USCG | A systems engineering analysis of unmanned maritime systems for U.S. Coast Guard missions | FY13 | http://hdl.handle.net/10945/34766 |
| Systems Engineering Analysis Cross-Campus Study (SEA 18B) | Tailorable Remote Unmanned Combat Craft (TRUCC) | FY12 | http://hdl.handle.net/10945/15434 |
| LT Brian Acton, USN and LT David Taylor, USN | Autonomous Dirigible Airships: a Comparative Analysis and Operational Efficiency Evaluation for Logistical Use in Complex Environments | FY12 | http://hdl.handle.net/10945/7299 |

| Maj Jerrod Adams, U.S. Army | An Interpolation Approach to Optimal Trajectory Planning for Helicopter Unmanned Aerial Vehicles | FY12 | http://hdl.handle.net/10945/7300 |
|---|--|------|---|
| Maj Mejdi Ben Ardhaoui, Tunisian Army | Implementation of Autonomous Navigation And Mapping Using a Laser Line Scanner on a Tactical Unmanned Vehicle | FY12 | http://hdl.handle.net/10945/10728 |
| Mr William P. Barker | An Analysis of Undersea Glider Architectures and an Assessment of Undersea Glider Integration into Undersea Applications | FY12 | http://hdl.handle.net/10945/17320 |
| ENS Joseph Beach, USN | Integration of an Acoustic Modem onto a Wave Glider Unmanned Surface Vehicle | FY12 | http://hdl.handle.net/10945/7308 |
| LCDR Chung Wei Chan, Republic of Singaporean Navy | Investigation of Propagation in Foliage Using Simulation Techniques | FY12 | http://hdl.handle.net/10945/10577 |
| LT Kristie M. Colpo, USN | Joint Sensing/Sampling Optimization for Surface Drifting Mine Detection with High-Resolution Drift Model | FY12 | http://hdl.handle.net/10945/17345 |
| Capt Martin Conrad, USAF | Does China Need A "String Of Pearls"? | FY12 | http://hdl.handle.net/10945/17346 |
| Maj Bart Darnell, USAF | Unmanned Aircraft Systems: A Logical Choice For Homeland Security Support | FY12 | - |
| Mr. Michael Day | Multi-Agent Task Negotiation Among UAVs | FY12 | - |
| Maj Thomas F. Dono, USMC | Optimized Landing of Autonomous Unmanned Aerial Vehicle Swarms | FY12 | http://calhoun.nps.edu/public/bitst ream/handle/10945/7331/?sequen ce=1 |
| LT Thomas Futch, USN | An Analysis of the Manpower Impact of Unmanned Aerial Vehicles (UAV's) on Subsurface Platforms | FY12 | http://hdl.handle.net/10945/6795 |
| LCdr Pascal Gagnon, Canada | Clock Synchronization through Time- Variant Underwater Acoustic Channels | FY12 | http://hdl.handle.net/10945/17368 |
| Capt Riadh Hajri, Tunisian Air Force | UAV to UAV Target Detection And Pose Estimation | FY12 | http://hdl.handle.net/10945/7351 |
| CDR Kevin L. Heiss, USN | A Cost-Benefit Analysis Of Fire Scout Vertical Takeoff And Landing Tactical, Unmanned, Aerial Vehicle (VTUAV) Operator Alternatives | FY12 | http://hdl.handle.net/10945/6806 |
| CDR Chas Hewgley, USN | Autonomous Parafoils: Toward a Moving Target Capability | FY12 | - |
| Captain Chung-Huan Huang, Taiwan (Republic of China) Army | Design and Development of Wireless Power Transmission for Unmanned Air Vehicles | FY12 | http://hdl.handle.net/10945/17380 |
| LT Michael A. Hurban, USN | Adaptive Speed Controller for the Seafox Autonomous Surface Vessel | FY12 | http://hdl.handle.net/10945/6811 |
| LT Levi C. Jones, USN | Coordination and Control for Multi- Quadrotor UAV Missions | FY12 | http://hdl.handle.net/10945/6816 |

| | | | 1 |
|--|--|------|-----------------------------------|
| LT Serkan Kilitci, Turkish Navy and LT Muzaffer Buyruk, Turkish Army | An Analysis of the Best-Available, Unmanned Ground Vehicle in the Current Market, with Respect to the Requirements of the Turkish Ministry of National Defense | FY12 | http://hdl.handle.net/10945/10633 |
| ENS Rebecca King, USN | Underwater Acoustic Network As A Deployable Positioning System | FY12 | http://hdl.handle.net/10945/7368 |
| Ramesh Kolar | Business Case Analysis of Medium Altitude Global ISR Communications (MAGIC) UAV System | FY12 | http://hdl.handle.net/10945/7369 |
| LT Colin G. Larkins, USN | The EP-3E vs. the BAMS UAS An Operating and Support Cost Comparison | FY12 | http://hdl.handle.net/10945/17395 |
| ENS Michael Martin, USN | Global Versus Reactive Navigation for Joint UAV-UGV Missions in a Cluttered Environment | FY12 | http://hdl.handle.net/10945/7380 |
| Maj Jose D. Menjivar, USMC | Bridging Operational and Strategic Communication Architectures Integrating Small Unmanned Aircraft Systems as Airborne Tactical Communication Vertical Nodes | FY12 | http://hdl.handle.net/10945/17418 |
| ENS Christopher Medford, USN | The Aerodynamics of a Maneuvering UCAV 1303 Aircraft Model and its Control through Leading Edge Curvature Change | FY12 | http://hdl.handle.net/10945/17417 |
| Maj Les Payton, USMC | Future of Marine Unmanned Aircraft Systems (UAS) in Support of a Marine Expeditionary Unit (MEU) | FY12 | http://hdl.handle.net/10945/10667 |
| LT Timothy Rochholz | Wave-Powered Unmanned Surface Vehicle as a Station-Keeping Gateway Node for Undersea Distributed Networks | FY12 | http://hdl.handle.net/10945/17448 |
| LT Darren J. Rogers, USN | GSM Network Employment on a Man- Portable UAS | FY12 | http://hdl.handle.net/10945/17449 |
| LT Dylan Ross, USN and LT Jimmy Harmon, USN | New Navy Fighting Machine in the South China Sea | FY12 | http://hdl.handle.net/10945/7408 |
| LT Jason Staley, USN and Capt Troy Peterson, USMC | Business Case Analysis of Cargo Unmanned Aircraft System (UAS) Capability in Support of Forward Deployed Logistics in Operation Enduring Freedom (OEF) | FY12 | - |
| Mr Hui Fang Evelyn Tan, Republic of Singapore | Application Of An Entropic Approach To Assessing Systems Integration | FY12 | http://hdl.handle.net/10945/6877 |
| Systems Engineering Analysis Cross-Campus Study (SEA 17B) | Advanced Undersea Warfare Systems | FY11 | http://hdl.handle.net/10945/6959 |
| Capt Dino Cooper, USMC | The Dispersal Of Taggant Agents With Unmanned Aircraft Systems (UAS) In Support Of Tagging, Tracking, Locating, And Identification (TTLI) Operations | FY11 | - |

| LTJG Spyridon Dessalermos, Hellenic Navy (Greece) | Adaptive Reception for Underwater Communications | FY11 | http://hdl.handle.net/10945/10756 |
|--|--|------|-----------------------------------|
| LT Steve Halle, USN and LT Jason Hickle, USN | The Design and Implementation of a Semi-Autonomous Surf-Zone Robot Using Advanced Sensors and a Common Robot Operating System | FY11 | http://hdl.handle.net/10945/5690 |
| Major Christian Klaus, German Army | Probabilistic Search on Optimized Graph Topologies | FY11 | http://hdl.handle.net/10945/5569 |
| LT Matthew Larkin, USN | Brave New Warfare Autonomy in Lethal UAVS | FY11 | http://hdl.handle.net/10945/5781 |
| Lieutenant Mauricio M. Munoz, Chilean Navy | Agent-based simulation and analysis of a defensive UAV swarm against an enemy UAV swarm | FY11 | http://hdl.handle.net/10945/5700 |
| LT Matthew Pawlenko, USN | Derivation of River Bathymetry Using Imagery from Unmanned Aerial Vehicles (UAV) | FY11 | http://hdl.handle.net/10945/5466 |
| Maj Derek Snyder, USMC | Design Requirements For Weaponizing Man-portable UAS In Support Of Counter- sniper Operations | FY11 | http://hdl.handle.net/10945/5543 |
| LT Lance J Watkins, USN | Self-propelled semi-submersibles the next great threat to regional security and stability | FY11 | http://hdl.handle.net/10945/5629 |

THIS PAGE INTENTIONALLY LEFT BLANK

APPENDIX C: COMMUNITY

This is a representative listing of the CRUSER community of interest at the conclusion of FY18. It is not meant to be inclusive, but is included to demonstrate depth and breadth of interest.

ACADEMIA

AFIT **AFJROTC Jefferson High School** Alaska Center for Unmanned Aircraft Systems Integration American University **APLUW** Applied Physics Laboratory Argonne National Laboratory Arizona State University **ASU** Research Enterprise Australian Defence Force Academy AUV IIT Bombay **AUVSI** Foundation **Bangalore Robotics** Ben-Gurion University of the Negev Berkley Cal Poly SLO California Polytechnic Institute CalWestern School of Law Carl Hayden High School Carnegie Mellon University Carnegie Mellon University Silicon Valley Case Western Reserve University Chapman University Chosun University Clover Park Technical College Community College of Baltimore County Cornell University AUV **CSULB** CSULB HHS **CSUMB** C-UAS, BYU Daniel H. Wagner Associates Delft University of Technology **Doolittle Institute** Drexel University Eindhoven University of Technology Embry-Riddle Aeronautical University Embry-Riddle Aeronautical University/ERASU-Prescott FEUP FIRST Florida Atlantic University

Florida Institute for Human Machine Cognition Francis Parker School French Air Force Academy Georgia Institute of Technology Georgia Tech Georgia Tech Research Institute (GTRI) Howard University Imperial College London Indian Institute of Science Education and Research-Thiruvananthapuram (IISER-TVM) Indiana State University Institute for Religion and Peace The Johns Hopkins University (JHU) Johns Hopkins University Applied Physics Laboratory (JHU/APL) Kasetart University Kennesaw State University KSU Ludwig Maximilians Universitat Macquarie University Marine Advanced Technology Education (MATE) Center Maritime State University MATE **MBARI** McGill University Memorial University of Newfoundland Mississippi State University MIT MIT Lincoln Lab MIT Lincoln Laboratory Monterey Bay Aquarium Research Institute MPC National Defense University Naval Air Warfare Center Naval War College Naval War College - Monterey Netherlands Defence Academy New Mexico State University NJIT NMSU North Carolina Central University North Carolina State University North Carolina State University (ITRE)

Northwestern Polytechmical University Northwestern University Notre Dame NWC **OK** State Old Dominion University Oregon Institute of Technology **OSU** RPI Saint Louis University San Diego Christian College San Diego City College San Diego State University SDSU/Faster Logic LLC South Dakota School of Mines and Technology Southwestern College SSAG St. Georges College St. Mary's University Stanford SUNY Stony Brook Teach for America Technion Texas A&M The Ohio State University Thomas Jefferson High School for Science and Technology TUM U South Florida U.S. Naval Academy UC Davis UCF UCSF UF UFL UK National Oceanography Centre University of Alaska at Fairbanks University of Hawaii University of Michigan University of Oklahoma University of Texas University of Colorado - Boulder University at Buffalo University of Alabama University of Alabama in Huntsville University of Alaska, Fairbanks University of California Davis University of California, Merced University of Dayton Research Institute University of Hawaii University of Hawaii - Hilo University of Idaho University of Iowa University of Maryland

University of Maryland UAS Test Site University of Memphis University of Michigan University of Minnesota - Twin Cities University of New Brunswick University of North Carolina at Charlotte University of North Dakota University of Notre Dame University of Pittsburgh University of Quebec in Montreal University of South Carolina University of South Florida University of Texas at Arlington Research Institute (UTARI) UNLV Unmanned Vehicle University US CG Aux USC USF **USRA** Utah State Utah State Space Dynamics Lab UXV University Virginia Tech Virginia Tech Wake Forest University Wichita State University

Federal/State/Local Government:

Aeronautics Research Directorate Allied Command Transformation Ames Research Center AOPA (Aircraft Owners & Pilots Association Argonne National Laboratory Arl Co Police Armstrong Flight Research Center ASDRE Bakersfield PD **Banning Police Dept Business** Oregon CA Dept of Insurance Fraud CA DMV Investigations Cal EMA Calexico PD California Highway Patrol CBP CENTCOM Chicago Fire Dept CHP City of Las Vegas Cleveland VA Medical Center CRIC CS OEM CSU Fresno Police

DARPA DARPA, Tactical Technology Office Defense Innovation Unit Experimental (DIUx) Defense Threat Reduction Agency Department of Defense Department of Energy Department of Homeland Security Department of Justice Department of the Interior Department of State DHS ICE DHS/OPS DIA DMDC DoD OIG DOT Office of Inspector General DTRA DTRA/CXTT Eldorado Sheriff's Office Elk Grove PD FAA FAA Western-Pacific Region FEMA Fremont PD Fremont PD/CPOA **GEMA/HS GWU** HHS/ASPR Houston police HQ NORAD/USNORTHCOM HO TRADOC Irvine PD Jet Propulsion Laboratory (JPL) Joint Staff J-7 Joint Staff Remote/Unmanned Futures Office Joint Vulnerability Assess. Branch Lawrence Livermore National Lab Lawrence Livermore National Laboratory Lawrence Livermore Natl Lab Marin County Sheriff Marin Sheriff Marina Police Department (Retired) Monterey Co Sheriff Mountain View PD NASA NASA JPL NASA Langley Research Center NASA, CSUMB NASA, Langley Research Center NASA-JSC National Air Security Ops Center -CBP National Defense University National Geospatial-Intelligence Agency National Guard Bureau

National Transportation Safety Board NAVAIR NAVAIR (NAWCWD) Naval Oceanographic Office Naval Research Laboratory Naval Sea Systems Command Naval Undersea Warfare Center Division Newport NAVSEA NAWCAD NDU NEMA Nevada Institute for Autonomous Systems NOAA NPS NSF NSWCDD Oakland PD Office of Naval Intelligence Office of Sen. Kirsten E. Gillibrand Office of the Under Secretary Oklahoma City Chamber of Commerce Oklahoma Dept of Commerce OSD OSD ASDR&E OUSD AT&L PACOM **PMW 750 Riverside DA Office RS** Special Research Access Sac Co Sheriff Sacramento Office of Emergency Services Sacramento Sheriff San Diego Sheriffs Department San Leadro PD San Mateo County Sheriff San Mateo PD Sandia National Laboratories SOCOM Space and Naval Warfare System Center Pacific SPAWAR Systems Center SPAWARSYSCEN PACIFIC State of Alaska (DOT) State of Oklahoma State of Utah - Econ. Dev. Office State of Utah, Governor's Office Economic Development State of Wisconsin STRATCOM Swedish Defence Material Administration The Aerospace Corporation Transport Canada Safety & Security Tulsa Chamber of Commerce Tustin PD U.S. House of Representatives

United States Secret Service Unmanned Underwater Systems Section - part of the Directorate of Naval Combat Systems US Army ERDC US Central Command US Coast Guard **US** Marshall US Navy **US Secret Service** US Special Operations Command USCG R, D, T&E USCG Research & Development Center **USEUCOM** USG **USNA USSOCOM USTRANSCOM** Ventura Co Sheriff Ventura County Economic Development Association Ventura PD Visalia PD WI DOJ/DCI

INDUSTRY:

Ocog Inc. 2D3 Sensing 3D PARS - 3D Printing and Advanced Robotic Solutions **5D** Robotics AAI Corporation Aatonomy Abbott Laboratories Abbott Technologies ACADEMI Accelerated Developmnet & Support Corp Access Spectrum ACE Applied Composites Engineering ACSEAC ACSS (Aviation Comm & Surv. Systems), LLC ACT Action Drone ADS Inc **ADSYS** Controls Inc Advanced Acoustic Concepts Advatech Pacific **AEgis Technologies** Aerial MOB Aero UAVs AeroEd Group Aerofex Corp Aerojet Aerojet Rocketdyne Aeroprobe Corp Aeropsace Corp

Aerospace & Def INO Parts Aerospace Analytics Aerospace/defense Professional AeroTargets International Aerovel Corp AeroVironment Affordable Engineering Services Ag Eagle AgriSource Data, LLC Air Concepts Group Air Law Institute Air View Consulting Airbus Defence & Space Airspeed Equity Airware ALAKAI Defense Systems Alaris Pro Alaska Aerospace Corporation ALCO Alex Alidade Incorporated Allen Aerial Imagery, LLC Alpha Research & Technology, Inc. Alta Devices Altair Altron Amazon American Autoclave Co AMP Research AMP Research, Inc. ANT Global Services Antonelli Law AOC Inc Applied Mathematics, Inc. Applied Physical Sciences Corp. Applied Research Associates Inc. Applied Research in Acoustics Applied Visions, Inc. APS Arcturus UAV ArcXeon LLVC Argon Corp Argon ST Arkwin Industries, INC. Arnouse Digitall Device Corp Artemis ASC (Advanced Scientific Concepts Inc.) ASI (Aeronautical Systems Inc.) Assured Information Security ASV Global ASYLON ATC ATI Atlas NA

Atlas North America auratech Aurora Flight Sciences Ausley Autonomous Avionics Autonomous Surface Vehicles, LLC AUVAC **AUVSI** Foundation AUVSI, Squidworks Inc. Avian Avineon, Inc. Axiom Electronics B. E. Meyers **Bacolini** Enterprises **BAE Systems** BAH Ball Aerospace & Technologies Corp **Barry Aviation Battelle Memorial Institute** Battlespace, Inc. **BBN** Technologies BecTech Bell **Bell Helicopter BGI** Innovative Solutions Bicallis, LLC Black & Veatch Special Projects Corp. **Blackbird Technologies** Blackhawk Emergency Management Group **Bluefin Robotics Corporation BMNT** Partners Boeing **Boomerang Carnets** Booz Allen Hamilton (BAH) Borchert Consulting and Research AG **Boston Engineering Corporation** Bot Factory Bramer Group LLC Broadcast Microwave Services Inc. (BMS) BRPH C2i Advanced Technologies C4ISR & Networks Cabrillo Technologies CACI Calvert Systems Camber Corp CANA LLC CAPCO LLC. CapSyn (Capital Synergy Partners, INC.) **Carnegie Robotics CAST** Navigation CDI Marine Center for a New American Security Center for Applied Space Technology

Compsim LLC Comtech Solutions LLC Concepts to Capabilities Consulting LLC Conoco Phillips Company **Consolidated Aircraft Coatings** Copeasctic Engineering **Copperhead Aeronautics** Cornerstone Research Group Cornet Technology Corning Corsair Engineering CPI CRYSTAL Crystal Rugged CS Draper Laboratory CSA CSCI - Computer Systems Center Inc. **CS-Solutions Inc** CT Johnson & Associates CTJA, LLC CUBIC Cutting Edge Cyber Security & IS IAC (CSIAC) CyberWorx **CYPHY Works** DARPA David Ricker Group, LLC **Dayton Development Coalition** DDL Omni **Defense Materiel Organisation** Del Rey Sys. & Technology Inc. **Delta** Airlines Delta Digital Video Desert Star Systems

Centerstate Corp for Economic Opportunity

CENTRA Technology, Inc.

Channel Technologies Group

Centum Solutions SL

Charles River Analytics

CHHOKAR Law Group

CLK Executive Decisions

CNA Analysis & Solutions

Colby Systems Corporation

CODAN Radio Communication

Coherent Technical Services, Inc.

Citadel Defense Co

CETUS

CHI Systems

Clarity Aero

Clear-Com

Cobham plc

Comphydro Inc

Chinwag Cisco **Digital Adopxion Digital Harvest** Diversified Business Resources, Inc. **DOER** Marine **Domo Tactical Communications Dove Innovations DPI UAV Systems DPSS** Lasers DRA - Defense Research Associates **Dragonfly Pictures** Draper Lab Draper Laboratory Draper Labs DREAMHAMMER D-RisO Drone AmericA Drone Aviation Corp Drone Logger Enterprise Drone Services Hawaii DroneBase Dronecode DST Control Duetto Group Duzuki E.J Krause & Associates EC Wise ECC Ehang Elbit Systems Electric Boat Electricore Electro Rent Corporation Elementary Institute of Science Ellevision. LLC Elmo Motion Control **ELTA Systems Emerging Technology Ventures** Emerging Technology Ventures Inc. Engility, Inc Engineered Packaging Solutions EnrGies EOC, Inc ERA Ernest Brown & Company Ervin Hill Strategy ESRI Esterline Control & Communication Systems Eutelsat America Exelis Inc FABLAB San Diego Fairchild Imaging Farm Space Systems LLC Fathom5 Faun Trackway USA

FEI-Zyfer Inc. Felix Associates Five Rivers Services, LLC Flagship Government Relations FLIR Systems, Inc. FLYCAM UAV **FLYMOTION Unmanned Systems** FORSCOM Aviation Directorate FreeFlight Robotics Freewave Frost & Sullivan Fugro Geoservices Inc. G2 Solutions Galois Inc. GC Ventures **GDEB GDIT GE** Aviation **General Atomics** General Atomics Aeronautical Systems General Atomics Aerospace General Atomics ASI General Dynamics General Dynamics Advanced Information Systems General Dynamics Electric Boat General Dynamics Information Technology General Dynamics Land Systems General Dynamics Mission Systems Geospatial San Diego Germane Systems **GET Engineering** Getac Gibbs & Cox, Inc. **GL INTERNATIONAL Global Technical Systems** Go Pro Cases Gold Star Strategies LLC Goleta Star LLC **GPH** Consulting Greensea Systems Griffon Aerospace Gryphon **Gryphon Sensors** GTRI GTS Consulting H.O. JOHNSON RESULTANTS LLC Hangar Technology Harris Corp Harwin Hawaii Hazards Awareness & Resillience Program Herley Lancaster Hoggan Lovells LLP Honeywell Hoyt Scientific

Hughes Hydr0 Source LLC Hydroid Hyperspectral Imaging Foundation IBM IC2S (Innovative C2 Solutions, LLC.) IDA iDEA Hub **IEEE ICSC2015** IHI Ike GPS Image Insight Implevation, LLC IMSAR Information Processing Systems, Inc inmarsat Innoflight Innovation Center Innovative Computing & Technology Solutions, LLC **INOVA** Drone Inside Umanned Systems Insights **INSITU** INSITU/AUVSI Institute for Homeland Security Solutions Intelligent Automation InterContinental IP Intergraph Gov Solutions Iris Technology iRobot **ITA** International IXI Technology JACOBS Janes Capital Partners Japan Aerospace Exploration Agency JHNA JHU/APL **JOBY** Aviation John Deere Joint Venture Monterey Bay Jove Sciences, Inc. Juniper Unmanned Just Innovation Kairos Autonomi Kaman Ken Cast Knife Edge **KNOWMADICS** Kongsberg Kraken Kratos Defense KSI L-3 Advanced Programs, Inc. L-3 COM Communications

L-3 Precision Engagement Systems L3 Technologies Laser Shot Latitude Engineering LDRA Leidos Lenny Schway Photography Leucadia Group Lightspeed Innovations Liquid Robotics, Inc. Llamrai Enterprices Lockheed Martin Aeronautics Company Lockheed Martin Co (LMCO) Lockheed Martin Missile & Fire Cont Lvnntech Magnet Systems Makani Power Inc MAMM 3D Inc. Management Sciences, Inc MAPC (Maritime Applied Physics Corp) Maplebird Marine Acoustics Maritime Applied Physics Corporation Maritime Tactical Systems, Inc. (MARTAC) MARTAC Martin UAV MASI LLC Materials Systems Inc. Materion MBARI MBDA Incorporated McBee Strategic McCauley Prop Systems McClean Group McKenna, Long & Aldridge LLP MCR Critical Thinking Solutions Delivered **MDA** Corporation Medweb Merlin Global Services Mesa Technologies Metal Technology Metcon Aerospace & Defense METI Metis Design Metron Inc Micro USA Inc. Microflown MicroPilot Microwave Monolithics Inc Middle Canyon LLC MilSource Miltrans MINCO MISTIC INC

Mistral Inc Mistral Inc. MIT Enterprise Forum San Diego MITRE Corporation Modern Technology Solutions, Inc. Modus Robotics Momentum Aviation Group Monterey County Herald Moog Inc Morrison & Foerster LLP MosaicMill MSI MTSI - Modern Technology Solutions Multi GP Murtech Inc. Nano Motion Nanomotion NASC National Science & Technology Corp. Nautilus Naval Nuclear Laboratory NAVPRO Consulting LLC Near Earth Autonomy Newport News Shipbuilding Next Vision Stabilized Systems Ltd Nexutech. Ltd Neya Systems LLC NGC NGC (Northrop Grumman Corp) NiederTron Robotics NLD MOD (Defence Materiel Org NNS North Dakota Counter UAS Task Force Northeastern University Northrop Grumman Corporation NorthWind NUAIR NUAIR Alliance NUAIR Alliance Griffiss NV Drones **NWB Environmental Services NWUAV** Propulsion Systems Ocean Aero Ocean Lab Oceaneering ODNI **Odyssey Marine Exploration** Ontario Drive & Gear **Optical Cable Corp** Oracle Orca Maritime, Inc. **Orion Systems** ORYX Oxford Technical Solutions

P11 Consulting Pacific Science & Engineering Group Pacific Synergistics International (PSI) Pappas Associates Paragrine Systems Parsons Paso Robles Ford Patuxent Partnership Paul R Curry & Associates Pentagon Performance Inc. People Tec perceptronics solutions Perkins COIE Persistent Systems PG&E Phantom Works Phyiscal Optics Corp Physical Sciences Inc Polarity Pole Zero Power Correction Systems Inc. Power Ten Incorporated Power4Flight LLC Praxis Aerospace Concepts International Precision **PREMANCO** Ventures Prescient Edge Princeton Lightwave Prioria Embedded Intelligence Profit Quadro Progeny Systems Promia Propellerheads **Provectus Robotics Solutions** Prox Dynamics Proxdynamics q-bot OinetiO **OinetiO North America** QUALCOMM Quanterion Solutions Incorporated Quartus Engineering Quatro Composites **R** Lynch Enterprises **R-3** Consulting R3SSG Rajant Ramona Research Rand Corporation Randiance Technologies Range Networks Rapid Imaging Software Raytheon Company Raytheon Company - Integrated Defense Systems **RD** Integration Red Hat **Red Six Solutions RedHat** Redwall Technologies Reference Technologies INC. Renaissance Strategic Advisors **RFMD Riegl USA** Riptide Autonomous Solutions **RIX** Industries **RJ** Vincent Enterprises LLC **RMV** Technology Group ROBOTEAM **Robotic Research Robotics Research Rockwell Collins** Rocky Mountain Institute Rogue Tactical LLC **Rolls Royce** Roving Blue **RT** Logic RTI **Rumpf Associates International** Rupprecht Law SAAB Saab Defense and Security USA SAGE Solutions Group, Inc Sagetech Corporation SAIC Saildrone SAP SAP National Security Systems SAP NS2 **SAS** Institute Scale Matrix SCD.USA Infrared Scientific Applications & Research Associates Scientific Research Corporation Scorpion Aerosystems Inc Scoutsman Unmanned LLC Sculpture Networks Inc. SDG&E Sea Phantom International, Inc SeaBotix Seamatica Aerospace Limited Seapower Magazine SebastianConran/associates SEKAI Selex Galileo Inc. Semantic Computing Foundation Sematica Aerospace Limited Senseta Inc Sensintel

sensonor Sensurion Aerospace Sentinel Robotic Solutions (SRS) **SES Govt Solutions** SETA / ONR Seven Seals Shadow (Robot Company) Shephard Media Shoof Technologies Show Pro Industries Sierra Nevada Corp SIFT (Smart Info Flow Technologies) Signal Signal Monitoring Solutions Signature Science Sikorsky Sikorsky Aircraft Silent Falcon UAS Technologies Silvus Technologies Simlat SIRAB Technologies Inc SKYEYE GLOBAL Skylift Global SNC - Sierra Nevada Corporation SNC (Sierra Nevada Corporation) Soar Oregon Soar Technology Soar Technology, Inc. Society of Experimental Test Pilots Soliton Ocean Services, Inc. Sonalysts, Inc. Sonitus Technologies Space Micro Sparton Sparton Corporation Sparton Defense and Security Spatial and Spectral Research Spatial Integrated Systems Spectrabotics Spectrum Aeronautical, LLC Spinner Spiral Technology, Inc. SRC, Inc. SRI **SRI** International SSL ST Aerospace Stark Aerospace Inc. Steinbrecher & Span LLP STMicroelectronics Straight Up Imaging Strategic Analysis Enterprises Strategic Defense Solutions, LLC Stratom

Stryke Industries Sunhillo Performance Technologies Sutton James SwRI (Southwest Research Institute) **Sypris** Electronics Systems Planning & Analysis, Inc SYZYGYX Incorporated Tactical Air Support, Inc. Tarsier Technologies TaSM LLC TCG Tech Associates, LLC **Tech Incubation** Tech Source Technology Training Corporation TechSource **TECOM** Teledyne Teledyne - SeaBotix Teledyne Brown Engineering Teledyne RDI **Teledyne Technologies** Teledyne Webb Research **Telephonics** Corporation Teletronics TENTECH LLC Terrago **Tesla Foundation Group** Tethered Air **Textron Systems TFD** Europe Thales Australia and NZ Thales Defense & Security Inc. The Aerospace Corporation The Boeing Company The Clearing The Jackson Group The Maritime Alliance The MITRE Corporation The Pilot Group The Radar Revolution The Ranger Group The Spectrum Group Third Block Group **Tiger Tech Solutions Tiresias Technologies** TMT ~ spg Topcon **Torch Technologies** TorcRobotics Toyon **TP** Logic Trabus Trans Universal Energy, LLC

Transportation Power Inc. **Travelers United** Trimble Navigation Ltd **TRIMECH Solutions** Twin Oaks Computing UAS Colorado UAS Today **UASolutions Group** UASUSA **UAV** Factory UAV LLC. UAV Pro **UAV** Solutions **UAV** Vision **UAVNZ** Ultimate Satellite Solutions (UltiSat) Ultra Electronics - USSI UltraCell Ultra-EMS Ultravance Corp UMS3 Unexploded Ordnance Center of Excellence United Technologies Research Center Universal Display Corporation Unmanned Aero Services Unmanned Power LLC Unmanned Systems **Unmanned Systems Institute** Unmanned Systems Research & Consulting LLC Unmanned Vehicle Systems Consulting, LLC Unmanned World Wide US Nuclear Corp. UTC Aerospace Systems UTC Aerospace Systemsâ€[™] ISR Systems **UxSolutions**, Inc Valkyrie Systems Aerospace VCT (Vehicle Control Technologies Inc) Vector CSP Velocity Cubed Technologies Veridane ViaSat Video Ray LLC VideoBank Virtual Agility Vision Technologies Vital Alert VPG Inc VSTAR Systems Inc. Vulcan Wade Trim Wateridge Insurance Services WBT Innovation Marketplace WDL Systems Whitney, Bradley & Brown Inc. (WBB)

Williams Mullen Wind River WINTEC Wireless SEC Assoc Woolpert Wounded Eagle UAS Wyle Yamaha Motor Corp. USA Z Microsystems ZDSUS Zepher Zimmerman Consulting Group Ziska Unmanned Machines Associates Zivko Aeronautics Zodiac Aerospace Z-Senz Zugner LLC

International:

4TH Naval Warfare Flotilla ADD(Agency for Defence Development Be MoD British Consulate - General LA* **Business France** Canadian Forces Aerospace Warfare Centre Canadian Forces Maritime Warfare Centre C-Astral Defence Science & Technology Group Drone X Solution Dronomy FFI **FMV** Goleta Star LLC High Eye BV Higheye LIG Nex1, South Korea. Netzer Pixiel Simlat Swedish Naval Warfare Center Swedish Navy Warfare Center UCAL-JAP Systems LTD.

U.S. Air Force:

26th Special Tactics Squadron 412 th Test Wing 412th Test Wing 413th Flt Test Squadron 432 OG 432nd Operational Support Squadron 51st DOA 548th ISR Group 558 FTS 88th T&E Squadron

9th Intelligence Squadron AFIAA AFIT AFRL AFRL/RYAA Air Combat Command Air Education and Training Command Air Force Institute of Technology Air Force Research Laboratory (AFRL) Air National Guard COMPATRECONWING TWO HQ NORAD Joint Counter Low, Slow, Small UAS (JCLU) Joint Counter Low, Slow, Small Unmanned Aircraft Systems Joint Test JS J-7, Future Joint Force Development JS/JIOR JWAC MI Air National Guard NORAD - USNORTHCOM NPS PACOM RETIRED SOCOM The Joint Staff Twenty-Fifth Air Force US Strategic Command USAF **USAFA USSOUTHCOM USSTRATCOM** U.S. Army: 314 MI BN 526th Intel Squadron 79th IBCT AMC/RDECOM/AMRDEC ARL Army Research Lab Army Research Lap Army S&T Army Science Board Army Unmanned Aircraft Systems ATEC DLI DoD Unexploded Ordnance Center of Excellence FCOE Ft Lewis **I2WD TFE** Ist IO Command Maneuver Battle Lab Maneuver Center of Excellence Maneuver Center of Excellence, Maneuver Battle Lab

Mission Command Center of Excellene NATO Night Vision Lab NORAD-USNORTHCOM (UAS-AI) **Operations Research Department** PEO GCS - RSJPO RDECOM **Redstone** Arsenal Robotic Systems Joint Project Office **RSJPO** TACOM TRADOC **TRADOC** Analysis Center Unmanned Systems Team, MBL **US** Army US Army Aero Services Agency US Army Capabilities Integration Center US Army Research Laboratory **US Military Academy** USASOC USMA **USNORTHCOM** UXOCOE

U.S. Navy and Marine Corps:

1st Force Recon Co 1st Intel Br 3rd Marine Aircraft Wing 9th Comm Battalion, I MEF 9th Comm BN Accelerated Development & Support Corp Air Test & Evaluation Squadron 30 AOC/NWCCD Army Research Laboratory ASN(RDA) ASN-RDA Booz Allen Hamilton C3F Center for Naval Analyses CETO **CNA CNAP N809A - UAS Requirements** CNO Strategic Actions Group **CNRC** Region West COMCARSTRKGRU TWO **COMDESRON 31** Commander, Navy Region Northwest COMNAVSURFOR COMPACFLT COMPACFLT (N9) COMPATRECONWING ELEVEN COMPATRECONWING TWO (N7) COMPHIBRON EIGHT COMPHIBRON SIX, N1

COMSUBDEVRON FIVE COMSUBDEVRON TWELVE **COMSUBDEVRON-12** COMSUBDEVRON-5, DET UUV COMSUBPAC (Code N7C) COMSUBPAC / CTF 34 COMTACGRU ONE COMTHIRDFLEET COMTHIRDFLT COMUSNAVSOUTH Crane Division, Naval Surface Warfare Center Crane Naval Surface Warfare Center CRIC CRUSER CSDS-12 CSDS-5 CSG2 CTF70 **CVN 68** DARPA DASN DON/AA **DUSN** (Policy) Expeditionary Strike Group Three Explosive Ordnance Disposal Program Office (PMS 408) FAA Headquarters Fleet Readiness Center SouthWest Fleet Survey team **FNMOC** HELICOPTER SEA COMBAT WING PACIFIC HOMC HQMC Installations & Logistics HSC-3 **HSCWINGPAC** HSM Weapons School Pacific HSM-35 HSM-71 **HSM-78** I MEF Irregular Warfare Technology Office Joint Integrated Air & Missile Defense Organization (J8) Joint Integrated Air & Missle Defense Organization Joint Staff Remote/Unmanned Futures Office JUAS COE Littoral Combat Ship Anti-Submarine Warfare Mission Package Detachment 2 (LCS ASW MP DET 2) MARCORSYSCOM MARFORPAC Experimentation Center Marine Corp Warfighting Lab Marine Corps Marine Corps University, Quantico, VA

Marine Corps Warfighting Lab Marine Unmanned Aerial Vehicle Squadron 4 MARSOC MAWTS-1 MCCDC, CD&I, CDD, FMID MCIOC **MCWL** Mine Warfare Program Office, PMS 495 MINE WARFARE TRAINING CENTER MINWARA N2N6E7 N3N5IW N8 NAE CTO NAS Patuxent NASA-JSC NAV SPEC WAR COM **NAVAIR** NAVAIR - PMA-266 NAVAIR - UASTD NAVAIR Code 410 NAVAIRWD Naval Air Warfare Center Patuxent River Naval Air Warfare Center Training Systems Division Naval Air Warfare Center Weapons Division Naval Air Warfare Center-Aircraft Division Naval Meteorology and Oceanography Command NAVAL OCEANOGRAPHIC OFFICE Naval Oceanography and Mine Warfare Center Naval Research Laboratory Naval Surface and Mine Warfighting Development Center Naval Surface Warfare Center Naval Surface Warfare Center Carderock Division (NSWCCD) Naval Surface Warfare Center Dahlgren Division (NSWCDD) Naval Surface Warfare Center Panama City Division Naval Surface Warfare Center, Carderock Division Naval Undersea Warfare Center Naval Undersea Warfare Center, Division - Keyport Naval Weapons Center Weapons Division NAVFAC CIOFP1 NAVFAC HQ NAVOCEANO NAVSEA NAVSEA 05T NAVSEA Carderock NAVSEA Naval Surface Warfare Center Dahlgren Div NAVSEA O5L NAVSEA Port Hueneme NAVSEA SEA05L NAVSEALOGCEN

NAVSPECWARCOM NAVSPECWARGRU THREE Navy Expeditionary Combat Command (NECC) Navy Office of General Counsel Navy PEO LMW PMS 408 Navy Region Southwest Navy Special Warfarc Command Navy TENCAP Navy, Office of the General Counsel NAWC NAWC - AD NAWC WD NAWCAD NAWCAD Lakehurst NAWCTSD NAWCWD NAWC-WD NBVC Pt. Mugu NCIS NCWDG NECC NIAC NMAWC NMAWC Det Norfolk NORAD-NORTHCOM NPS NR NSW INTEL 17 NRL **NSCW NSMWDC** NSW (Retired) NSW Group 11 NSW SPECRECON TWO NSWC NSWC Carderock NSWC Crane NSWC Dahlgren NSWC Dahlgren Division NSWC Dahlgren Division, Directed Energy Warfare Office **NSWC** Panama City NSWC Panama City Division NSWC PCD NSWC PHD NSWC Philadelphia NSWC Port Hueneme NSWC/IHDIV NSWCCD NSWCCD Det. Puget Sound NSWCDCD NSWCDD/W16 NSWCIHEODTD NSWCPCD NSWG-10

NUWC NUWC Keyport NUWC Keyport Code 222 NUWC Newport NUWC NPT NUWC NWPT NUWCDIVKPT DETPAC Kauai OS//PMRF NUWCDIVNPT NWC NWDC NWDC/DAWCWD Office of Naval Intelligence Office of Naval Intelligence (ONI) Office of Naval Research Office of Naval Research - Reserve Component Office of the SecNav ONI ONR **ONR 322 ONR 34** ONR Det 113 **ONR** Global ONR P38 **ONR** Reserves ONR/NRL 113 ONR/NRL S&T 113 ONR/NRL S&T Det 113 ONRG **ONR-RC OPNAV OPNAV N2/N6** OPNAV N2/N6F22 **OPNAV N415 OPNAV N51 OPNAV N97 OPNAV N98** OSD PEO (U&W) PEO C4I PEO C4I, PMW 770 PEO IWS PEO Littoral & Mine Warfare PEO Littoral Combat Ship PEO LMW PMS 495 PEO USC **PMA265** PMA268 / DP Associates **PMS485** PMW 750 / PEO C4I Point Mugu Sea Range, NAVAIR Puget Sound Naval Shipyard Retiring SDS-5 DET UUV

SECNAV **SMWDC** SMWDC HQ SOAC SOCAFRICA SOCOM SOCS **SPAWAR** SPAWAR - Atlantic SPAWAR Systems Center Pacific SPAWARSYS Center SPAWARSYSCEN - PACIFIC SPAWARSYSCOM **SPECWARCOM** SSC Atlantic SSC PAC SSC Pacific SSCPAC Stennis Space Center Fleet Survey Team Strategic Sealift Officer Program / N-14 SUBDEVRON 12 SUBDEVRON FIVE SUBDEVRON FIVE, DET UUV SUBDEVRON TWELVE SUBFOR Submarine Development Squadron Five (CSDS-5), **Bangor Washington** Submarine Officers Advanced Course Systems Planning and Analysis, Inc. TACTRAGRUPAC, San Diego, Ca Third Fleet TRITON FIT U.S. Fourth Fleet/U.S. Navy Southern Command U.S. Navy/Cyber Vet Solutions, LLC Unmanned Patrol Squadron ONE NINE US Naval Test Pilot School **US Navy Reserve** USFF USFF N72 USFFC USMC **USMC** Installations & Logisites **USMC** Pentagon USNA USNR USS Chung-Hoon USS MCCAMPBELL UXOCOE **UxS** Cross Functional Team VMU-3 **VR-55** VX-30 Warfare Analysis & Integration Department

APPENDIX D: CRUSER FY18 CALL FOR PROPOSALS

Consortium for Robotics and Unmanned Systems Education and Research

The FY18 call for proposals was released in mid-July 2017.

CRUSER Call for Proposals FY18

| PROPOSALS DUE DATE: | 15 Aug 2017 |
|--------------------------|----------------------|
| Selection Date: | 1 Sep 2017 |
| Funding Start Date: | As early as 1 Oct 17 |
| Funding Expiration Date: | 30 Sept 2018 |
| Funding Levels: | up to \$150,000 |
| Proposal Type: | Single-Year |

<u>Research Goal</u>: the Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) at the Naval Postgraduate School provides a collaborative environment for the advancement of educational and research endeavors involving robotics and unmanned autonomous systems (RAS) across the navy and marine corps. CRUSER seeks to align efforts, both internal and external to NPS, by facilitating active means of collaboration, providing a portal for information exchange among researchers and educators with collaborative interests, and supporting innovation with operationally relevant research and experimentation.

<u>Anticipated Funding Amount</u>: Funding has not yet been received for FY18; however the purpose of this call for proposals is to prepare researchers on campus to begin work as soon as possible in the new fiscal year. We anticipate being able to fund ~20 projects averaging ~\$100k -\$150k each.

• CRUSER funding, outside of the individual proposal, is available for INCONUS travel support for full-time enrolled MS & PhD students only. Travel for Professors and Faculty Associate – Researchers will only be supported by the funded project. Students requiring travel funds will follow the standard CRUSER Student Travel request procedure to be approved for this travel support. Students who do not have prior approval to travel on CRUSER funding will be charged to the project.

<u>Research Focus Areas:</u> *"Developing Autonomy to Strengthen Naval Power"* --originated from the Warfare Innovation Continuum (WIC) Workshop held in Sep 2016. Proposals will be accepted in the following research related topic areas:

- a) <u>Littoral Mesh Networking and Remote Sensing</u>: These concepts all employ autonomy to create a mesh network of communications and sensing nodes in a contested urban littoral environment.
- b) <u>Innovative Undersea Warfare (USW)</u>: These concepts leverage autonomy to clear and secure sea lanes and harbor approaches for landing and resupply in a contested urban littoral environment.
- c) <u>Autonomous Unmanned Surface Vehicle (USV) Missions</u>: These concepts employ autonomy to leverage or disable all available assets in a contested urban littoral environment.
- d) <u>A2AD Capabilities:</u> Other robotics and unmanned/autonomous concepts of interest that do not fit into the categories above yet leverage unmanned/autonomous systems to create asymmetric advantages in an A2AD environment.
- e) Any research topics related to unmanned/autonomous systems will also be considered.

<u>Classification Level</u>: Unclassified (Preferred) but Classified work will be considered.

<u>Required Documents:</u> Supplemental information (to include templates) can be found on the CRUSER website by navigating to <u>http://my.nps.edu/web/cruser/call-for-proposals</u> and selecting "FY18 Call for Proposals" link. The required documents are listed below:

- 1. 5-7 page proposal. **<u>Do not</u>** submit via the Research Office.
- 2. Current Year Research Office Budget form (<u>https://my.nps.edu/web/research</u>). {List CRUSER as the Sponsor. If selected, Dr. Raymond R. Buettner will sign as Director and route via RSPO. No sub-JONs will be created}.
- 3. Quad Chart (use the CRUSER provided template)

Submission Procedures:

• All FY18 proposal packages will be submitted online at: <u>https://survey.nps.edu/136241/lang-en</u>

<u>Review and Selection Board</u>: Proposals will be evaluated by a panel of reviewers co-chaired by the Dean of Research and the CRUSER Director.

Proposal Evaluation Criteria:

- 1) Student involvment
- 2) Interdisciplinary, interagency, and partnerships with other Naval labs
- 3) Partnerships with other sponsors' funding
- 4) Research related to various unmanned systems' catagories:

- a. Technical
- b. Organization and Employment
- c. Social, Cultural, Political, Ethical and Legal
- d. Experimentation
- 5) New research area (seed money to attract other contributors)
- Research topics related to ANY robotic and unmanned sytems area may be proposed, though proposals related to any CRUSER innovation thread are preferred. (See website and above focus areas)
- 7) Alignment with SECNAV's DON Unmanned Systems Goals (see <u>CRUSER Charter</u> <u>memo</u>) and the CNO's Sailing Directions (www.navy.mil/cno/cno_sailing_direction_final-lowres.pdf)
- 8) Researchers are members of the CRUSER Community of Interest
- 9) Proposals should aim to make an immediate impact on the community (\$75k \$150k level of effort approriate for CRUSER).

Faculty members who receive CRUSER funds are expected to be members of CRUSER AND fully active in supporting CRUSER's goals to include (but not limited to):

- Monthly meeting attendance
- A Presentation at a montly meeting and at the annual CRUSER TechCon
- A CRUSER News article
- Participation in CRUSER sponsored events
- Contributions to the CRUSER Annual Report
- Providing updated labor plans and budget projections as requested

THIS PAGE INTENTIONALLY LEFT BLANK

APPENDIX E: CRUSER LEADERSHIP TEAM

DIRECTOR: Dr. Brian Bingham is an Associate Professor in the Mechanical and Aerospace Engineering Department at the Naval Postgraduate School. Dr Bingham received his PhD in mechanical engineering from MIT in 2003. After a brief stint at the Ocean Institute in California, he was appointed to a post-doctoral position at the Woods Hole Oceanographic Institution, Deep Submergence Lab. Dr. Bingham has served as a member of the faculty at the Franklin W. Olin College of Engineering from 2005-2009 and the University of Hawaii at Manoa from 2009-2015. His research is on innovative tools for exploring, understanding and protecting the marine environment. This work includes projects on underwater navigation, autonomous vehicles and sensor integration. http://faculty.nps.edu/vitae/cgi-bin/vita.cgi?p=display_vita&id=1299243456

ASSOCIATE DIRECTOR: Dr. Carl Oros, LtCol, USMC (Ret.) is a Faculty Associate -Research and Information Sciences (IS) doctoral student in the Department of Information Sciences. His research and teaching interests include wireless networking, tactical wireless LANs, operator-centric information architectures that support the C2 communication of valuable bits to the lowest tactical level, and biological information. As a Principle Investigator, he has managed several USMC sponsored tactical wireless research projects and has been actively involved in the NPS-USSOCOM Cooperative Field Research Program and the OSD sponsored Joint Interagency Field Experimentation (JIFX) program since 2004. Carl is a retired Marine Corps CH-53E assault support helicopter pilot and holds a Master of Science Degree in Information Technology Management from NPS, a Masters in Military Studies (USMC Command & Staff College), and a BA in Geophysics (Univ. of Chgo). He has been published in the handbook of research on Complex Dynamic Process Management, and the Command & Control Research Program (CCRP) and AFCEA-George Mason University (GMU) Critical Issues in C4 symposia. His current research is focused on the biological aspects of information. http://faculty.nps.edu/vitae/cgi-bin/vita.cgi?p=display_vita&id=1138032442

ASSOCIATE DIRECTOR: Lyla Englehorn, MPP earned a Master of Public Policy degree from the Panetta Institute at CSU Monterey Bay. She looks at issues related to policy in the maritime domain and across the military, and is involved in a number of projects at the Naval Postgraduate School. Beyond her work with the Consortium for Robotics and Unmanned System Education and Research (CRUSER), she also works with the Warfare Innovation Continuum (WIC), and is a member of the NPS Design Thinking community. Other work at NPS has included curriculum development and instruction for the International Maritime Security course sequence for the Department of State and NATO.

NPS FX DIRECTOR: Dr. Raymond R. Buettner Jr. is an Associate Professor in the Information Sciences Department at the Navy Postgraduate School and the NPS Director of Field Experimentation. Dr Buettner is a retired naval office and holds a Master of Science in Systems Engineering degree from the Naval Postgraduate School as well as a Doctorate degree in Civil and Environmental Engineering from Stanford University. He co-founded CRUSER and,

as a former Director, serves as the CRUSER Advisory Committee. He is the Principal Investigator for the Joint Interagency Field Experimentation project.<u>http://faculty.nps.edu/rrbuettn/about.html</u>

DIRECTOR EMERTIUS/SENIOR ADVISORY COMMITTEE MEMBER: Jeff Kline, **CAPT**, USN (ret.), is a Professor of Practice in the Operations Research Department at the Navy Postgraduate School and Navy Warfare Development Command Chair of Warfare Innovation. He also is the National Security Institute's Director for Maritime Defense and Security Research Programs. He has over 26 years of extensive naval operational experience including commanding two U.S. Navy ships and serving as Deputy Operations for Commander, Sixth Fleet. In addition to his sea service, Kline spent three years as a Naval Analyst in the Office of the Secretary of Defense. He is a 1992 graduate of the Naval Postgraduate School's Operations Research Program where he earned the Chief of Naval Operations Award for Excellence in Operations Research, and a 1997 distinguished graduate of the National War College. Jeff received his BS in Industrial Engineering from the University of Missouri in 1979. His teaching and research interests are joint campaign analysis and applied analysis in operational planning. His NPS faculty awards include the 2009 American Institute of Aeronautics and Astronautics Homeland Security Award, 2007 Hamming Award for interdisciplinary research, 2007 Wayne E. Meyers Award for Excellence in Systems Engineering Research, and the 2005 Northrop Grumman Award for Excellence in Systems Engineering. He is a member of the Military Operations Research Society and the Institute for Operations Research and Management Science. http://faculty.nps.edu/jekline/

LIST OF FIGURES

| Figure 1. CRUSER program innovation threads as of September 20181 |
|--|
| Figure 2. CRUSER seed funding by NPS department, FY12-19 |
| Figure 3. Key use cases for ROS 2: embedded systems, DoD products, and multi-robot systems. |
| Figure 4. This is an example of one of the unmanned systems that Comstock and Krajewski |
| recommended MCWL try. Other researchers got to see Switchblade used in |
| support of MCWL platoon operations at the Muscatatuck Training Center in |
| Indiana in November 201812 |
| Figure 5. 3D Printed man-wearable miniature steerable directional antenna prototype14 |
| Figure 6. UGV based steerable directional antenna unit enables short-living multi-domain mesh |
| network |
| Figure 7. Autonomously controlled "ordnance" release from the Penguin UAV |
| Figure 8. CAD Depiction of the ACS-7 Mosquito Hawk quadrotor with two releasable stores.18 |
| Figure 9. Adaptive submodularity for UxV network control system |
| Figure 10. Final day group picture of MTX 2017 participants at San Clemente Island (SCI).20 |
| Figure 11. Gen3 Zephyr airframe |
| Figure 12. ASC-7 Mosquito Hawk UAS |
| Figure 13. Simulated response of the designed sensors compared with acoustic signature of |
| drones between 550 and 900 Hz. The matched response of the sensor increases |
| the signal-to noise ratio, which will allow for efficient detection |
| Figure 14. Acoustic signature of small flying UASs. Left column shows the spectrograms, |
| central column shows the spectra and the right column shows the picture of the |
| drone, highlighting the size and number of rotors. Notice (central column) that all |
| drones exhibit a peak at around 700 Hz25 |
| Figure 15. Cooperative behaviors of the ScanEagle UAV |
| Figure 16. Confusion matrix showing model performance for over 750 test images, where the |
| true label is (vertical axis) is compared with the model predicted label (horizontal |
| axis)34 |
| Figure 17. Class activation map and probability classification for Carmel River State Beach on |
| December 6 (left) and January 23 (right). Color warmth indicates degree of |
| confidence in label classification for dominant label shown below |
| Figure 18. Network-enabled digital swarm image synthesis (NEDSIS) concept showing the |
| objective to deceive the threat that the swarm is much larger |
| Figure 19. DRFM showing (a) Hardware Description Language (HDL) model of a complex |
| range bin processor and (b) the Keysight DRFM hardware chassis boards37 |
| Figure 20. Huey H-1 flight profile for Yuma Proving Grounds flight test in Q4/2018 |
| Figure 21. Swarm control strategies and line-of-sight coverage for ISR drone swarms40 |
| Figure 22. Predicted values converge to actual values, and uncertainty (gray regions) decreases, |
| as the sequential experiment progresses |
| Figure 23. The Teensy data acquisition system being tested |

Figure 24. Bearing estimates from two, independent drifting buoy vector sensor systems (left), and target motion analysis solution of surface craft based on N-bearings approach Figure 25. UAV1 communications architecture that illustrates the experimental setup44 Figure 26. System-of-systems configuration (a), and Operational Viewpoint-1 (OV-1) diagram Figure 27. DoDAF OV-6c (SAR mission) (a) and OV-5b (Operational activity model) (b)..47 Figure 32. UGV path optimization (a), and recordings of multiple executed missions (b).....49 Figure 35. CRUSER Continuing Education Panel "Just One Thing", 19 September 2017....59 Figure 36. "Just One Thing" panel in the Pentagon Conference Center, 24 May 2018. Pictured from left to right Dr. Peter Singer, Dr. Lydia Kostopoulos, Dr. David Mindell, and Figure 38. September 2018 Warfare Innovation Continuum (WIC) Workshop, "Cross-Domain Figure 39. September 2018 Warfare Innovation Continuum (WIC) workshop participants...74 Figure 41. CRUSER community of interest growth from January 2011 to March 2016.79 Figure 42. CRUSER community of interest breadth of membership as of 30 September 2018.80

LIST OF TABLES

| Table 1. FY18 CRUSER funded projects (alphabetical by initial lead researcher las | t name) 6 |
|---|---------------|
| Table 2. Considerations a leader would make to manage that cognitive load, wheth | her operating |
| with or without unmanned systems | 10 |
| Table 3. Simulation Baseline Results (top) and Drone Disabling Simulation Results | (bottom)45 |
| Table 4. FY18 CRUSER mentored NPS student theses (alphabetical by author) | 64 |
| Table 5. CRUSER supported student travel, FY18 (in chronological order) | 68 |
| Table 6. Workshops hosted in the RoboDojo in FY18 | 77 |
| Table 7. FY18 NPS CRUSER Monthly Meeting presentations. | 80 |
| Table 8. FY18 CRUSER program briefings and presentations | 82 |
| Table 9. Reservist support for CRUSER programs in FY18 | 84 |
| | |

THIS PAGE INTENTIONALLY LEFT BLANK

LIST OF ACRONYMS AND ABBREVIATIONS

This list is not meant to be exhaustive, and includes only the most common acronyms in this report.

| AUV | autonomous underwater vehicle |
|---------|---|
| C2 | command and control |
| C4I | command, control, computers, communications and intelligence |
| CAVR | NPS Center for Autonomous Vehicle Research |
| CENETIX | NPS Center for Network Innovation and Experimentation |
| CEU | continuing education unit |
| CNO | Chief of Naval Operations |
| CRUSER | Consortium for Robotics and Unmanned Systems Education and Research |
| DoD | Department of Defense |
| DON | Department of the Navy |
| ISR | intelligence, surveillance, and reconnaissance |
| JCA | Joint Campaign Analysis |
| JIFX | Joint Interagency Field Experimentation |
| MTX | NPS multi-thread experiment |
| NAVAIR | U.S. Naval Air Systems Command |
| NAVSEA | U.S. Naval Sea Systems Command |
| NPS | Naval Postgraduate School |
| NRL | Naval Research Laboratory |
| NWC | Naval War College |
| ONR | Office of Naval Research |
| RAS | robotic and autonomous systems |
| ROS | Robot Operating System |
| ROV | remotely operated vehicle |
| SEA | Systems Engineering and Analysis (an NPS curriculum) |
| SECDEF | Secretary of Defense |

| SECNAV | Secretary of the Navy |
|--------|--------------------------------|
| SOF | U.S. Special Operations Forces |
| TDA | tactical decision aid |
| TNT | tactical Network Testbed |
| UAS | unmanned aerial system |
| UAV | unmanned aerial vehicle |
| UGV | unmanned ground vehicle |
| USMC | U.S. Marine Corps |
| USN | U.S. Navy |
| USNA | U.S. Naval Academy |
| USV | unmanned surface vehicle |
| UUV | unmanned undersea vehicle |
| UxS | unmanned system |
| WIC | Warfare Innovation Continuum |

ACKNOWLEDGMENTS

- The CRUSER Director thanks the entire community of interest who joined us since the program inception in March 2011.
- The CRUSER Director appreciates the initial support and guidance as well as the continuing interest of former Deputy Secretary of Defense the Honorable Robert O. Work.
- The CRUSER Director appreciates the continuing support of Secretary of the Navy Richard V. Spencer.
- The CRUSER Director acknowledges the efforts of the entire CRUSER Advisory Committee, and specifically the three senior members: Dr. Jeff Paduan, NPS Dean of Research; retired Navy Rear Admiral Jerry Ellis NPS Chair of Undersea Warfare; retired Navy Rear Admiral Rick Williams, NPS Chair of Mine Warfare.
- The CRUSER Director acknowledges the extraordinary work of the past CRUSER Directors, retired Navy Captain and Operations Research Professor of Practice Jeff Kline and Information Sciences Professor Ray Buettner who continue to serve as an essential advisors to the program.

ABSTRACT

The Naval Postgraduate School (NPS) Consortium for Robotics and Unmanned Systems Education and Research (CRUSER) provides a collaborative environment and community of interest for the advancement of unmanned systems education and research endeavors across the Navy (USN), Marine Corps (USMC) and Department of Defense (DoD). CRUSER is a Secretary of the Navy (SECNAV) initiative to build an inclusive community of interest on the application of unmanned systems (UxS) in military and naval operations. CRUSER seeks to align efforts, both internal and external to NPS, by facilitating active means of collaboration, providing a portal for information exchange among researchers and educators with collaborative interests, and supporting innovation through directed programs of operational experimentation. This FY18 annual report summarizes CRUSER activities in its eighth year of operation, and highlights future plans.

KEYWORDS: robotics, unmanned systems, autonomy, UxS, UAV, USV, UGV, UUV

| POC: Dr. Brian Bingham, CRUSER Director |
|---|
| http://cruser.nps.edu |
| cruser@nps.edu |